Online and Offline Evaluation of Search Engine Quality

Evangelos Kanoulas



UNIVERSITY OF AMSTERDAM

current exhibitions at Prado Museum?

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$\langle \rangle$	THE COLLECTION	Home > Exhibitions > Current Exhibitions		
	EXHIBITIONS	Current Exhibitions	SEARCH	
Current Exhibitions		Exhibitions in the Museum	VISIT THE MUSEUM	
	Upcoming Exhibitions	The Plácido Arango donation July 7 October 04, 2015	Tu visita La teva visita A túa visita Zure	
	Past Exhibitions		Your visit La seua visita	
•	EDUCATION		Votre visite La vostra	
	RESEARCH	The invited work: The young Saint John the	Sua visita Dein Besuch	
	●PRADOMEDIA	Baptist, Michelangelo March 31	Ваш визит 参观	
		September 20, 2015	زبرت البتمان التحالية	
	THE INSTITUTION		ζ	
	CORPORATE AREA	10 Picassos from the Kunstmuseum Basel March 18	(March	
Jser's R€	PRESS	September 14, 2015	2	
	Supported by:		Online gallery	
Goog	Telefônica	Touching the Prado	BUY YOUR TICKET and avoid queuing	
GUUS		January 20 October 18, 2015	PRADO SHOP	
			BECOME A FRIEND	
		Monumental Views of Spanish Cities. The Romantic Painter Genaro Pérez Villaamil December 17, 2014 September 06, 2015	Prádo Shop Print On Demand	
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Search Engine

prado prado museum prado pradosham paradox pradofloxacin

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Change the search algorithm.

How can we know whether we made the users happier?

HiPPO-driven Entrepreneurship

- Highest Paid Person's Opinion
 - Often wrong



Data-driven Entrepreneurship

- Avinash Kaushik (author and entrepreneur)
 - "80% of the time we were wrong about what a customer wanted"
- QualPro (management consultancy)
 - tested 150,000 ideas over 22 years
 - 75% of important business decisions and business improvement ideas either have no impact on performance or actually hurt performance.
- Google
 - Google ran approximately 12,000 experiments in 2009, with [only] about 10% of these leading to business changes.
- Microsoft
 - At Microsoft only 1/3 of ideas were positive ideas and statistically significant

MSN Real Estate

• "Find a house" widget variations

A was 8.5% better.

	Home or Apartment	
City, State or ZIP		
 Existing homes 	O New construction	
 Foreclosures 	 Rentals 	Search listings ►

Α



Ronny Kohavi, Microsoft

MSN Home Page Search Box

A	
A	





No significant difference

Ronny Kohavi, Microsoft

Office Online

A was 64% better



В



Ronny Kohavi, Microsoft

Key Lessons from Industry

- Don't trust the HiPPO
- Experiment often
 - To have a great idea, have a lot of them -- Thomas Edison
- Avoid building optimal features without early testing
- Try radical ideas
 - If you're not prepared to be wrong, you'll never come up with anything original -- Sir Ken Robinson



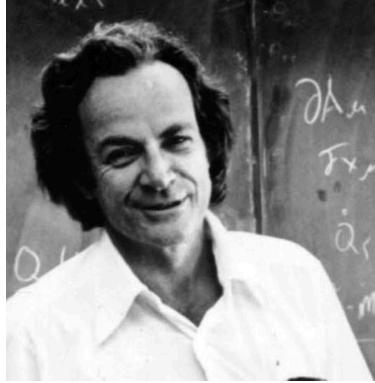
Do It Wrong



Scientific Method

Finding a new law:

- 1. We guess it.
- 2. We compute the consequences of the guess
- 3. We compare the result of the computation to observation
- 4. If it **disagrees** with **experiment** it is **wrong**.

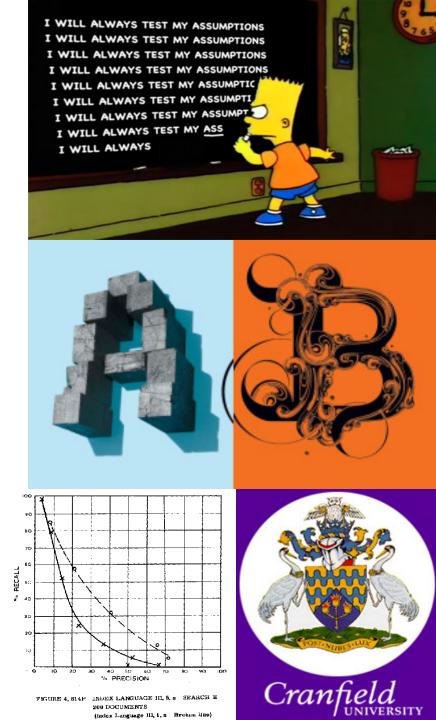


"What you can't measure you can't improve" Lord Kelvin

Different approaches to evaluation

- User-studies
- Collection-based evaluation
- In-situ evaluation

 A/B Testing
 Interleaving



Outline

PART I

- 1. Collection-based Evaluation
- 2. Comparative Evaluation

PART II

- 3. Observable User Behavior
- 4. A/B Testing
- 5. Interleaving
- 6. Comparative Studies

1. Collection-based Evaluation

Happiness: elusive to measure

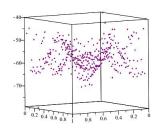
- Most common proxy: relevance of search results
 - But how do we test relevance?



Static Test Collections

Machine Learning

• Feature vectors



Information Retrieval

- Documents
- Queries

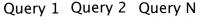


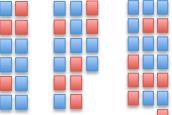
o uses of alternative dispute resolution	
o job search vancouver washingto	on
• poem of arrival of columbus	

• Labels

- Labels
 - relevance

judgments





Static Test Collections

Computer Vision

• Images



• Labels



Information Retrieval

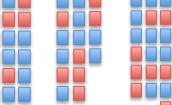
- Documents
- Queries



o uses of alternative dispute resolution
· job search vancouver washington
 poem of arrival of columbus

- Labels
 - relevance
 - judgments

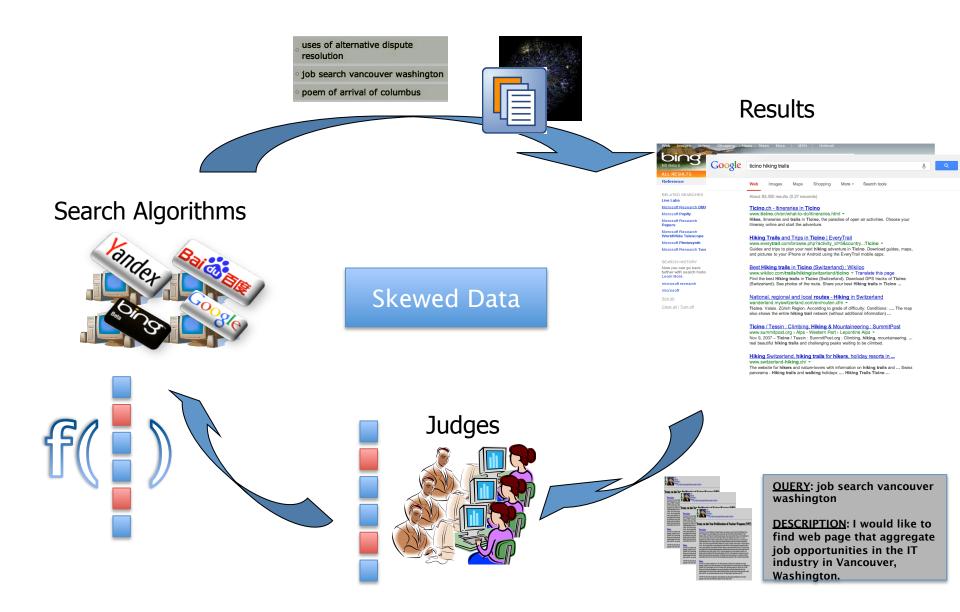




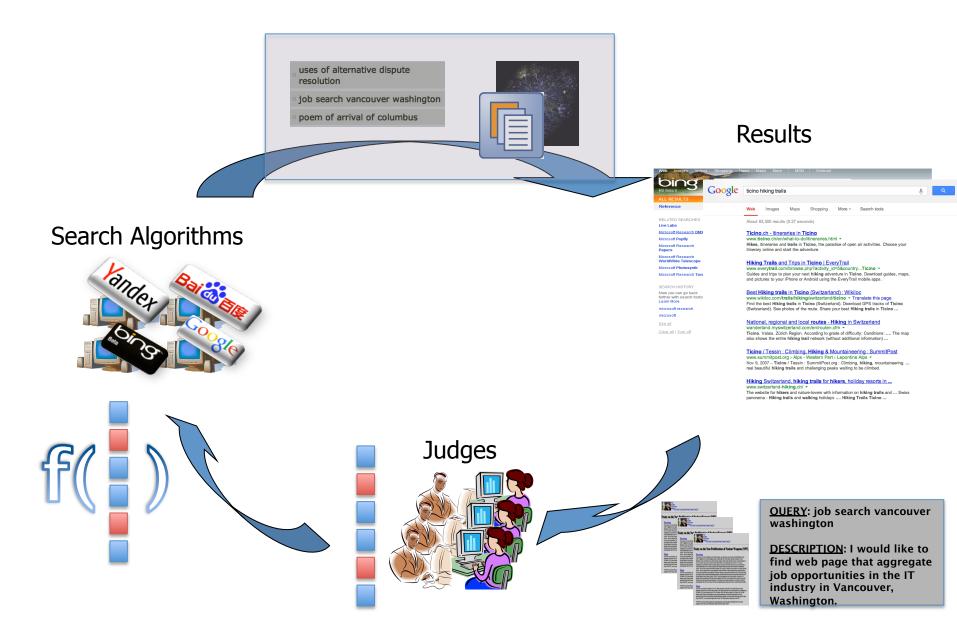
SE Evaluation/Optimization

Id	Query	Relevant Web Pages	Not Relevant Web Pages		Results (Run)
1	prado	<u>https://www.museodelprado.es</u>			Seville
2	real madrid	 <u>http://www.realmadrid.com/en</u> <u>http://www.goal.com/en/</u> <u>teams/spain/real-madrid/2016</u> <u>http://bleacherreport.com/real-</u> <u>madrid</u> 			http:// www.tripadvisor.com/ Best_Flamenco_Shows_Ma drid_or_Seville-Spain.html http:// www.whatmadrid.com/ flamenco-madrid.html
3	uned	 <u>http://portal.uned.es/</u> <u>https://twitter.com/uned</u> 			http:// www.deflamenco.com/ en_index.html
4	malasaña restauran ts	 <u>http://www.lonelyplanet.com/spain/madrid/malasana-chueca/restaurants</u> <u>http://www.tripadvisor.com/Travel-g187514-d246519/Madrid:Spain:Malasana.html</u> <u>http://www.labarraca.es/</u> 		YAHOO! ESPAÑA	flamenco madrid or sevilla
5	flamenco madrid or sevilla	 <u>http://www.fodors.com/is-it-better-to-see-flamenco-in-seville-or-madrid.cfm</u> <u>http://www.tripadvisor.com/Best_Flamenco_Shows_Madrid_or_Seville-Spain.html</u> 			
				-	

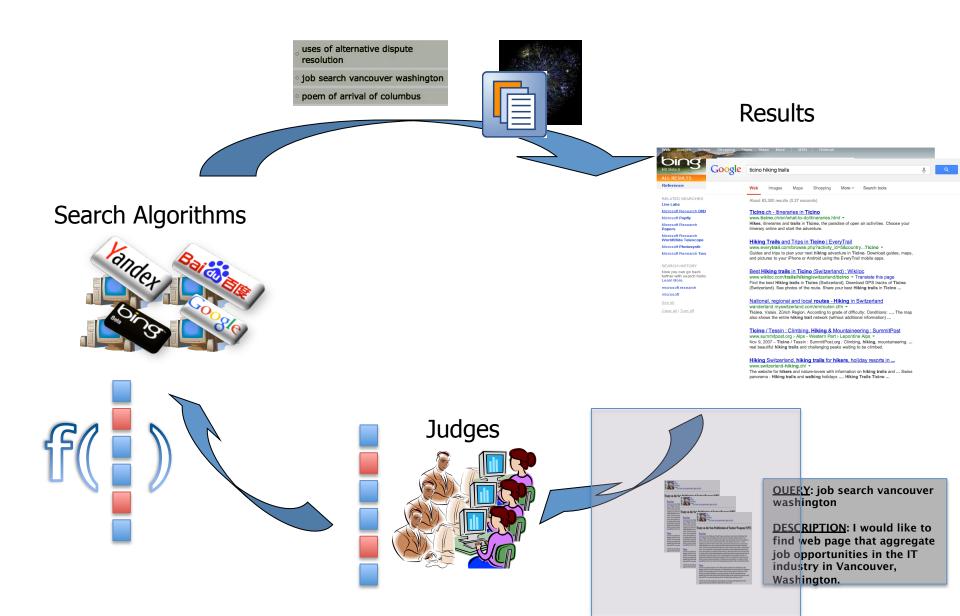
Test Collection Construction



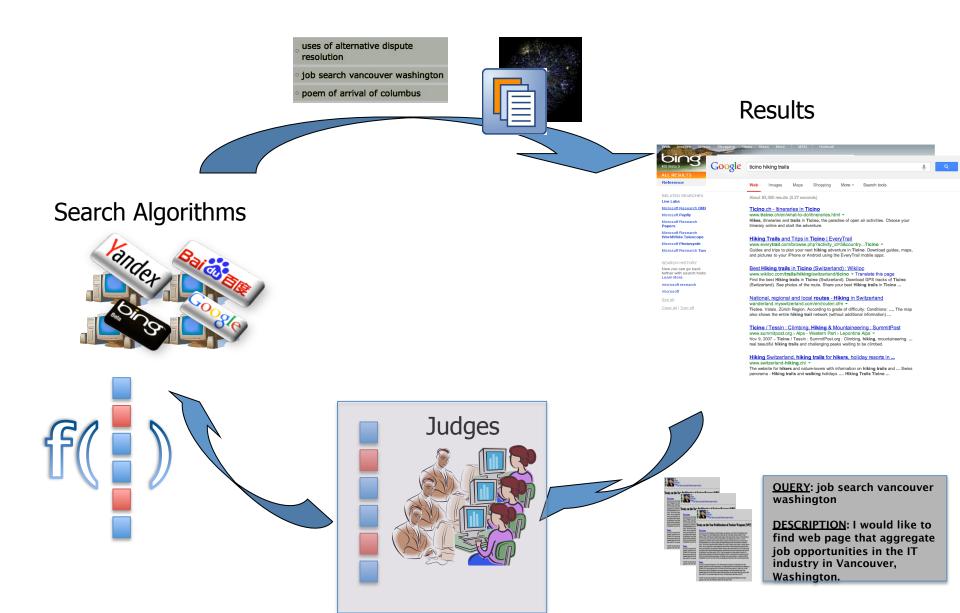
Queries and Doc. Collection



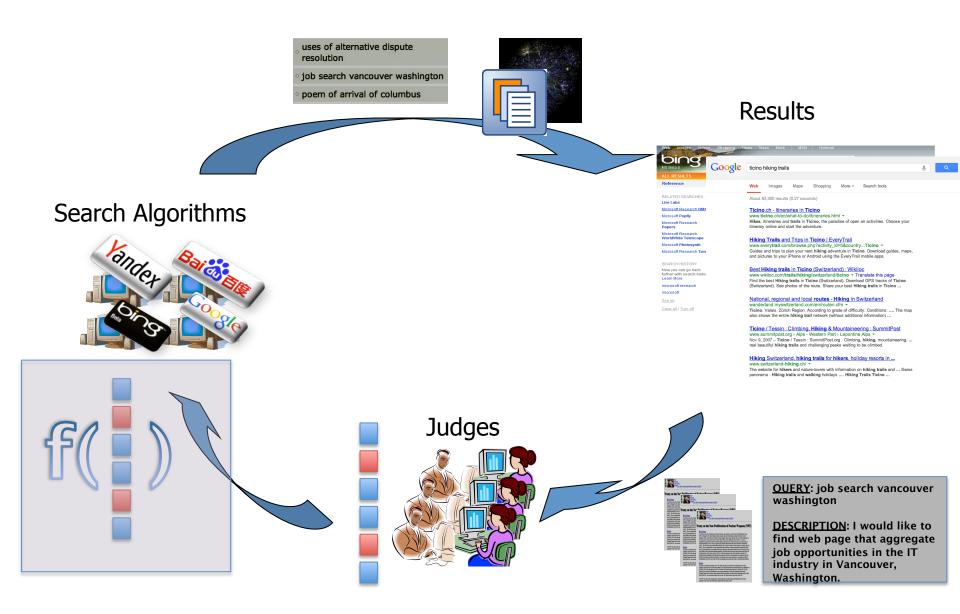
Relevance Judgments



Relevance



Evaluation Measures

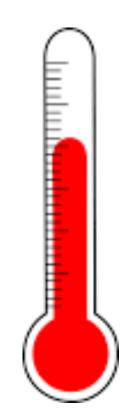


Part 1: Evaluation Measures

- Evaluation measures
 - 1. Traditional evaluation measures
 - 2. Model-based measures
 - 3. Novelty and Diversity-based measures
 - 4. Session-based measures
- Evaluation of evaluation measures

What is temperature?

