### Online and Offline Evaluation of Search Engine Quality

Evangelos Kanoulas

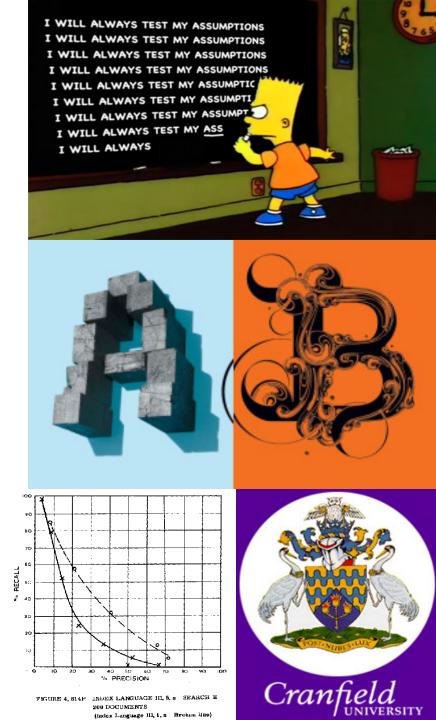


UNIVERSITY OF AMSTERDAM

# Different approaches to evaluation

- User-studies
- Collection-based evaluation
- In-situ evaluation

   A/B Testing
   Interleaving



## Outline

### PART I

- 1. Collection-based Evaluation
- 2. Comparative Evaluation

### PART II

- 3. Online User Behavior
- 4. A/B Testing
- 5. Interleaving
- 6. Comparative Studies

## 3. Online User Behavior

### Offline vs. Online Assumptions

- Basic assumptions:
  - Offline:
    - assessors can tell you what is relevant

- Online:
  - online user behavior can tell you what is relevant

### **Online User Behavior**

- Key assumption: observable user behavior reflects relevance
- Implicit in this: Users behave (somewhat) rationally
  - Real users have a goal when they use an IR system
    - They aren't just bored, typing and clicking pseudo-randomly
  - They consistently work towards that goal
    - An non-relevant result doesn't draw most users away from their goal
  - They aren't trying to confuse you
    - Most users are not trying to provide malicious data to the system

### **Online User Behavior**

- This assumption gives us "high fidelity"
  - Real users replace the judges;
  - No ambiguity in information need;
  - Users actually want results;
  - Measure performance on real queries
- But introduces a major challenge
  - We can't train the users
  - How do we know when they are happy? Real user behavior requires careful design and evaluation

## Different User Signal

- Clicks
- Mouse movement
- Browser action
  - bookmark, save, print
- Time
  - dwell time, time on SERP
- Explicit judgment
  - likes, favourites..
- Other page elements
  - share, ...
- Long term effects
  - sessions per user, abandonment, ...
- Reformulations

Search Engine Result Page (SERP)

Google PhD advice

Web Images Videos News Shopping More - Search tools

About 111,000,000 results (0.46 seconds)

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### User Logs

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	can not sleep with snoring husband 2006-03-01 01:24:00	
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	cannot sleep with snoring husband 2006-03-01 01:24:07 9 http://www.wjla.com	
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	jackie zeaman nude 2006-03-01 15:26:27	
	jackie zeman nude 2006-03-01 15:26:38	
	strange cosmos 2006-03-01 16:07:15 1 http://www.strangecosmos.com	
	mansfield first assembly 2006-03-01 16:09:20 1 http://www.mansfieldfirstassembly.org	
	mansfield first assembly 2006-03-01 16:09:20 3