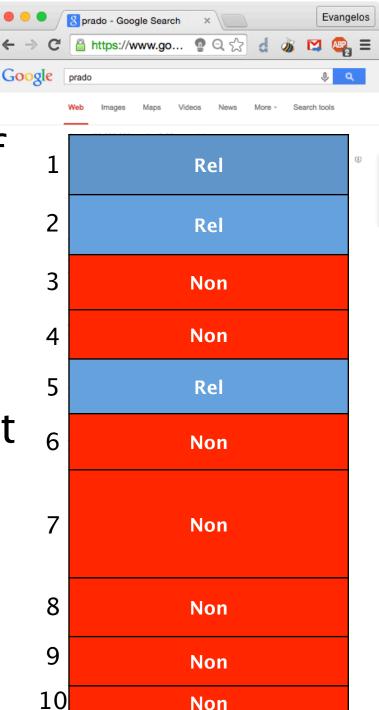
- The measure defines the problem:
 - An adequate measure is fundamental towards solving the problem
 - An inadequate measure implies focusing on the wrong problem



Courtesy: Julio Gonzalo

Precision and Recall

- Precision (P): fraction of retrieved documents that are relevant
- Recall (R): fraction of relevant documents that are retrieved



High precision vs. high recall retrieval

- There is usually a tradeoff between precision and recall
 - by returning more documents, recall increases (monotonically)
 - by returning fewer documents, often (not always) precision increases
- High precision search
 - (web search)
 - usually user only looks at very few top results : e.g. precision@3
 - repository is large, easy to find some relevant pages
 - imperfect recall is often tolerable
- High (total) recall search
 - (vanity, e-discovery, health, patent, legal)
 - total recall challenge: find documents that are not "obvious" matches
 - http://plg.uwaterloo.ca/~gvcormac/total-recall/

Precision and Recall for rankings

- Precision/recall assume that:
 - We retrieve a fixed set of documents; and
 - The user examines all of them.
 - <u>However</u>, more typically we return a ranked list (open-ended); and
 - The user examines the top with higher probability than the bottom

Average Precision

 Average Precision (AP) = Average of precisions at relevant documents

Rank	Rel.	Precision@k	Recall@k
1	R	1/1	1/10
2	Ν	1/2	1/10
3	R	2/3	2/10
4	R	3/4	3/10
5	Ν		•••
6	R	4/6	4/10
7	Ν		
8	Ν		• • •
9	Ν		
10	R	5/10	5/10
•••	• • •	•••	•••
∞	R	0	10/10

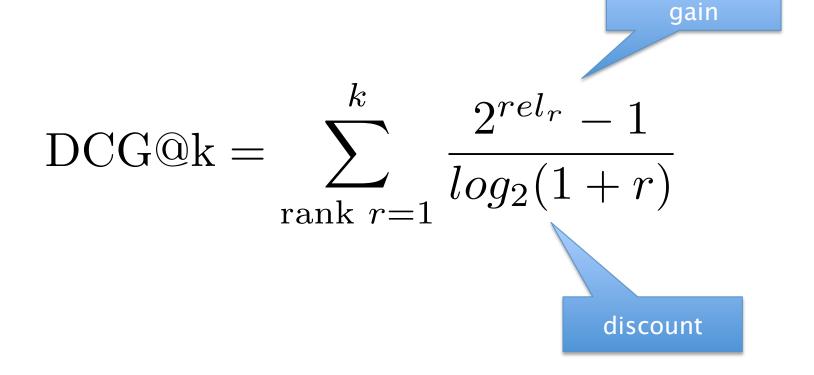
$$AP = \frac{\frac{1}{1} + \frac{2}{3} + \frac{3}{4} + \frac{4}{6} + \frac{5}{10} + \dots}{10}$$

Beyond Binary Relevance

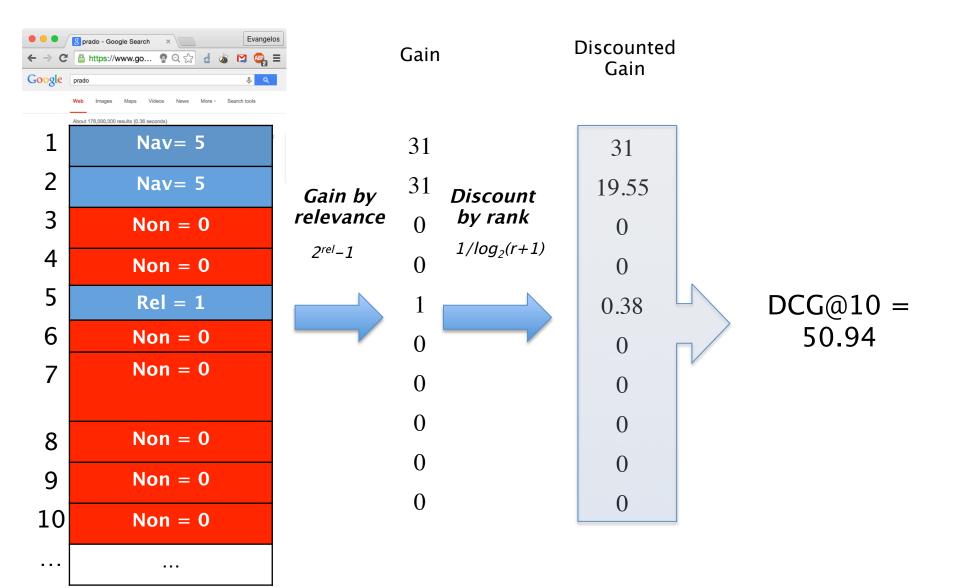
- Discounted Cumulative Gain (DCG)
 - Used by many web search companies
 - Graded relevance judgments as opposed to binary
 - Emphasis on retrieving highly relevant documents
 - Two assumptions:
 - Graded relevance as a measure of the usefulness or gain from examining a document; hence the higher the better
 - The lower the ranked position of a document, the less useful it is for the user, since it is less likely to be examined

Discounted Cumulative Gain (DCG)

• DCG is the total gain accumulated at a particular rank *k*:



Discounted Cumulative Gain



Normalized DCG

- Normalizes DCG against the best possible DCG result (the perfect ranking) for the query
 - $0 \le NDCG \le 1$
 - makes averaging easier for queries with different numbers of relevant documents

Part 1: Evaluation Measures

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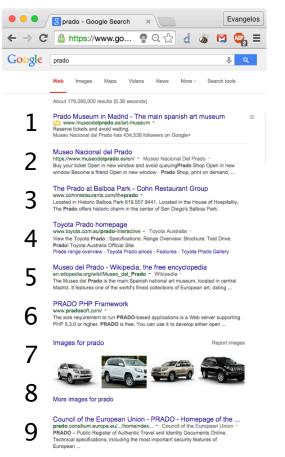
Measures of Effectiveness

- Determine how good the system is at finding and ranking relevant documents
- A good effectiveness measure should correlate with the user's experience
- Thus interest in effectiveness measures based on explicit models of user interaction

Model-based Measures

- 1. A browsing model that describes how a user interacts with results;
- 2. A model of document utility, describing how a user derives utility from individual relevant documents;
- 3. A utility accumulation model that describes how a user accumulates utility in the course of browsing

Model Browsing Behavior



PRADO prado.consilium.europa.eu/ - Council of the European Union -Public Register of Authentic Identity and Travel Documents Online.

The Prado at Balboa Park Event and Banquet Center www.balboaparkweddings.com/ -

Position-based models

The chance of observing a document depends on the position of the document in the ranked list.

Ranked Biased Precision Model

•••/	Sprado - Google Search × Evangelos
← → C	Philos dosgio couldi A (2000) Philos
Google	prado 🔱 🔍
	Web Images Maps Videos News More - Search tools
	About 178,000,000 results (0.36 seconds)
1	Prado Museum in Madrid - The main spanish art museum () Si www.museodelprado.es/art-museum * Reserve tickets and avoid wailing. Museo Nacional del Prado has 434,538 followers on Google+
2	Museo Nacional del Prado https://www.museodelprado.es/en/ * Museo Nacional Del Prado * Buy your ticket Open in new window and avoid gueuingPrado Shop Open in new window Become a friend Open in new window * Prado Shop, print on demand,
3	The Prado at Balboa Park - Cohn Restaurant Group www.cohnestaurants.com/thoprado - Located in Historic Balboa Park (#19.57.9441. Located in the House of Hospitality, The Prado offers historic charm in the center of San Diego's Balboa Park.
4	Toyota Prado homepage www.toyota.com.au/prado-interactive - Toyota Australia - View he Toyota Prado : Specifications: Range Overview: Brochure: Test Drive: Prado Toyota Australia Official Site. Prado range overview: Toyota Prado prices - Features - Toyota Prado Gallery
5	Museo del Prado - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Museo_del_Prado - Wikipedia - The Museo del Prado is the main Spanish national art museum, located in central Madrid. It features one of the world's finest collections of European art, dating
6	PRADO PHP Framework www.pradesdf.com/ - The sole requirement to run PRADO-based applications is a Web server supporting PHP 5.3.0 or higher. PRADO is free. You can use it to develop either open
7	Images for prado Report images
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9	Council of the European Union - PRADO - Homepage of the prade consilium-europa eu//homeindex * Council of the European Union * PRADO - Public Register of Authentic Travel and tentity Documenta Coline. Technical specifications, including the most important security features of European
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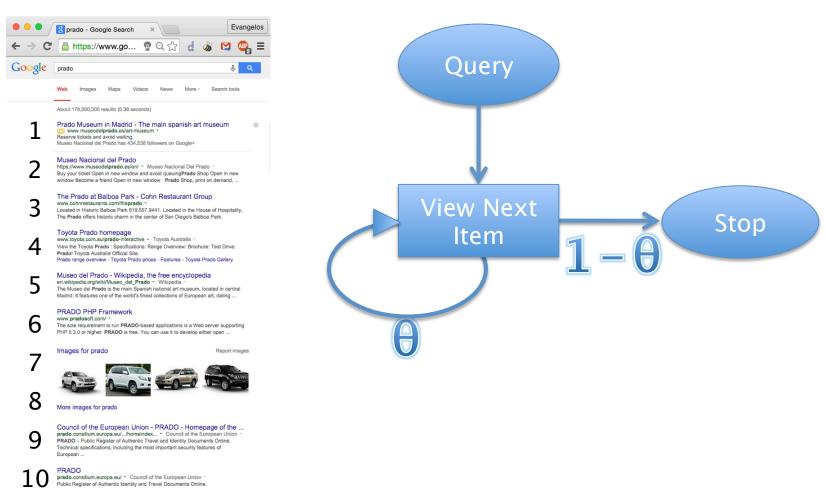
1. Toss a biased coin (θ)

2. If HEADS, observe next document

3. IF TAILS, stop

. . .

Ranked Biased Precision Model



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RBP – Expected Utility at Stopping



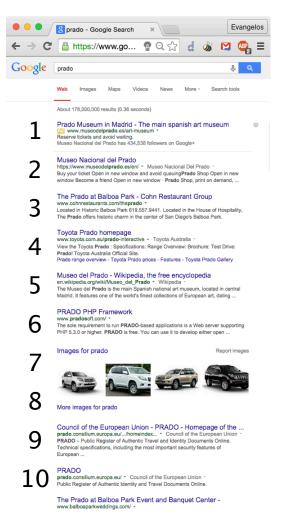
European ...

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 ∞ $RBP = \sum rel_k \vartheta^{k-1} (1 - \vartheta)$ k = 1

Model Browsing Behavior





Cascade-based models

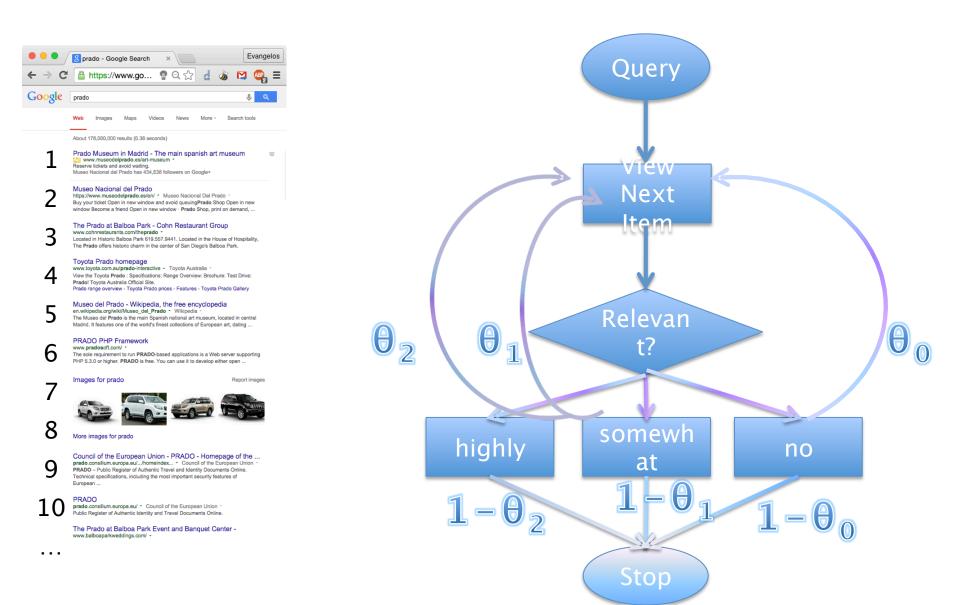
Algorithm 1 The cascade user model

Require: R_1, \ldots, R_{10} the *relevance* of the 10 URLs on the result page.

1: i = 1

- 2: User examines position i.
- 3: if random $(0,1) \leq R_i$ then
- 4: User is satisfied with the document in position i and stops.
- 5: else
- 6: $i \leftarrow i + 1$; go to 2
- 7: **end if**

Expected Reciprocal Rank Model



ERR – Expected Effort

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Prado Museum in Madrid - The main spanish art museum kolonic www.museodelprado.es/art-museum * Reserve tickets and avoid waiting.

Museo Nacional del Prado has 434,538 followers on Google+

Museo Nacional del Prado

https://www.museodelprado.es/en/ * Museo Nacional Del Prado * Buy your ticket Open in new window and avoid queuingPrado Shop Open in new window Become a friend Open in new window · Prado Shop, print on demand, ...

The Prado at Balboa Park - Cohn Restaurant Group www.cohnrestaurants.com/theprado *

Located in Historic Balboa Park 619.557.9441. Located in the House of Hospitality. The Prado offers historic charm in the center of San Diego's Balboa Park.

Toyota Prado homepage

www.toyota.com.au/prado-interactive - Toyota Australia -View the Toyota Prado : Specifications: Range Overview: Brochure: Test Drive: Prado! Toyota Australia Official Site. Prado range overview - Toyota Prado prices - Features - Toyota Prado Gallery

Museo del Prado - Wikipedia, the free encyclopedia

en.wikipedia.org/wiki/Museo_del_Prado · Wikipedia The Museo del Prado is the main Spanish national art museum, located in central Madrid. It features one of the world's finest collections of European art, dating ...

PRADO PHP Framework www.pradosoft.com/ *

The sole requirement to run PRADO-based applications is a Web server supporting PHP 5.3.0 or higher. PRADO is free. You can use it to develop either open



Report images



More images for prado

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PRADO prado.consilium.europa.eu/ . Council of the European Union -Public Register of Authentic Identity and Travel Documents Online.

The Prado at Balboa Park Event and Banquet Center www.balboaparkweddings.com/

$$\text{ERR} = \sum_{r=1}^{n} \left(\prod_{i=1}^{r-1} (1 - \vartheta_i) \vartheta_r \right) \frac{1}{r}$$

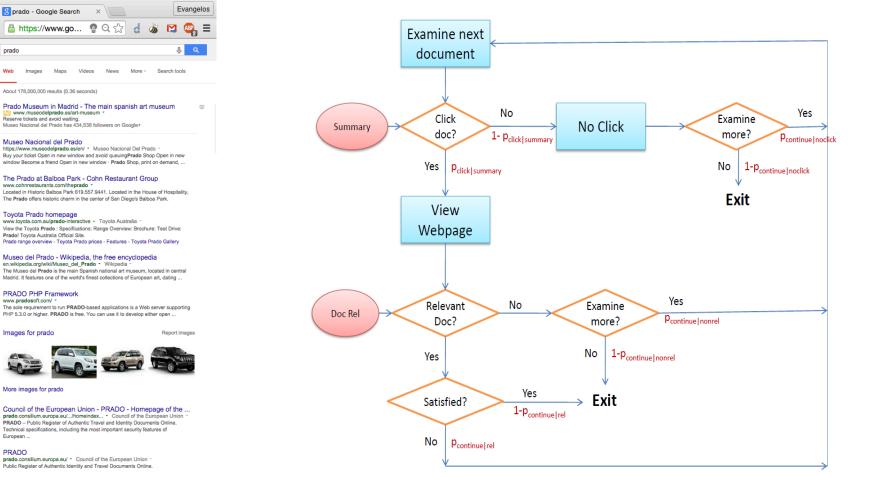
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Expected Browsing Utility Model



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Google prado

Web

--C

Click model-based Measures

Click Model-Based Information Retrieval Metrics

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Pavel Serdyukov Yandex Moscow, Russia pavser@yandex-team.ru Maarten de Rijke ISLA, University of Amsterdam Amsterdam, The Netherlands deRijke@uva.nl

Click Models for Web Search

Aleksandr Chuklin University of Amsterdam and Google Switzerland

Ilya Markov University of Amsterdam

Maarten de Rijke University of Amsterdam

Time-based Measures

Time-Based Calibration of Effectiveness Measures

Mark D. Smucker Department of Management Sciences University of Waterloo, Canada mark.smucker@uwaterloo.ca Charles L. A. Clarke School of Computer Science University of Waterloo, Canada claclark@plg.uwaterloo.ca

Choosing Parameter Values

- All user models have parameters
 - Metrics evaluated at fixed parameter values
 - Evaluation w.r.t. an average user
- Different approaches:
 - Predefine parameters

• ERR:
$$\vartheta_g = \frac{2^g - 1}{2^{g_{\max}}}, g \in \{0, ..., g_{\max}\}$$

- Use click log; fit a model to gaps between clicks (Zhang et al., IRJ, 2010)
- Minimize variance in evaluation (Kanoulas & Aslam, CIKM '09)

Choosing Parameter Values

Simulating Simple User Behavior for System Effectiveness Evaluation

Ben Carterette⁺, Evangelos Kanoulas[†], Emine Yilmaz[†] carteret@cis.udel.edu, e.kanoulas@shef.ac.uk, {eminey@microsoft.com, eyilmaz@ku.edu.tr}

> * Department of Computer & Information Sciences, University of Delaware, Newark, DE [†] Information School, University of Sheffield, Sheffield, UK [†] Microsoft Research, Cambridge, UK & Koc University, Istanbul, Turkey

Incorporating Variability in User Behavior into Systems Based Evaluation

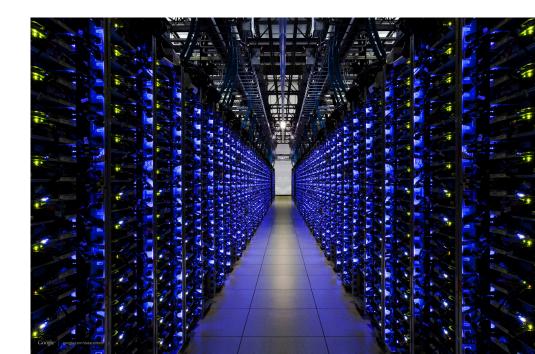
Ben Carterette⁺, Evangelos Kanoulas¹, Emine Yilmaz¹ carteret@cis.udel.edu, ekanoulas@gmail.com, {eminey@microsoft.com, eyilmaz@ku.edu.tr}

* Department of Computer & Information Sciences, University of Delaware, Newark, DE [†] Information School, University of Sheffield, Sheffield, UK [†] Microsoft Research, Cambridge, UK & Koc University, Istanbul, Turkey

- Users behave very differently when they search
 Distribution of parameters (users) need to be considered
- A different approach
 - Mine Web Query logs
 - Learn a distribution of the parameters
 - Use this distribution to evaluate the quality of systems

Patience Distribution for RBP

- Goal: produce a posterior distribution for $\boldsymbol{\theta}$
- \bullet Start with a uniform distribution for θ
- Update it based on logged data



Posterior Distribution of Patience θ for RBP

$$P(\theta | E) = P(\theta | c) = \sum_{r=0}^{\infty} P(\theta | r, c) P(r | c)$$

$$P(\theta | r, c) \propto P(c | \theta, r) P(\theta | r)$$
The probability that user skips r document
$$P(c | \theta, r) = NB(r, \theta) \qquad P(\theta | r) = Beta(\alpha, \beta)$$

$$P(c | \theta, r) = NB(r, \theta) \qquad P(\theta | r) = Beta(\alpha, \beta)$$
• Start with uniform prior ($\alpha = \beta = 1$)
• Start with uniform prior ($\alpha = \beta = 1$)

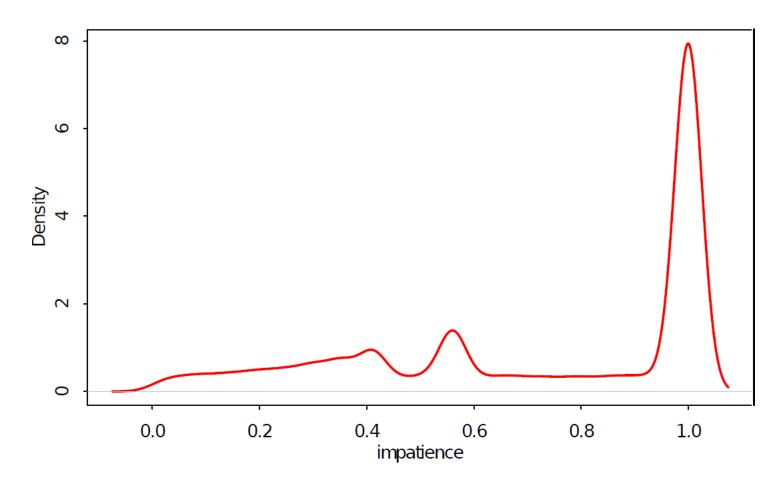
Posterior Distribution of Patience θ for RBP

$$P(\theta | r, E) \propto P(E | \theta, r) P(\theta | r)$$

 $P(E \mid \theta, r) = NB(r, \theta)$ $P(\theta \mid r) = Beta(\alpha, \beta)$

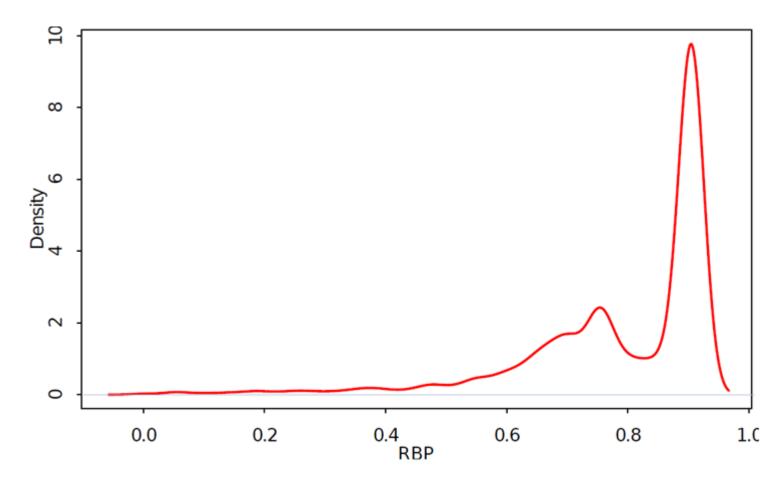
• If there are m queries, with r number of failures, and c_i number of successes, i=1..m $P(\theta | r, E) = Beta(\alpha + \sum_{i=1}^{m} c_i, \beta + mr)$ Posterior Distribution for Impatience: RBP

• Using the AOL log



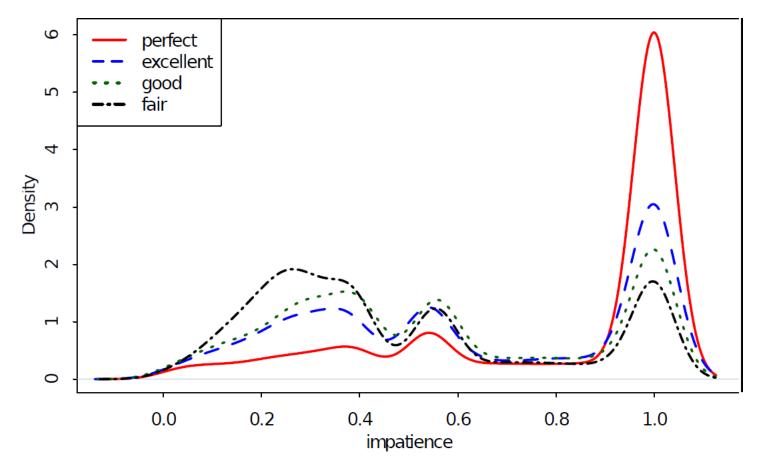
Distribution of RBP

• For some TREC system



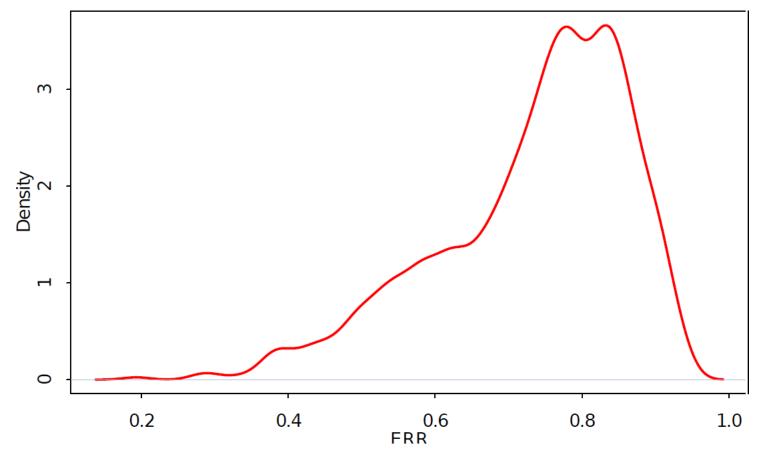
Posterior Distribution for Impatience: ERR

• Using a Bing log



Distribution of ERR





- \bullet Given two systems, over all choices of θ
 - What is $P(M_1 > M_2)$?

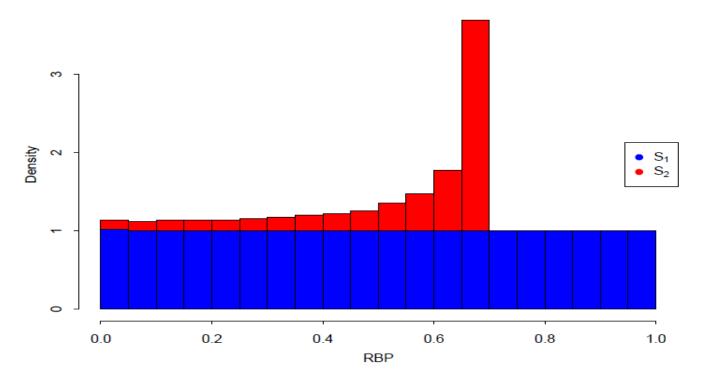
- What is
$$P((M_1 - M_2) > t)?$$

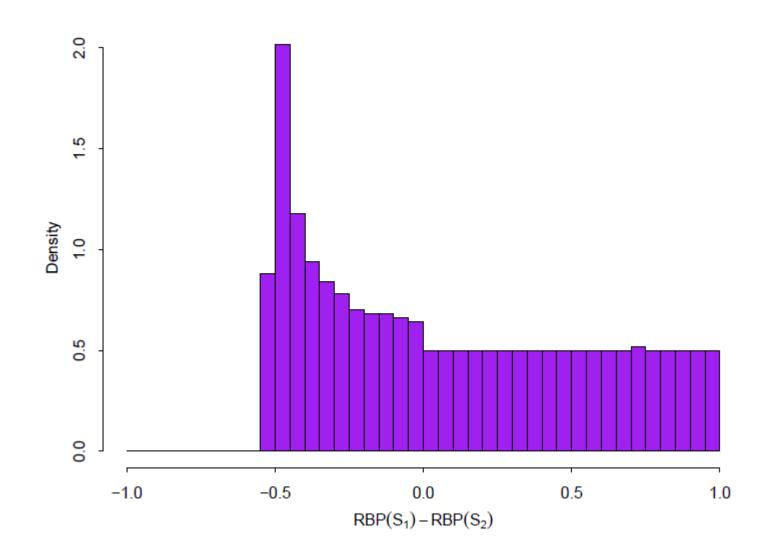
Marginal distribution over values of a measure

$$P(M = m) = \int P(M = m | \theta) P(\theta) d\theta$$
$$P(M = m | \theta) = \begin{cases} 1, & \text{if } M = m \\ 0, & o.w. \end{cases}$$

- Monte Carlo simulation to estimate the distribution
 - Sample values of θ , calculate M
 - Infer P(M) over many trials

- Patience uniformly distributed
- $S_1 = [R N N N N N N N N N]$
- $S_2 = [N R R R R R R R R R R]$





Part 1: Evaluation Measures

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 - 3. Novelty and Diversity-based measures
 - 4. Session-based measures
- Evaluation of evaluation measures

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EU employment guidelines can help member states confr... TheParliamentMagazine.eu - Jun 15, 2015 Tackling unemployment in the EU will require more investment and ... In the short run, the social effects of the crisis need to be targeted in an ...



All EU economies set to expand for first time since crisis Financial Times - May 27, 2015 All the economies of EU member states are set to expand this year

for the first time since the financial crisis as a combination of cheap oil, the ...



US Urges European Leaders to Solve Greek Crisis Quickly New York Times - May 27, 2015

"Failure to agree on a path forward would create immediate hardship for Greece and broad uncertainties for Europe and the global economy," ...

Yahoo News

Ewald Nowotny: There is no ECB flexibility for Greece In-Depth - Telegraph.co.uk - May 28, 2015

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The warning came as the governor of the Greek central bank said that Greece was on

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EU officials wereon Tuesday night making preparations for a crisis meeting of leaders on Sunday if, as now expected, the talks between finance ministers on Thursday prove fruitless. Amid the third straight day of sharp declines on the Athens stock market, EU ...



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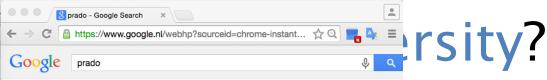




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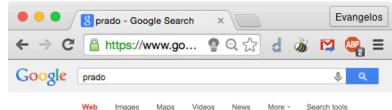
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Intent-Aware Measures

- Assume there is a probability distribution *P(i/Q)* over intents for a query Q
 - Probability that a randomly-sampled user means intent i when submitting query Q
- The intent-aware version of a measure is its weighted average over this distribution

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P(Prado Museum | Q) = 0.35

P(Prado Balboa | Q) = 0.10

P(Toyota Prado Q) = 0.45

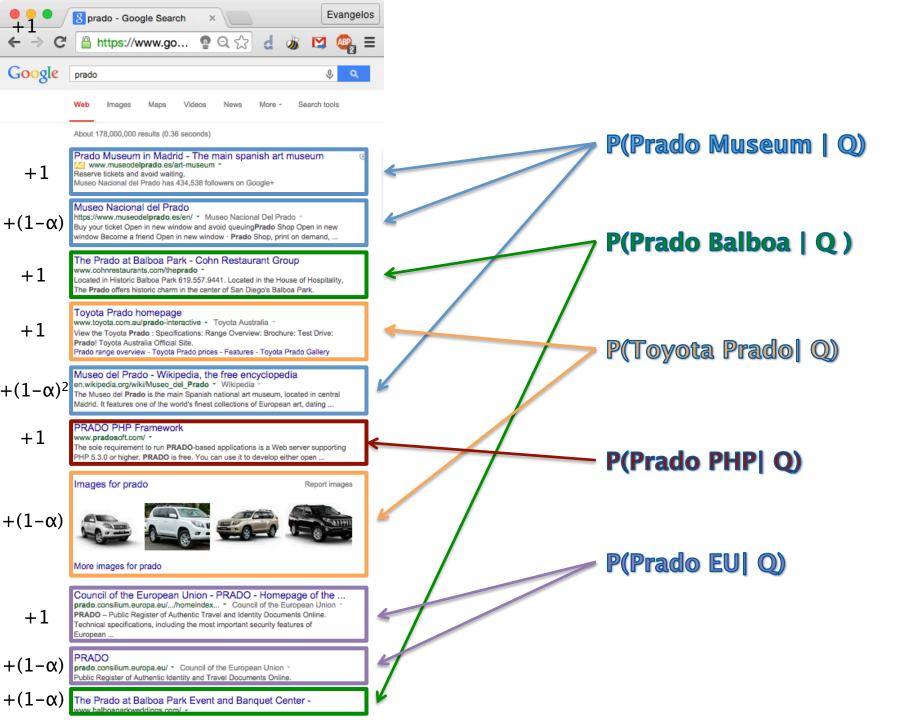
P(Prado PHP | Q) = 0.08

• P(Prado EU| Q) = 0.02

Precision@10-IA = 0.35*0.3 + 0.10*0.2+ 0.45*0.2 + 0.08*0.1 + 0.02*0.2 = 0.227

α-nDCG

- α-nDCG is a generalization of nDCG that accounts for both novelty and diversity
- α is a geometric penalization for redundancy
 - Redefine the gain of a document:
 - +1 for each intent it is relevant to
 - $\times(1-\alpha)$ for each document higher in the ranking that intent already appeared in
- Discount is the same as usual



Finding intents and probabilities

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11391	how to rid your yard of noisy annoying birds	2006	-03-01	17:24	: 35		http://www.saferbrand.com
11391	how do i get mocking birds out of my yard	2006	-03-01	17:27	: 17		
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Inferring Query Intent from Reformulations and Clicks

Filip Radlinski Microsoft Research Cambridge, UK filiprad@microsoft.com Martin Szummer Microsoft Research Cambridge, UK szummer@microsoft.com Nick Craswell Microsoft Redmond, WA, USA nickcr@microsoft.com

INPUT QUERY: house house house hugh plans md aurie home house plans โมงมา fax tv house house tv show plans EXPAND house house hugh plans md aurie home house plans โมงมา house house tv show plans FILTER house hugh plans home house plans luxury house CLUSTER

Figure 1: Three Steps of Intent Identification

Finding intents and probabilities

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Random Walks on the Click Graph



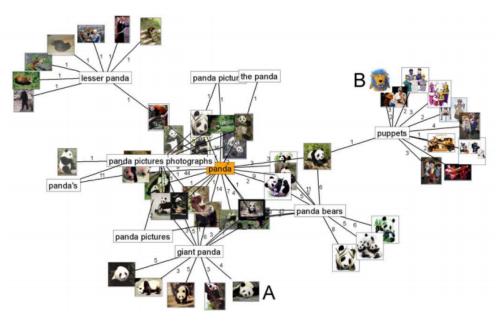


Figure 1: Click graph. Nodes are queries or images, edges indicate clicks. Images A and B are equidistant from the query 'panda' (distance=3), so retrieval based on a naïve shortest-path algorithm could not distinguish them. Our Markov random walk approach sums over paths, so image A benefits from having 7 distinct paths of length 3. In other words, nodes A and 'panda' are connected by a large "volume" of paths.

Part 1: Evaluation Measures

- Evaluation measures
 - 1. Traditional evaluation measures
 - 2. Model-based measures
 - 3. Novelty and Diversity-based measures
 - 4. Session-based measures
- Evaluation of evaluation measures

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Session-based Evaluation

- Focus on serving the best results for a one-shot query
- Users frequently reformulate their initial query
- Questions:
 - Can we measure the effectiveness of a retrieval system over a sequence of reformulations (session)?
 - Can we optimize systems to provide better results over a session?

From single queries to sessions

- TREC Session Track 2010-2014
 - Goal:
 - Extend the evaluation framework from one query evaluation to multi-query session evaluation
 - Construct appropriate test collections
 - Establish new evaluation measures

TREC 2014 Session Track

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The Session track is running for a fifth time in 2014. The Session track has two primary goals: (1) to test whether systems can improve their performance for a given query by using previous user interactions with a retrieval system (including previous queries, clicks on ranked results, dwell times, etc.), and (2) to evaluate system performance over an entire query session instead of a single query.

 Mailing list:
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Coordinators: <u>Ben Carterette</u> (University of Delaware, US) <u>Evangelos Kanoulas</u> (Google Zurich) <u>Mark Hall</u> (Edge Hill University, UK) <u>Paul Clough</u> (University of Sheffield, UK)

Construct appropriate test collections

Rethink of evaluation measures

Construct appropriate test collections

Rethink of evaluation measures

Experiment

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Construct appropriate test collections

Rethink of evaluation measures

What is a good system?

How can we measure "goodness"?

Measuring "goodness"

The user steps down a ranked list of documents, observes each one of them until a decision point and either

a) abandons the search, or

b) reformulates

While stepping down or sideways, the user accumulates utility

Experiment

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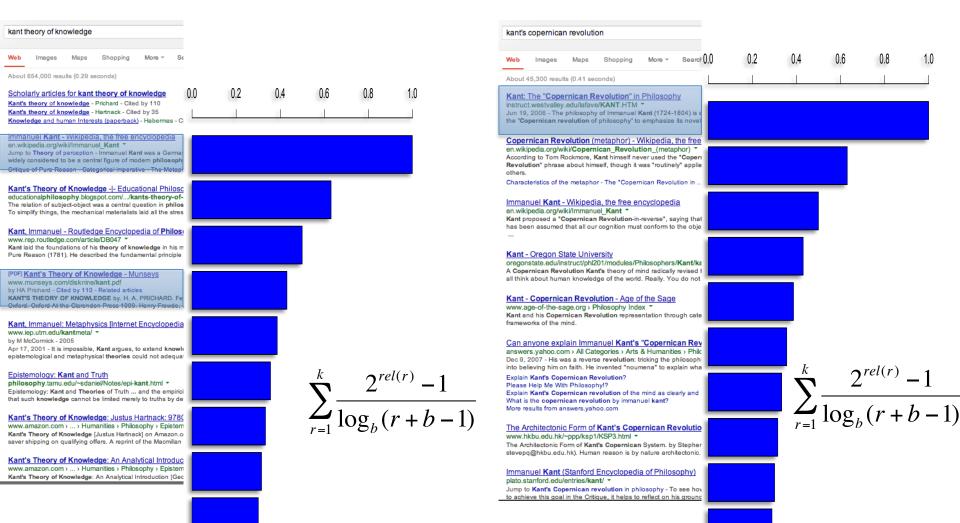
What are the challenges?



Session DCG

Discounted Cumulated Gain Based Evaluation of Multiple-Query IR Sessions

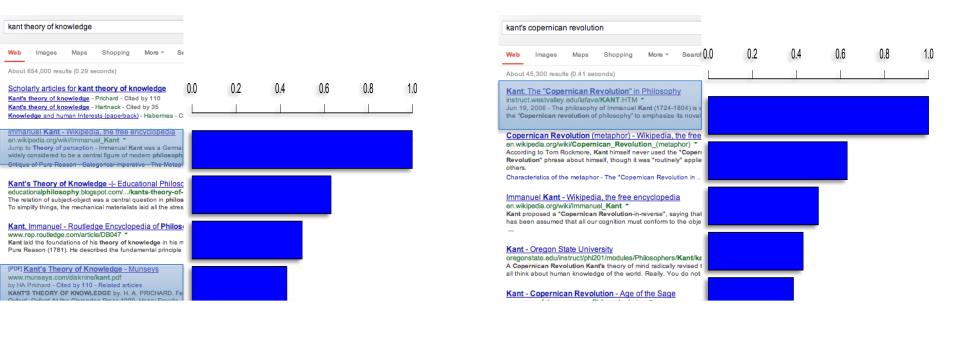
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Session DCG

Discounted Cumulated Gain Based Evaluation of Multiple-Query IR Sessions

Kalervo Järvelin¹, Susan L. Price², Lois M.L. Delcambre², and Marianne Lykke Nielsen³



$$\frac{1}{\log_{c}(1+c-1)}DCG(RL1) + \frac{1}{\log_{c}(2+c-1)} \cdot DCG(RL2)$$

Existing measures

 Session DCG [Järvelin et al ECIR 2008] The user steps down the ranked list until rank k and reformulates [Deterministic; no early abandonment]

Model-based measures

Probabilistic space of users following different paths

- $\boldsymbol{\Omega}$ is the space of all paths
- $P(\omega)$ is the prob of a user following a path ω in Ω
- U(ω) is the utility of path ω in Ω

$$esM = \sum_{\omega \in \Omega} P(\omega) U(\omega)$$

[Yang and Lad, ICTIR 2009]

Expected Global Utility

Modeling Expected Utility of Multi-session Information Distillation

Yiming Yang and Abhimanyu Lad

- 1. User steps down ranked results one-byone
- 2. Stops browsing documents based on a stochastic process that defines a stopping probability distribution over ranks and reformulates
- 3. Gains something from relevant documents, accumulating utility

Expected Global Utility

• The probability of a user following a path ω :

$$P(\omega) = P(r_1, r_2, ..., r_K)$$

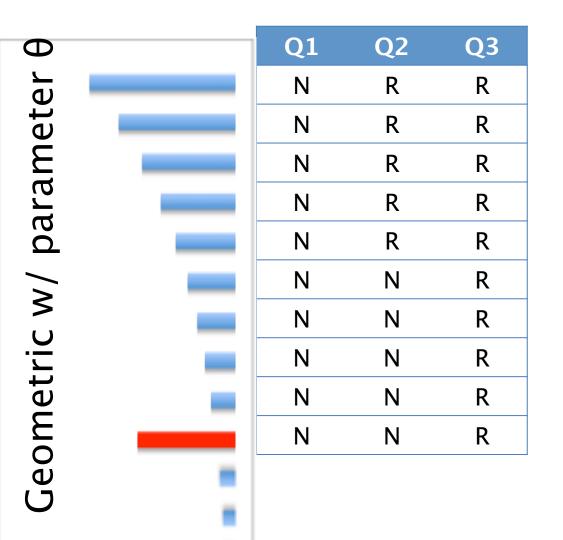
- *r_i* is the stopping and reformulation point in list *i*
- Assumption: stopping positions in each list are independent

$$P(r_1, r_2, ..., r_K) = P(r_1)P(r_2)...P(r_K)$$

 Use geometric distribution (RBP) to model the stopping and reformulation behavior

$$P(r_i = r) = (1 - \vartheta)\vartheta^{k-1}$$

Expected Global Utility



Existing measures

- Session DCG [Järvelin et al ECIR 2008] The user steps down the ranked list until rank k and reformulates [Deterministic; no early abandonment]
- Expected global utility [Yang and Lad ICTIR 2009]

The user steps down a ranked list of documents until a decision point and reformulates [Stochastic; no early abandonment]

Model-based measures

Probabilistic space of users following different paths

- $\boldsymbol{\Omega}$ is the space of all paths
- $P(\omega)$ is the prob of a user following a path ω in Ω
- M_{ω} is a measure over a path ω

$$\mathrm{es}M = \sum_{\omega \in \Omega} P(\omega) M_{\omega}$$

[Kanoulas et al. SIGIR 2011]

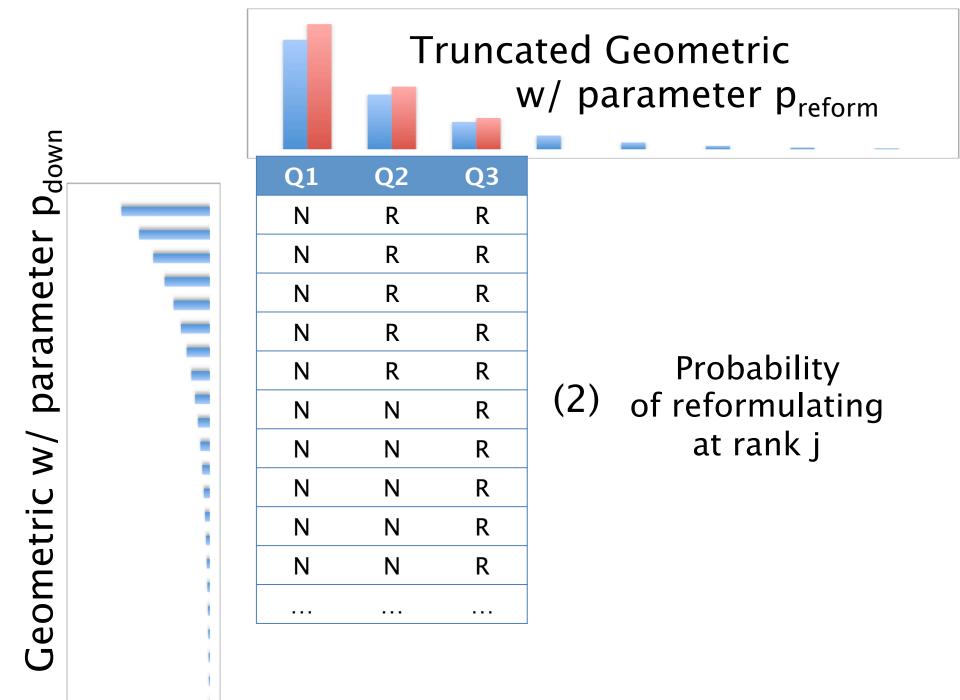
Probability of a path

Q1	Q2	Q3
Ν	R	R
Ν	R	R
Ν	R	R
Ν	R	R
Ν	R	R
Ν	N	R
Ν	Ν	R
Ν	N	R
Ν	N	R
Ν	N	R

Probability of abandoning at reform 2 X Probability of reformulating at rank 3

	G	eome	etric v	v/ parameter p _{reforn}
			_	
Q1	Q2	Q 3		
Ν	R	R		
Ν	R	R		
Ν	R	R		
Ν	R	R	-	Probability
Ν	R	R	(1)	of abandoning
Ν	Ν	R	(1)	the session at
Ν	Ν	R	-	reformulation i
Ν	N	R		
Ν	Ν	R	-	
Ν	Ν	R	-	

Truncated Geometric w/ parameter p _{reform}								
Q1	Q2	Q3						
N	R	R						
N	R	R						
N	R	R						
N	R	R		Probability				
Ν	R	R		of abandoning				
N	Ν	R	(1)	the session at				
Ν	Ν	R		reformulation i				
Ν	Ν	R						
Ν	Ν	R						
N	Ν	R						
•••	•••							



Existing measures

- Session DCG [Järvelin et al ECIR 2008] The user steps down the ranked list until rank k and reformulates [Deterministic; no early abandonment]
- Expected global utility [Yang and Lad ICTIR 2009] The user steps down a ranked list of documents until a decision point and reformulates [Stochastic; no early abandonment]
- Expected session measures [Kanoulas et al. SIGIR 2011] The user steps down a ranked list of documents until a decision point and either abandons the query or reformulates [Stochastic; allows early abandonment]

Part 1: Evaluation Measures

- Evaluation measures
 - 1. Traditional evaluation measures
 - 2. Model-based measures
 - 3. Novelty and Diversity-based measures
 - 4. Session-based measures
- Evaluation of evaluation measures

Meta-evaluation

- Evaluate how good evaluation measures are
 - 1. Evaluate the models based on query logs
 - 2. Compare with side-by-side
 - 3. Compare their discriminative power
 - 4. Compare their informativeness

1. Query-log evaluation of models

A Comparative Study of Click Models for Web Search

Artem Grotov, Aleksandr Chuklin*, Ilya Markov Luka Stout, Finde Xumara, and Maarten de Rijke

Log–likelihood

 how well a model approximates observed data

• Perplexity

- how surprised a model is to see a click at rank r in a session s
- Correlation with click-metrics

1. Query-log evaluation of models

Expected Reciprocal Rank for Graded Relevance

Olivier Chapelle	Donald Metzler
Yahoo! Labs	Yahoo! Labs
Santa Clara, CA	Santa Clara, CA
chap@yahoo-inc.com	metzler@yahoo-inc.com
Ya Zhang	Pierre Grinspan
Yahoo! Labs	Google Inc
Sunnyvale, CA	San Bruno, CA
yazhang@yahoo-inc.com	pgrinspan@gmail.com

Table 2: Correlation between click and editorial metrics. Top row: market 1; bottom row: market 2. Left column: depth 10; right column: depth 5.

	DCG	NDCG	AP	RR	ERR		DCG	NDCG	AP	\mathbf{RR}	ERR
UCTR	0.028	-0.009	0.016	0.156	0.214	UCTR	0.131	0.108	0.225	0.238	0.307
$\max RR$	0.125	0.046	0.109	0.304	0.393	$\max RR$	0.197	0.199	0.348	0.366	0.449
mean RR	0.147	0.063	0.129	0.333	0.428	mean RR	0.220	0.217	0.376	0.395	0.480
min RR	0.162	0.076	0.142	0.352	0.451	$\min RR$	0.235	0.230	0.394	0.414	0.500
PLC	0.138	0.057	0.121	0.321	0.413	PLC	0.210	0.209	0.364	0.382	0.466
SS	0.236	0.156	0.165	0.329	0.384	SS	0.357	0.361	0.402	0.415	0.482
							•				
	DCG	NDCG	\mathbf{AP}	$\mathbf{R}\mathbf{R}$	ERR		DCG	NDCG	\mathbf{AP}	$\mathbf{R}\mathbf{R}$	ERR
UCTR	0.374	0.268	0.435	0.592	0.664	UCTR	0.298	0.215	0.335	0.356	0.435
$\max RR$	0.389	0.348	0.473	0.626	0.700	$\max RR$	0.319	0.253	0.369	0.407	0.493
mean RR	0.410	0.347	0.479	0.642	0.710	mean RR	0.347	0.268	0.395	0.434	0.515
min RR	0.423	0.346	0.479	0.649	0.712	$\min RR$	0.362	0.275	0.408	0.447	0.524
PLC	0.399	0.347	0.480	0.635	0.708	PLC	0.334	0.261	0.386	0.424	0.507
SS	0.461	0.309	0.249	0.467	0.501	SS	0.427	0.378	0.373	0.402	0.465

2. Side-by-side

Side-by-side

Do User Preferences and Evaluation Measures Line Up?

Mark Sanderson, Monica Lestari Paramita, Paul Clough, Evangelos Kanoulas Department of Information Studies, University of Sheffield Regent Court, 211 Portobello St, Sheffield, S1 4DP, UK +44 114 22 22648

m.sanderson@shef.ac.uk

COOGEE BEACH SYDNEY AUSTRALIA.

Predict choice

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Coogee Beach - Sydney - Reviews of Coogee Beach - TripAdvisor Coogee Beach, Sydney. See 7 reviews, articles, and 2 photos of Coogee Beach, ranked No.10 on TripAdvisor among 397 attractions in Sydney. www.tripadvisor.com/Attraction.Review.g55060-d257492-Reviews-Coogee_Beach-Sydney_New_South_Wales html - Cached - Similar

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Coogee. New South Wales - Wikipedia, the free encyclopedia Jump to and Coogee Bay along with Coogee Beach lie towards the eastern ...: Coogee was gazetted as a village ... to become the Coogee Bay ... History - Transport - Geography and landmarks en wikipedia org/wiki/Coogee_New_South_Wales - Cached - Similar

<u>Coogee Beach - Sydney</u> Coogee Beach in the eastern suburbs of Sydney: coogee beach sydney sydney coogee bondi beach larry cossies. goaustralia.about.com/cs/sydneysightscity/l/blpiccg1.htm - <u>Cached</u> - <u>Similar</u>

Coogee Beach - Sydney - Reviews of Coogee Beach - TripAdvisor Coogee Beach, Sydney: See 7 reviews, articles, and 2 photos of Coogee Beach, ranked No.10 on TripAdvisor among 397 attractions in Sydney. www.tripadvisor.com/Attraction_Review-g255060-d257492-Reviews-Coogee_Beach-Sydney_New_South_Wales.html - Cached - Similar

2. Side-by-side

Users	nDC	nDCG		P(10)		२
Agree	160	65%	131	62%	164	66%
Rnk eql	21	9%	18	9%	21	9%
Disgree	66	27%	61	29%	62	25%
	247		210		247	

Users	α−nD	CG	IA-I	PC
Agree	50	64%	28	60%
Rnk eq	4	5%	1	2%
Disgree	24	31%	18	38%
	78		47	

3. Discriminative power

Evaluating Evaluation Metrics based on the Bootstrap

Tetsuya Sakai Knowledge Media Laboratory, Toshiba Corporate R&D Center tetsuya.sakai@toshiba.co.jp

Evaluating Diversified Search Results Using Per-intent Graded Relevance

Tetsuya Sakai Microsoft Research Asia, Beijing, P.R.C. tetsuyasakai@acm.org Ruihua Song Microsoft Research Asia, Beijing, P.R.C. Song.Ruihua@microsoft.com

4. Informativeness

- A ranked list of relevance judgments defines a search engine performance
- How much does a measure reduce one's uncertainty in the underlying list of relevance?
 - Informative measures: large reduction in uncertainty
 - Non-informative measures: little or no reduction in uncertainty

The Maximum Entropy Method for Analyzing Retrieval Measures

Javed A. Aslam; Emine Yilmaz, Virgiliu Pavlu College of Computer and Information Science Northeastern University 360 Huntington Ave, #202 WVH Boston, MA 02115 {jaa,emine,vip}@ccs.neu.edu

Informativeness

A system retrieves *N* documents. What is the probability of relevance of each document in the list?

Informativeness

A system retrieves *N* documents. What is the probability of relevance of each document in the list?

> No additional information. Most natural answer 1/2.

Informativeness

A system retrieves *N* documents. *R* of them are relevant. What is the probability of relevance of each document in the list?

Informativeness

A system retrieves *N* documents. *R* of them are relevant. What is the probability of relevance of each document in the list?

Most natural answer R/N.

Informativeness

A system retrieves *N* documents. *R* of them are relevant. The average precision of the system is *ap.* What is the probability of relevance of each document in the list?

Informativeness

A system retrieves *N* documents. *R* of them are relevant. The average precision of the system is *ap.* What is the probability of relevance of each document in the list?

> If *ap* is high, then top documents are more likely to be relevant. But how can we find the most reasonable distribution?

The Maximum Entropy Method

- The most "reasonable" distribution satisfying the given constraints
- Principle of Maximal Ignorance
 - Pick distribution which is least predictable (most random) subject to constraints
- How to measure randomness? Entropy
- Thus, max entropy distribution subject to constraints

Setup for AP Constraint

- Goal:
 - Given the average precision value (ap) of a list, infer probability of relevance of document at rank i
- Maximum entropy setup:
 - Maximize

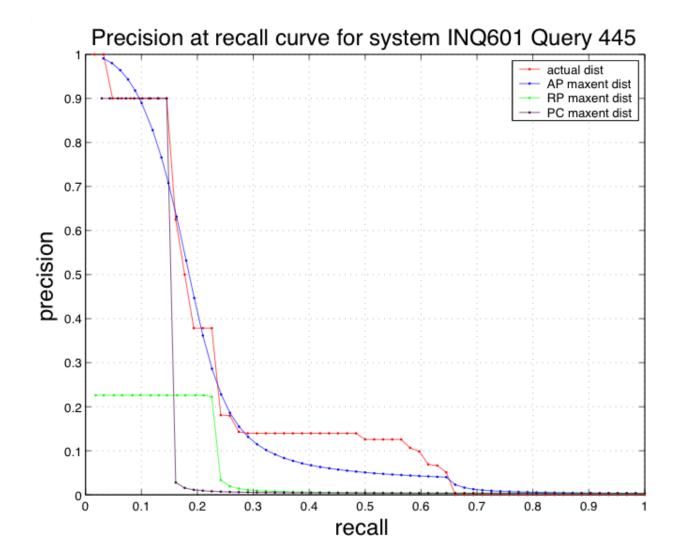
•
$$\sum_{i=1}^{N} H(p_i)$$

- Subject to

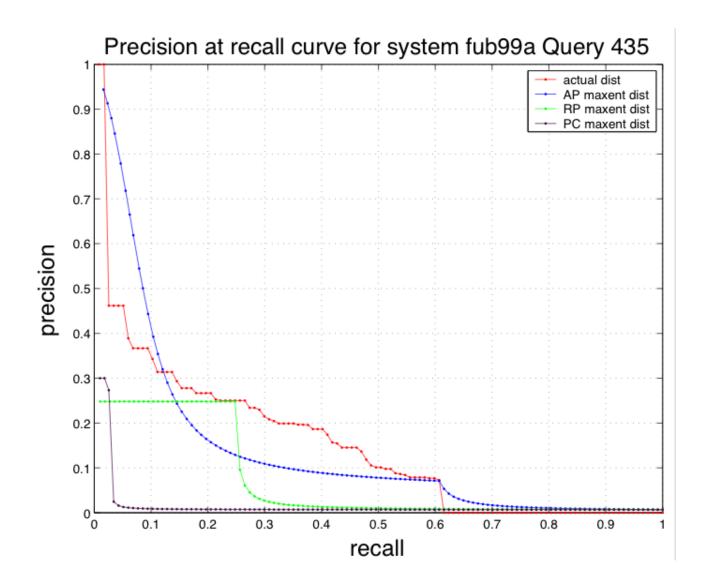
•
$$E[AP] = \frac{1}{R} \sum_{i=1}^{N} \left(\frac{p_i}{i} \left(1 + \sum_{j=1}^{i-1} \right) \right) = ap$$

• $E[R] = \sum_{i=1}^{N} p_i = R$

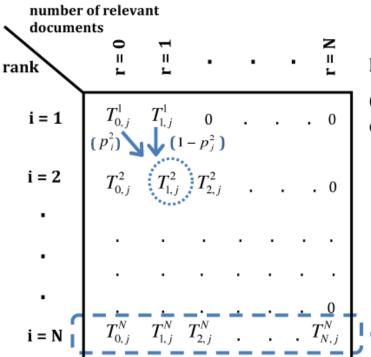
Actual and Inferred P-R Curves



Actual and Inferred P-R Curves



Setup for Cascade and Intent-Aware Measures



Example :

Given P_j^2 is the probability of relevance value to the intent j for the document at rank i=2, the gain value from the previous rank would

increase by G_j^2 with a probability of P_j^2 , or it would remain unchanged with a probability of $1 - p_j^2$. These two alternatives affect the expected value of the measure at rank i=2 as follows:

$$T_{1,j}^{2} = p_{j}^{2}(T_{0,j}^{1} + \dot{G}_{j}^{2}) + (1 - p_{j}^{2})T_{1,j}^{1}$$

Distribution of the expected value of the measure for the top **N** Documents

On the Informativeness of Cascade and Intent-Aware Effectiveness Measures

Azin Ashkan and Charles L.A. Clarke Cheriton School of Computer Science University of Waterloo, Canada {aashkan, claclark}@cs.uwaterloo.ca

Setup for Cascade and Intent-Aware Measures

Maximize
$$\sum_{i=1}^{N} H(P^{i})$$

Subject to:
1. $\sum_{i=1}^{N} p^{i} = R_{ret}$
2. $T_{r}^{i} = p^{i} [T_{r-1}^{i-1} + \frac{(1-\alpha)^{r-1}}{i}] + (1-p^{i})T_{r}^{i-1} = ERR_{ret}$
 $T_{r}^{i} = 0 \quad \forall i < r$

(a) ERR

Maximize
$$\sum_{i=1}^{N} H(P^{i})$$

Subject to:
1. $\sum_{i=1}^{N} p^{i} = R_{ret}$
2. $T_{r}^{i} = p^{i} [T_{r-1}^{i-1} + (1-\alpha)^{r-1} \beta^{i-1}] + (1-p^{i})T_{r}^{i-1} = RBP_{ret}$
 $T_{r}^{i} = 0 \quad \forall i < r$

(b) RBP

Maximize $\sum_{i=1}^{N} \sum_{j=1}^{M} H(p_{j}^{i})$

Subject to:

1.
$$\forall 1 \le j \le M$$
, $\sum_{i=1}^{N} p_{j}^{i} = R_{ret}^{j}$
2. $T_{r,j}^{i} = p_{j}^{i} [T_{r-1,j}^{i-1} + \frac{(1-\alpha)^{r-1}}{i}] + (1-p_{j}^{i})T_{r,j}^{i-1}$
 $T_{r}^{i} = 0 \quad \forall i < r$
 $\frac{1}{M} \sum_{j=1}^{M} T_{R_{ret},j}^{N} = ERR_IA_{ret}$

Maximize
$$\sum_{i=1}^{n} \sum_{j=1}^{m} H(p_{j}^{i})$$

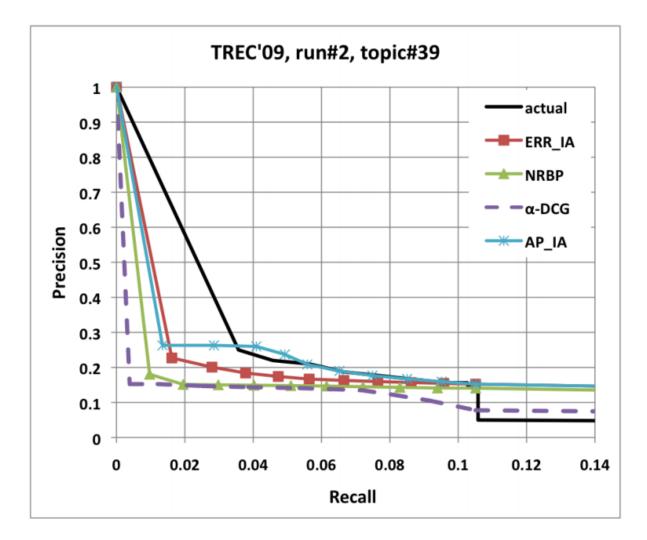
Subject to:
1. $\forall 1 \le j \le M$, $\sum_{i=1}^{N} p_{j}^{i} = R_{ret}^{j}$
2. $T_{r,j}^{i} = p_{j}^{i} [T_{r-1,j}^{i-1} + \frac{(1-\alpha)^{r-1}}{\log_{2} i}] + (1-p_{j}^{i})T_{r,j}^{i-1}$
 $T_{r,j}^{i} = 0 \quad \forall i < r$
 $\frac{1}{M} \sum_{j=1}^{M} T_{R_{ret},j}^{N} = \alpha DCG_{ret}$

(c) α -DCG

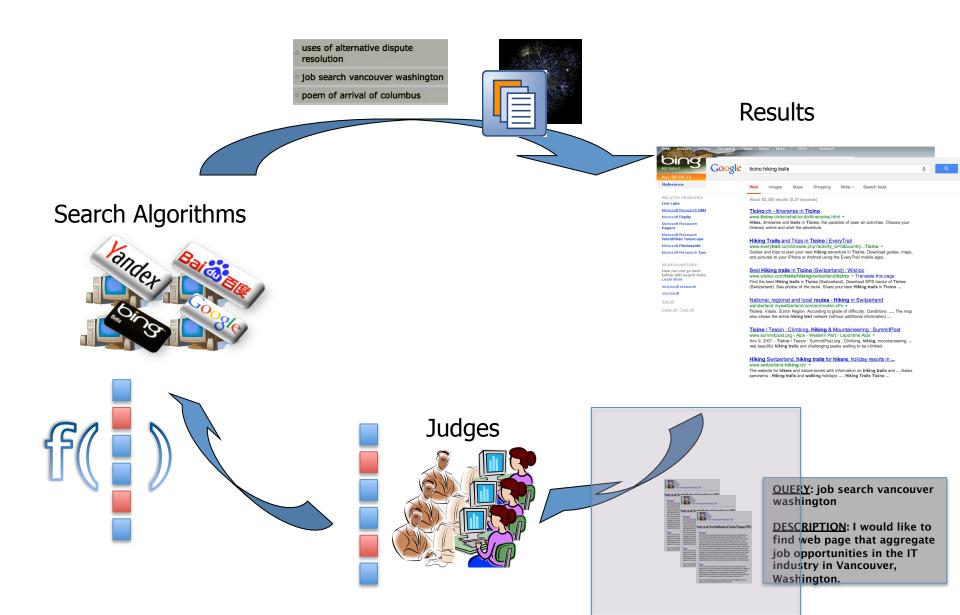
 $N \longrightarrow M$

(a) ERR_IA

Actual and Inferred P-R Curves



Part 2. Relevance Judgments



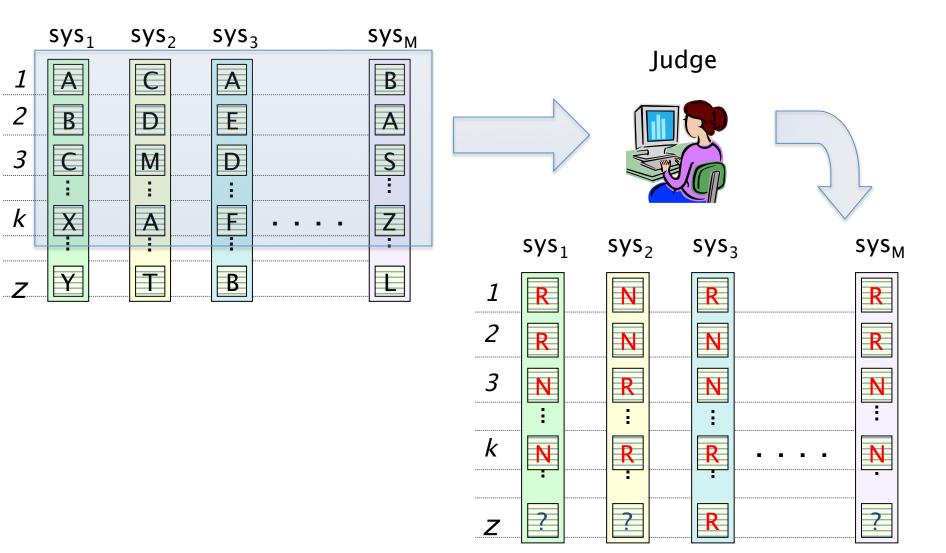
How many documents to judge?

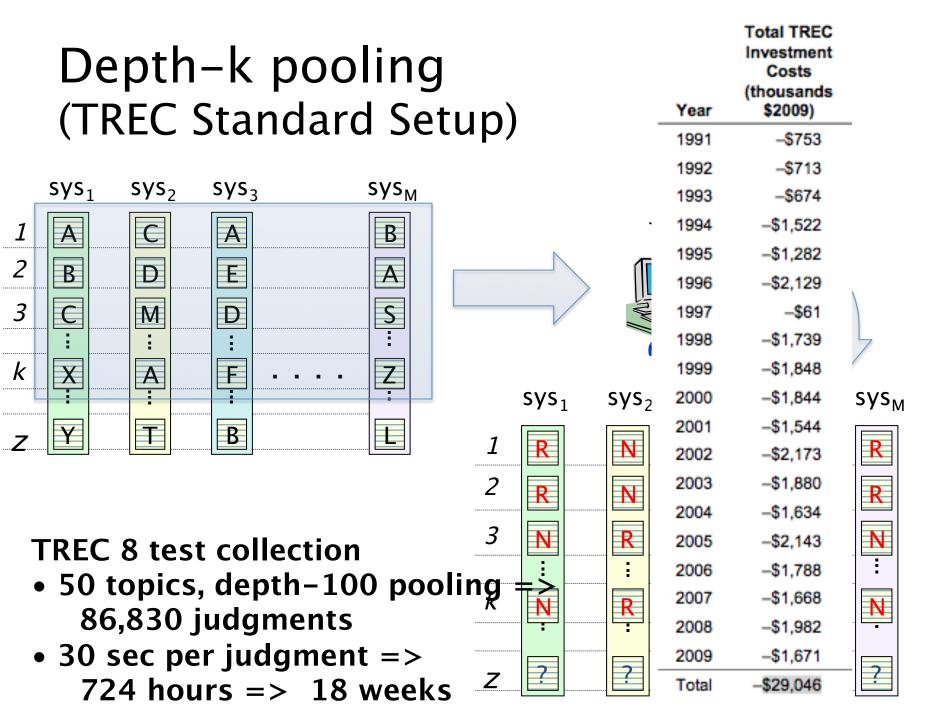
- Many measures are based on
 - Recall : "out of all good documents in the collection, how many did the algorithm find?"
 - all good documents in the collection need to be identified

Choosing Query–Document Pairs

- Deterministic
 - 1. Depth-k pooling (standard method)
 - 2. Evaluating without judgments (automatic eval)
 - 3. Finding relevance documents as quickly as possible
 - 4. Nugget-based evaluation
- Stochastic
 - 5. Estimating measures
 - 6. Inferring relevance judgments

Depth-k pooling (TREC Standard Setup)

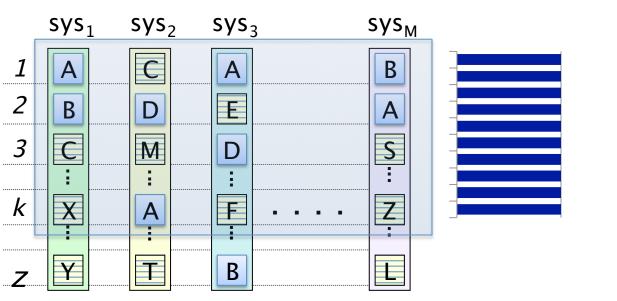


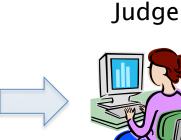


Choosing Query–Document Pairs

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- Stochastic
 - 5. Sampling and Estimating measures
 - 6. Sampling and Inferring relevance judgments

Uniform random sampling





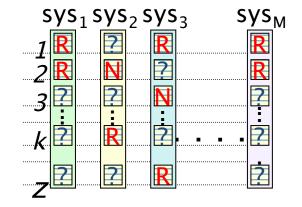




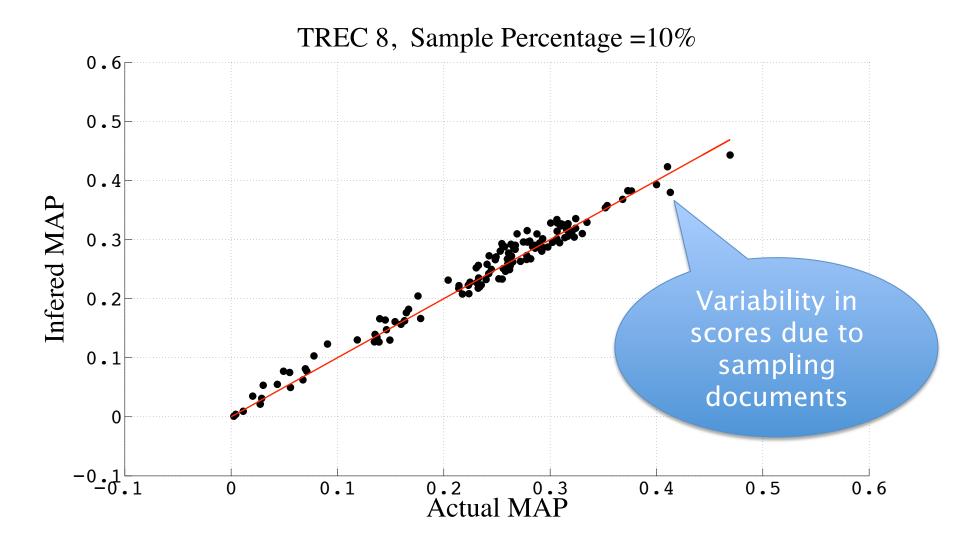
Inferred AP : Estimating Average Precision with **Incomplete Judgments**

Emine Yilmaz, Javed A. Aslam* College of Computer and Information Science Northeastern University 360 Huntington Ave, #202 WVH Boston, MA 02115

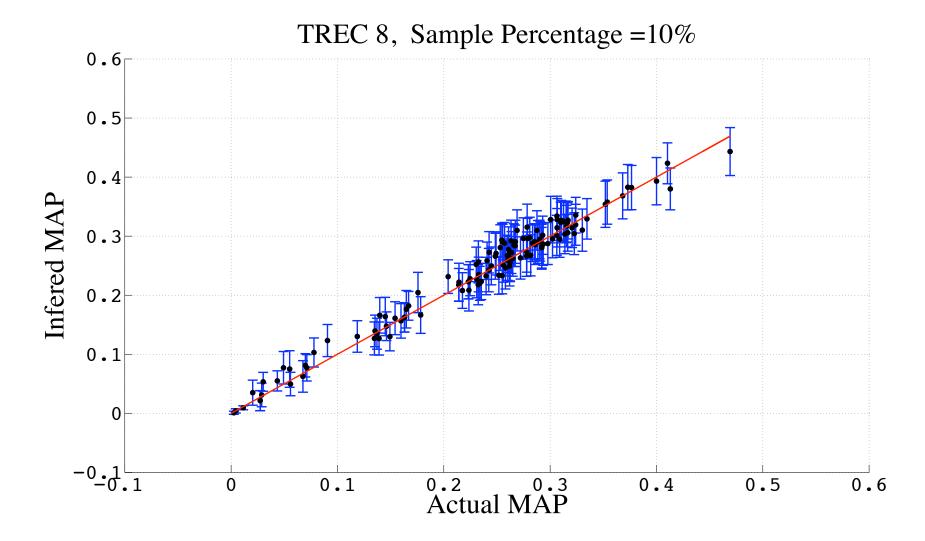
{emine, jaa}@ccs.neu.edu



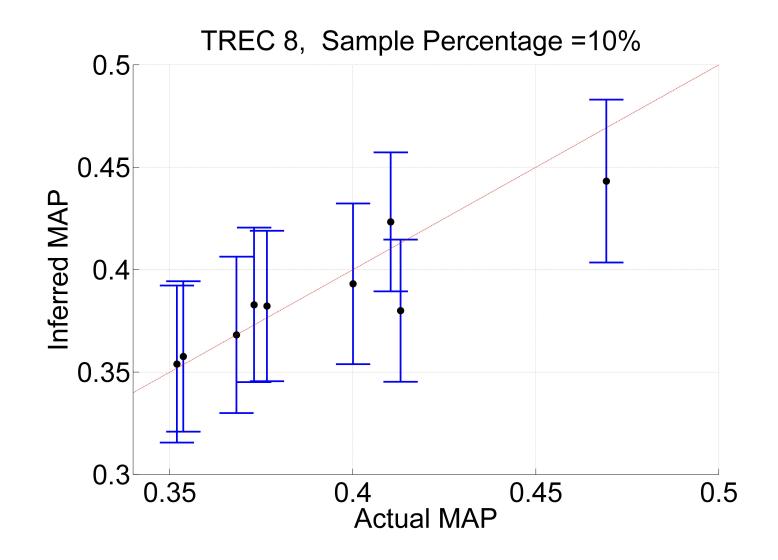
Inferred Measures



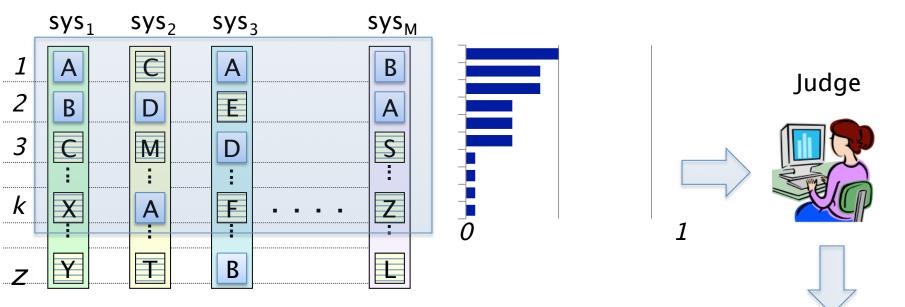
Confidence Intervals for Inferred Measures



Confidence Intervals for Inferred Measures



Stratified Random Sampling

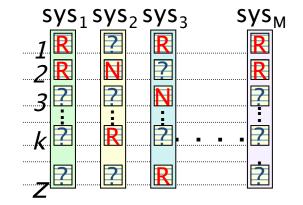


A Simple and Efficient Sampling Method for Estimating AP and NDCG

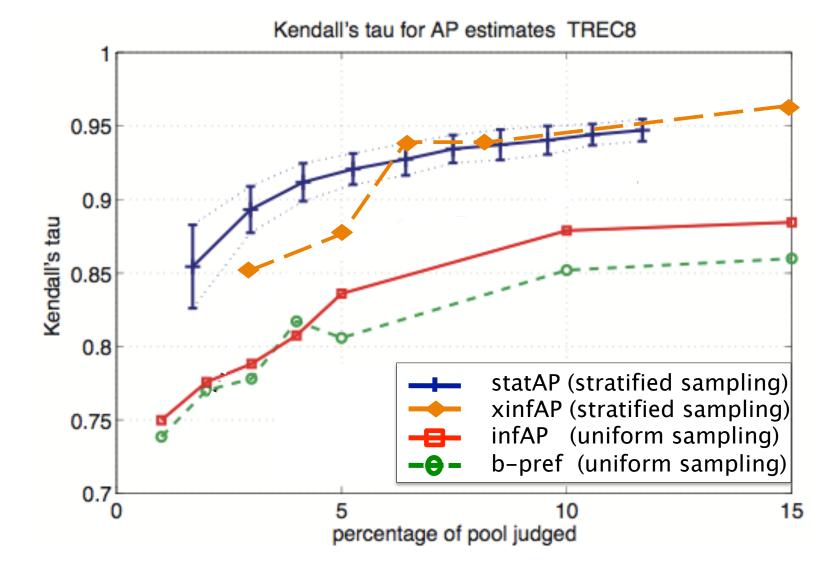
Emine Yilmaz* eminey@microsoft.com Evangelos Kanoulas[†] ekanou@ccs.neu.edu

Javed A. Aslam[†]* jaa@ccs.neu.edu

*Microsoft Research 7 JJ Thomson Avenue Cambridge CB3 0FB, UK [†]College of Computer and Information Science Northeastern University 360 Huntington Ave, #202 WVH Boston, MA 02115



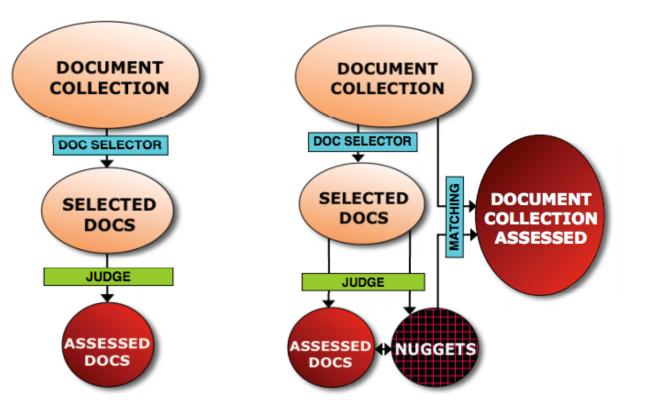
Comparison of the measures: Kendall's Tau



Choosing Query–Document Pairs

- Deterministic
 - 1. Depth-k pooling (standard method)
 - 2. Evaluating without judgments (automatic eval)
 - 3. Finding relevance documents as quickly as possible
 - 4. Nugget-based evaluation
- Stochastic
 - 5. Sampling and Estimating measures
 - 6. Sampling and Inferring relevance judgments

Nugget-based Evaluation



IR System Evaluation using Nugget-based Test Collections

Virgil Pavlu Shahzad Rajput Peter B. Golbus Javed A. Aslam College of Computer and Information Science, Northeastern University {vip, rajput, pgolbus, jaa}@ccs.neu.edu*

Nugget-based Evaluation

Algorithm:

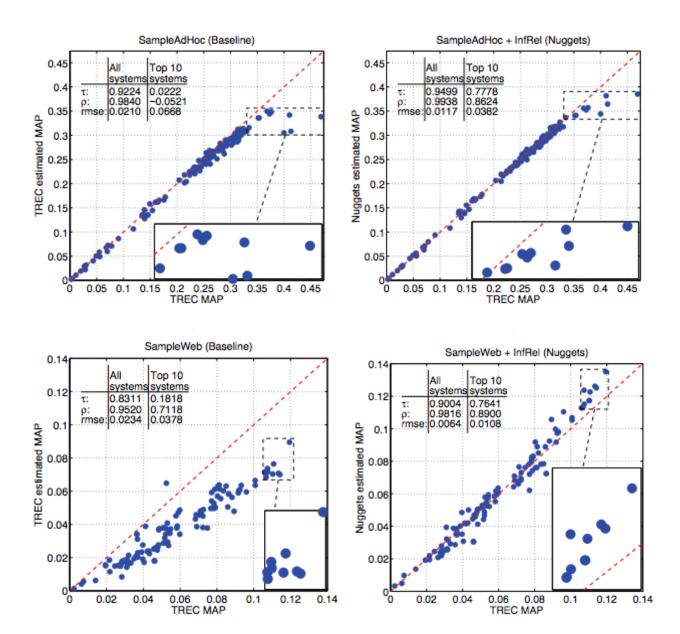
- 1. Sample documents (stratified sampling)
- 2. Extract nuggets
 - Smallest portion of text that constitutes relevant information
- 3. Infer relevance
 - Matching algorithm between nuggets and documents
 - Threshold on relevance



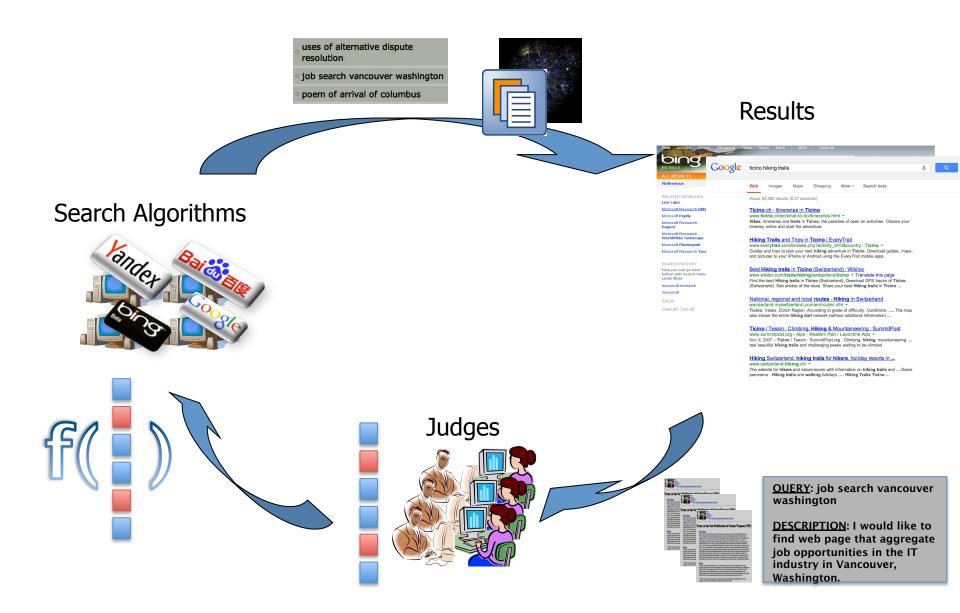


One Click Access evaluation at NTCIR

Nugget-based Evaluation



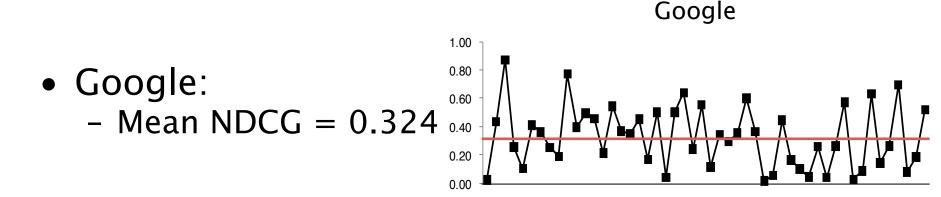
Test Collection Construction



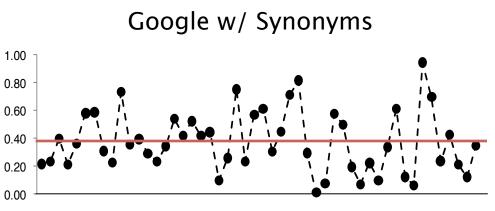
2. Comparative Evaluation

Comparing Retrieval Systems

 Hypothesis: Expanding a short keyword query with synonyms will improve search engine effectiveness



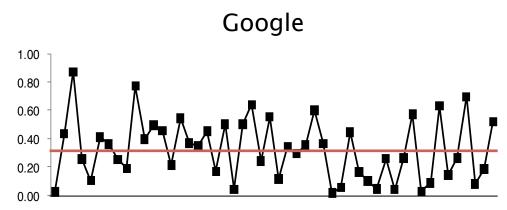
- Google
 w/ Synonyms
 - Mean NDCG = 0.373



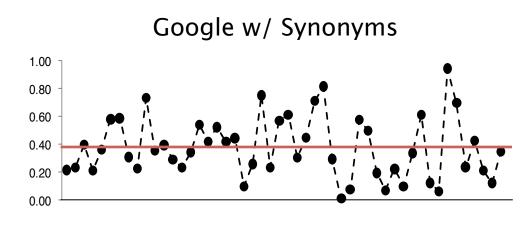
So what?

• Do these results support my hypothesis?

 Is it possible that my results are just random?







Hypotheses and Experiments

• Hypothesis:

- Using an SVM for classification will give better accuracy than using Naïve Bayes
- Expanding a short keyword query with synonyms will improve search engine effectiveness

• Experiment:

- Build a baseline system
- Modify it based on your hypothesis
- Try both systems on one or more data sets

Statistical Significance Testing

• Two hypotheses, e.g.

$$- H_0: B - A = 0$$

-
$$H_a$$
: B-A \neq 0 or B-A>0

- System performance measurements over a sample of queries
- A test statistic T computed from those measurements
- The distribution of the test statistic under H₀

Statistical Significance Testing

• We want to prove the null hypothesis wrong

- A p-value is
 - the probability of sampling the test statistic T ...
 - ... from a distribution obtained by assuming H_0 is true
 - If the p-value is low, conclude H_0 is false

What Are Tests Telling Us?

- Fisher: p-value is the likelihood of the data under H_0
 - The p-value is a conclusion about this particular experiment only
 - Nothing more, nothing less
- Neyman-Pearson: p < 0.05 means we can reject H₀ as being unlikely to be true
 - p-values lead to inference about population
 - The p-value itself is not interesting; the inference is
 - Note that we do not accept that H₁ is true!

What Are Tests NOT Telling Us?

- NOT the probability of H₀ is true
- NOT the probability that the results are due to chance

NOT whether the experiment is reliable

Commonly used tests

A Comparison of Statistical Significance Tests for Information Retrieval Evaluation

Mark D. Smucker, James Allan, and Ben Carterette Center for Intelligent Information Retrieval Department of Computer Science University of Massachusetts Amherst {smucker, allan, carteret}@cs.umass.edu

- Non-parametric
 - Sign test/binomial test
 - Wilcoxon signed rank test
- Parametric
 - Student's t-test
- Distribution–free
 - Randomization test
 - Bootstrap test

Student's t-test

- Assumptions
 - follow normal distribution

• Statistic :
$$t = \frac{\overline{B-A}}{\sigma_{B-A}} \cdot \sqrt{N}$$



 t-test performs well even when the normality assumption is violated [Hull SIGIR93]

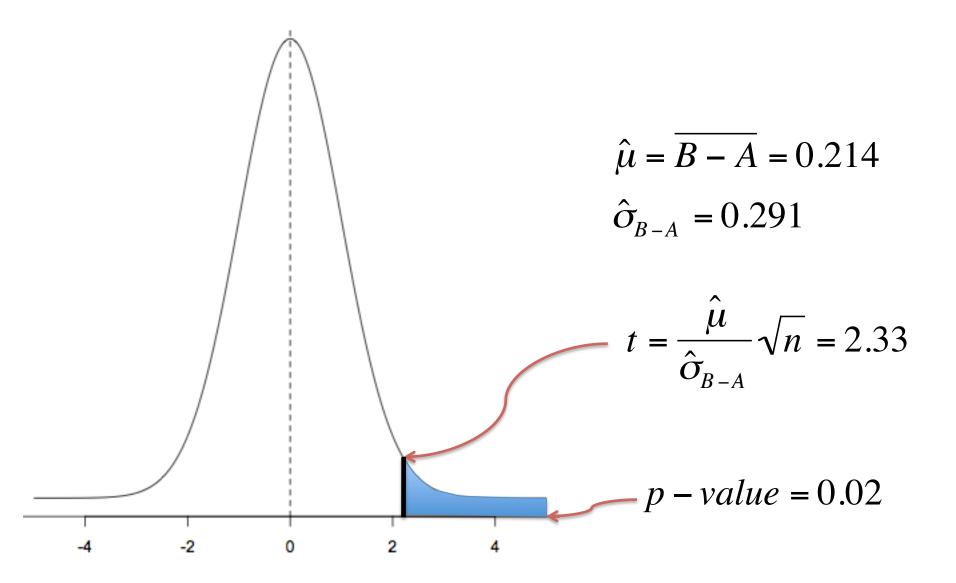
Student's t-test

Quer y	Α	В	B-A
1	.25	.35	+.10
2	.43	.84	+.41
3	.39	.15	24
4	.75	.75	0
5	.43	.68	+.25
6	.15	.85	+.70
7	.20	.80	+.60
8	.52	.50	02
9	.49	.58	+.09
10	.50	.75	+.25

$$\hat{\mu} = \overline{B - A} = 0.214$$
$$\hat{\sigma}_{B - A} = 0.291$$

$$t = \frac{\hat{\mu}}{\hat{\sigma}_{B-A}} \sqrt{n} = 2.33$$

Student's t-test



Randomization test

- Loop for many times {
 - Load query scores for 2 systems
 - Randomly swap values per topic
 - Compute average for each syster
 - Compute difference between averages
 - Add difference to array
- Sort array
- If actual difference outside 95% differences in array
 - Two systems are significantly different

Query	А		В
1	.25		.35
2	.43	\longleftrightarrow	.84
3	.39	←→	.15
4	.75	←→	.75
5	.43		.68
6	.15	←→	.85
7	.20	←→	.80
8	.52		.50
9	.49		.58
10	.50		.75

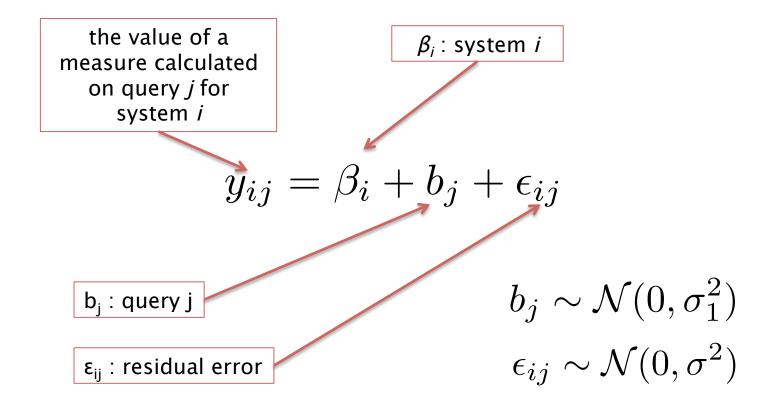
Bootstrap test

- Loop for many times {
 - Load topic scores for 2 systems
 - Randomly sample pair of scores w/ replacement
 - Compute average for each system
 - Compute difference between averages
 - Add difference to array
 - }
- Sort array
- If actual difference outside 95% differences in array
 - Two systems are significantly different

The Linear Model

- Statistical tests are classifier
 - Like classifiers, they are based on an underlying mode
 - Unlike classifiers, we cannot evaluate them directly
- The t-test is based on a linear regression model

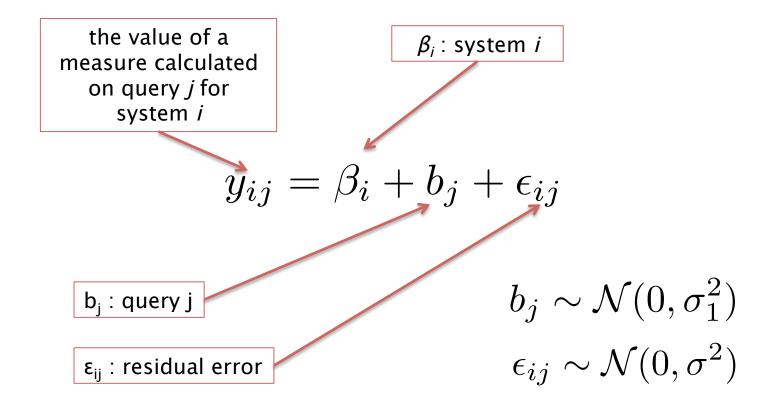
• T-test

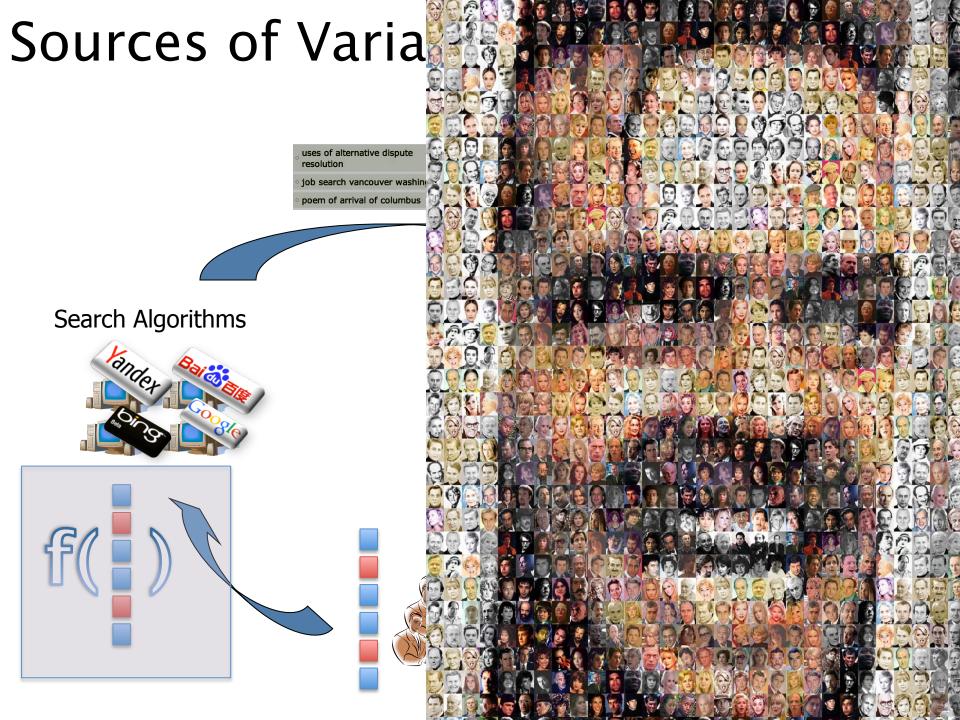


 In the statistical programming environment R

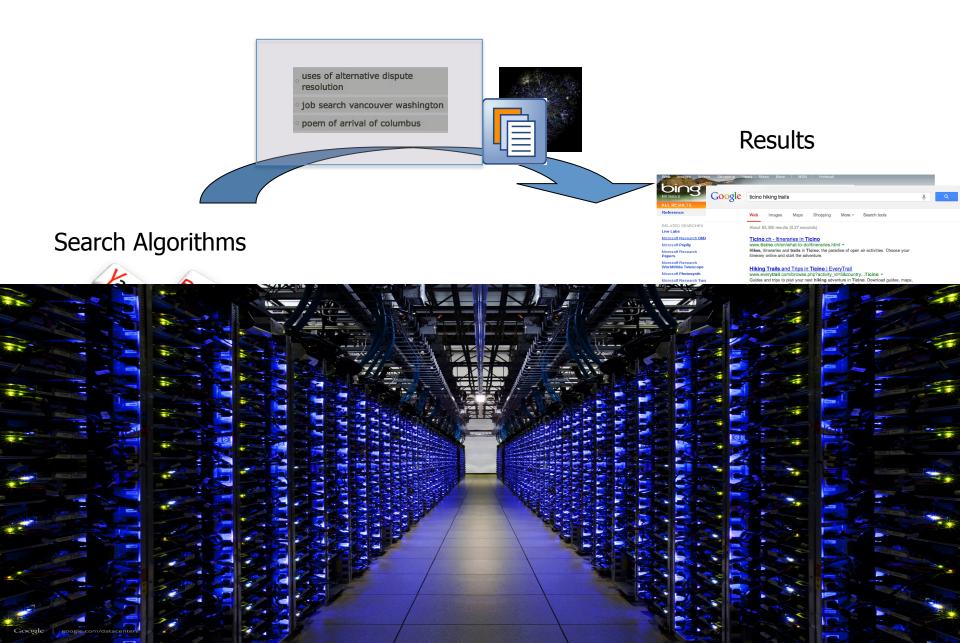
Ime(effectiveness ~ system, data=data, random=~1|query)

• T-test

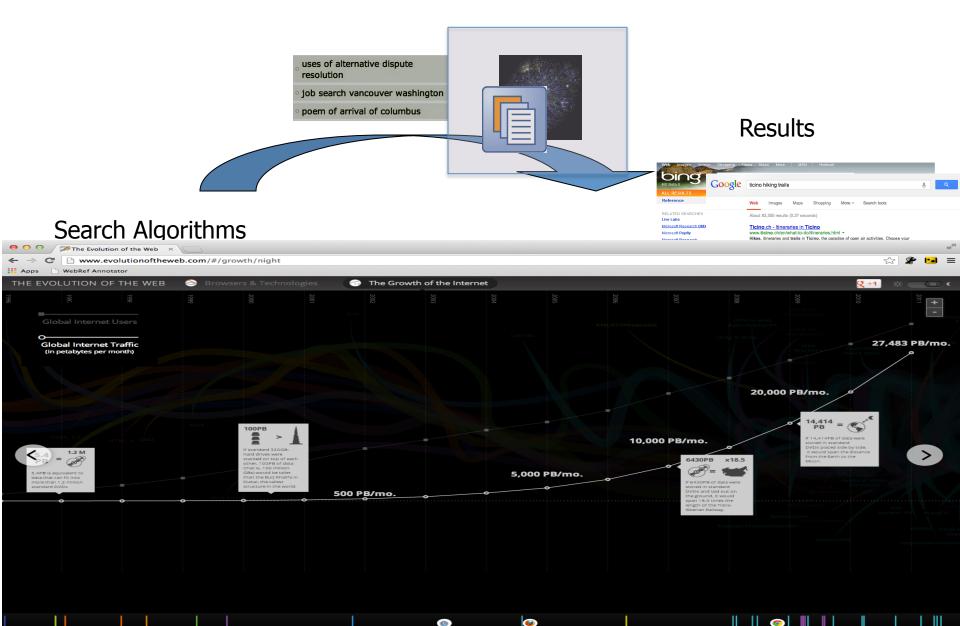




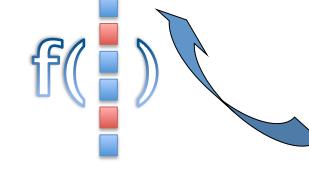
Sources of Variance in IR

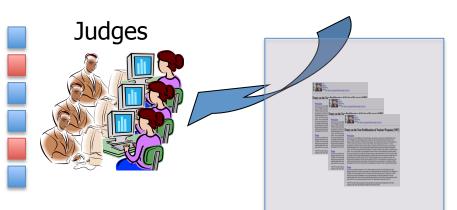


Sources of Variance in IR











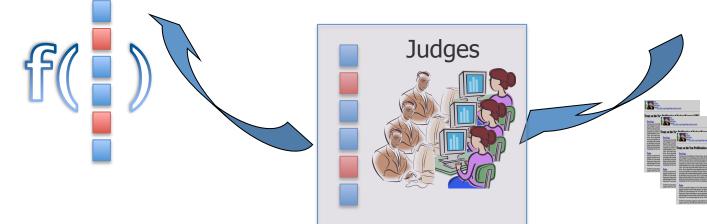


1

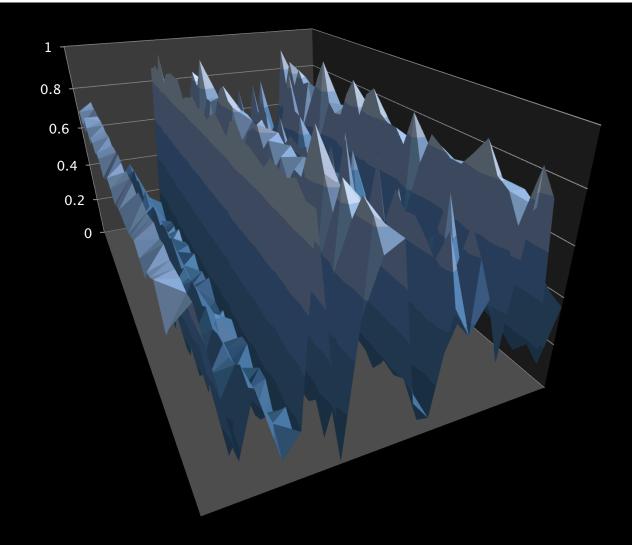
Ticino. Valais. Zürich Region. According to grade of difficulty: Conditions: The map also shows the entire hiking trail network (without additional information) ...

Ticino / Tessin : Climbing, Hiking & Mountaineering : SummitPost www.summtpost.org > Alps - Western Part > Lepontine Alps > Nov 9, 2007 - Teline / Tessin : SummitPost.org : Climbing, hiking, mountaineering, ... real beautiful hiking trails and challenging peaks waiting to be climbed.

Hiking Switzerland, hiking trails for hikers, holiday resorts in ... www.switzerland-hiking.ch/ * The website for hikers and nature-lovers with information on hiking trails and ... Swiss panorama - Hiking trails and walking holiday ... Hiking Trails Ticino ...



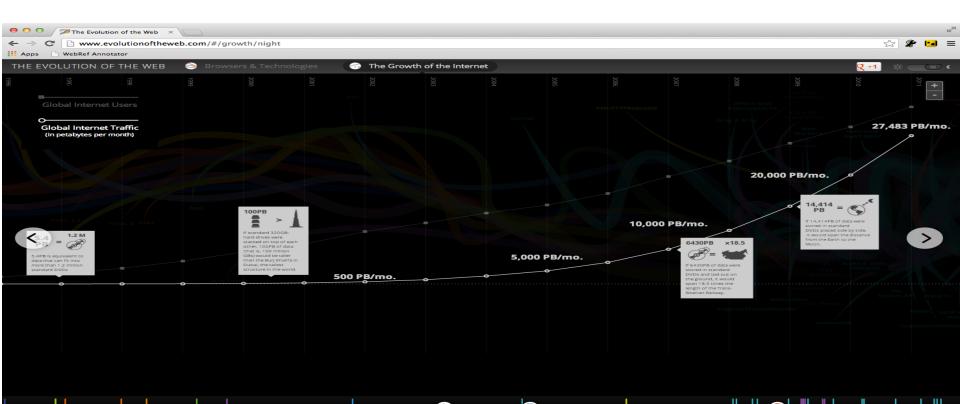
Multiple Sources of Variance



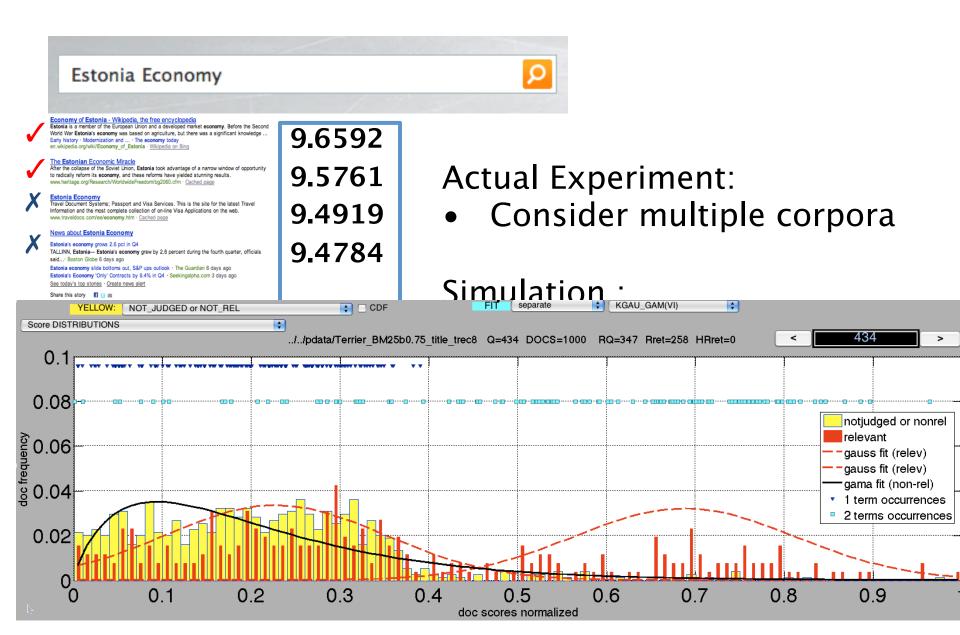
Variance due to Document Collection

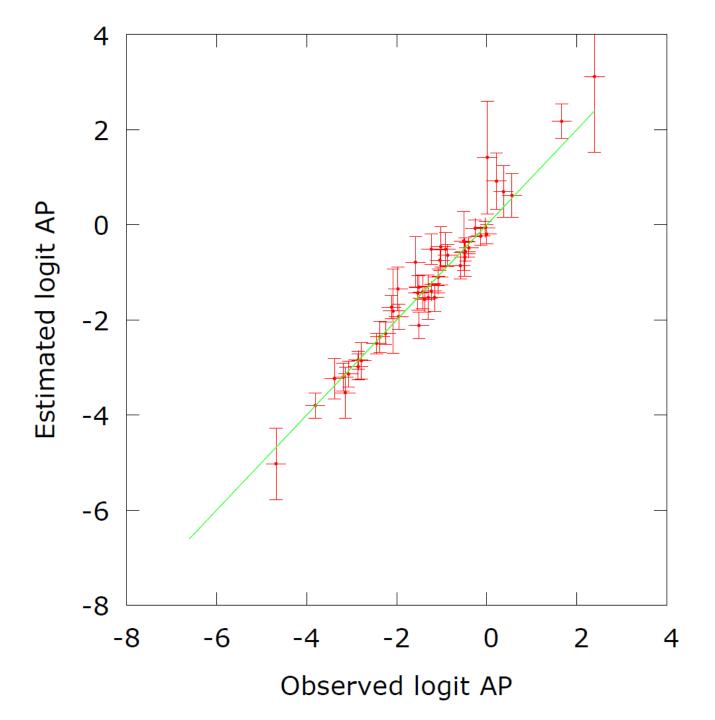
On Per-topic Variance in IR Evaluation

Stephen E. Robertson Microsoft Research 7 JJ Thomson Avenue Cambridge CB3 0FB, UK stephenerobertson@hotmail.co.uk Evangelos Kanoulas Information School University of Sheffield Sheffield, UK ekanoulas@gmail.com

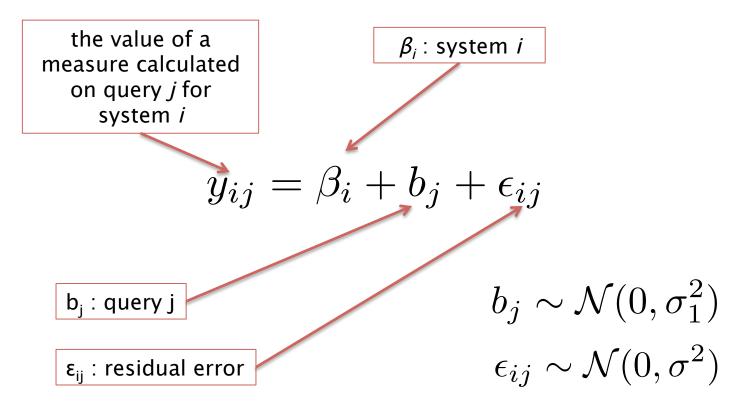


Simulation of multiple collections





- Single source of variance
 - Query effect



- Two sources of variance
 - Query effect
 - Collection effect (within query variance)

$$y_{ijk} = \beta_i + b_j + c_{ij} + \epsilon_{ijk}$$

$$b_j \sim \mathcal{N}(0, \sigma_1^2)$$
 $c_{ij} \sim \mathcal{N}(0, \sigma_2^2)$ $\epsilon_{ijk} \sim \mathcal{N}(0, \sigma^2)$

• In the statistical programming environment **R**

Ime1 <- Ime(effectiveness~system, data=df, random=~1|query/system)</pre>

summary(lme1)

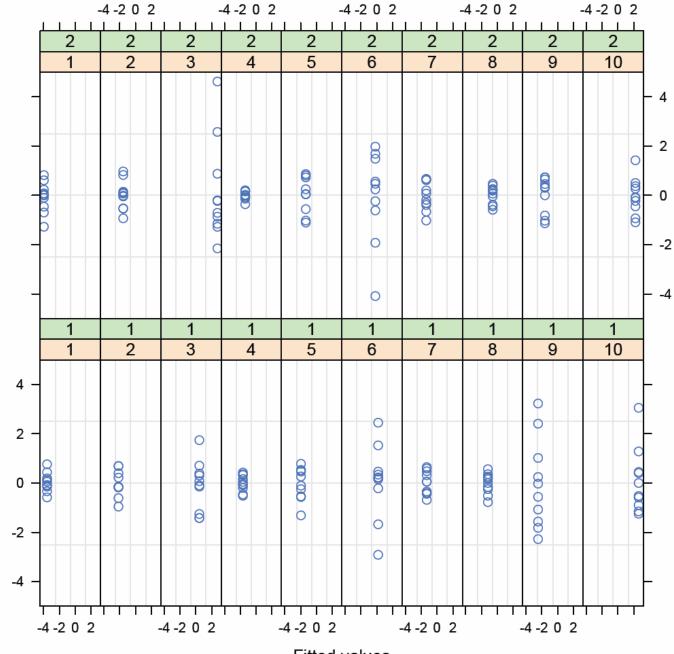
Random effects: Formula: ~1 | query (Intercept) StdDev: 1.539644

Formula: ~1 | system %in% query (Intercept) Residual

StdDev:

0.6191864 0.6386645

Fixed effects: y ~ system Value Std.Error DF t-value p-value (Intercept) -1.3445 0.2438470 846 -5.514077 0.0000 system2 0.0999 0.1343512 46 0.744112 0.4606



Mixed-effects Homoscedastic Model

Standardized residuals

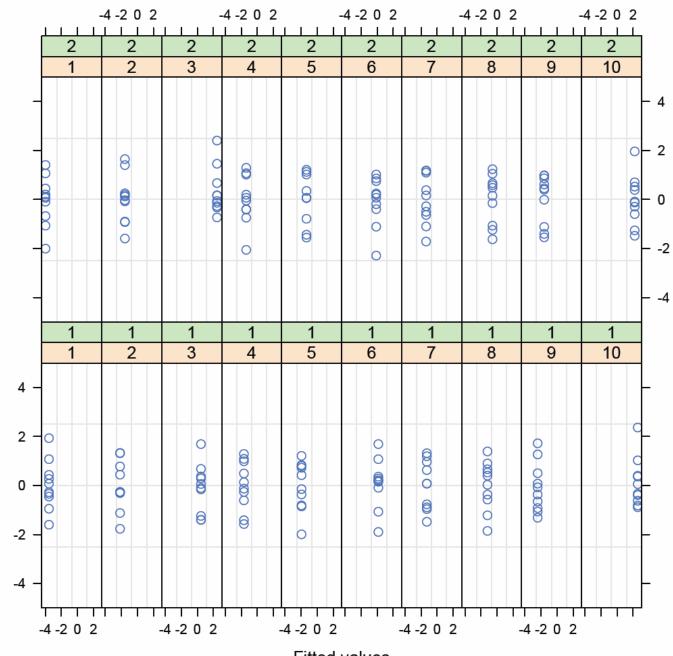
Fitted values

- Two sources of variance
 - Query effect
 - Collection effect (within query variance)

$$y_{ijk} = \beta_i + b_j + c_{ij} + \epsilon_{ijk}$$

$$b_j \sim \mathcal{N}(0, \sigma_1^2)$$
 $c_{ij} \sim \mathcal{N}(0, \sigma_2^2)$ $\epsilon_{ijk} \sim \mathcal{N}(0, \sigma_{ij}^2)$

Heteroscedastic Model



Standardized residuals

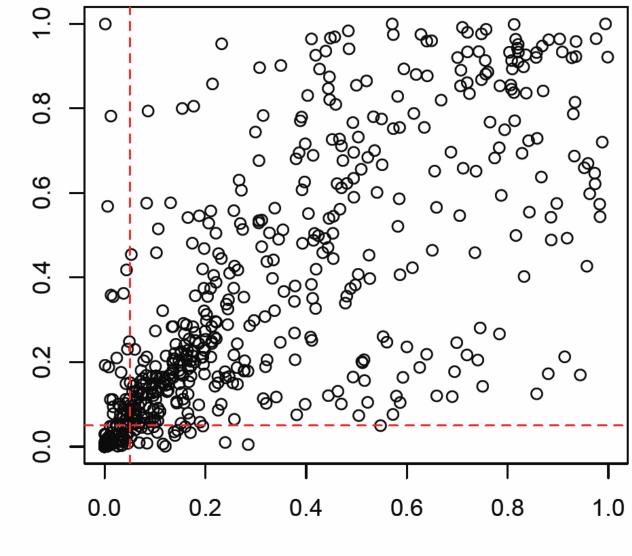
Mixed-effects Heteroscedastic Model

Fitted values

• In the statistical programming environment **R**

Random effects: Formula: ~1 query (Intercept) StdDev: 1.447164	Formula: ~1 sys StdDev:	stem %in% query (Intercept) Residual 0.4537618 0.186183				
Variance function: Structure: Different standard deviations per stratum Formula: ~1 query* system Parameter estimates: 1*1 1*2 2*1 2*2 1 0000000 1 01000007 1 2000005 1 5405710						
1.0000000 1.6108387 1.3969085 1.5405710						
Fixed effects: y ~ system						
(Intercept) –1.4385 0.22266	d.Error DF t-value 286 846 -6.460817 09844907 46 1.863342	p-value 0.0000 2 0.0688				

p-values of heteroscedastic model



p-values of t-test (actual)

Variance due to Measu

Simulating Simple User Behavior for System Effectiveness Evaluation

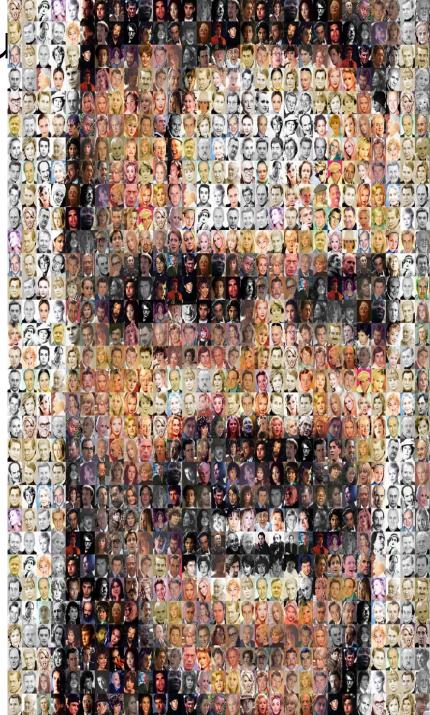
Ben Carterette⁺, Evangelos Kanoulas⁺, Emine Yilmaz⁺ carteret@cis.udel.edu, e.kanoulas@shef.ac.uk, {eminey@microsoft.com, eyilmaz@ku.edu.tr}

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Incorporating Variability in User Behavior into Systems Based Evaluation

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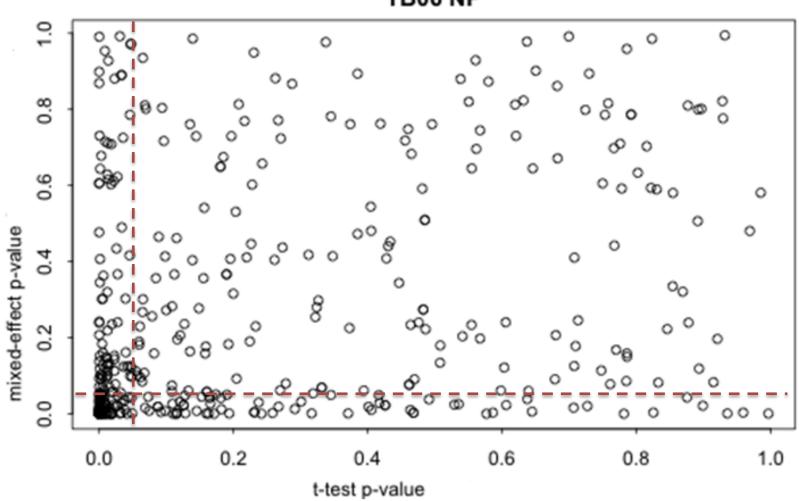
* Department of Computer & Information Sciences, University of Delaware, Newark, DE † Information School, University of Sheffield, Sheffield, UK † Microsoft Research, Cambridge, UK & Koc University, Istanbul, Turkey



$y_{ijk} = \alpha_i + (\beta_j + \phi_j p_k) + (\kappa_{ij} + \gamma_{ij} p_k) + \epsilon_{ijk}$

 y_{ijk} : value of a metric on topic j for system i with parameter p_k

- α_i : effect of system i
- β_j : effect of topic j
- $\varphi_i p_k$: interaction of topic with RBP parameter
- κ_{ii} : system/topic interaction effect
- $\gamma_{ii}p_k$: interaction of system/topic with RBP parameter
- ε_{ijk} : system/topic/parameter interaction effect



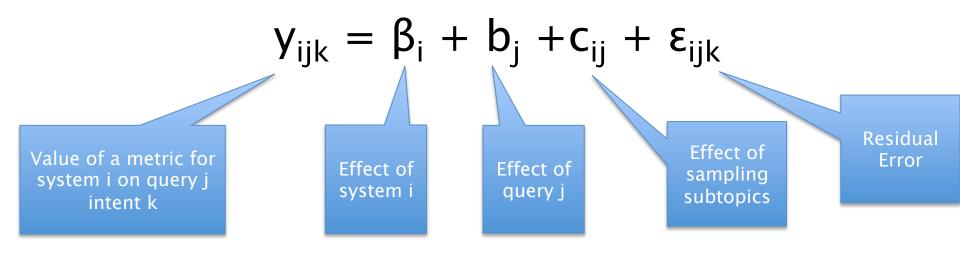
TB06 NP

Variance due to Query Intents

Effect of Intent Descriptions on Retrieval Evaluation

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- Two sources of variance
 - Queries
 - Intents (within queries)



 $b_{j} \sim N(0,\sigma_{1}^{2}), \quad c_{ij} \sim N(0,\sigma_{2}^{2}), \quad \epsilon_{ijk} \sim N(0,\sigma^{2})$

Summary of comparative evaluation

- Always do significance tests
 - Model all the effects
 - Check your assumptions
- Don't just report p-values or * to indicate significance
 - Always report confidence intervals
- Always take results of tests with a grain of salt
 - Especially when the effect size is low
 - Build your intuition and use it

Challenges in collection-based evaluation

- Do users and judges agree on relevance?
 - Particularly difficult for personalized search
 - Particularly difficult for specialized documents
- Do summary aggregate scores reflect users satisfaction?
 Do real users agree with MAP@1000? NDCG@5?
- Ambiguous queries are particularly hard to judge realistically
 - Which intent is most popular? Which others are important?
 - Judges need to correctly appreciate uncertainty
- How do you identify when relevance changes?
 - Temporal changes: Document changes; Query intent changes
- It's expensive to collect new labels

Different approaches to evaluation

- User-studies
- Collection-based evaluation
- In-situ evaluation

 A/B Testing
 Interleaving

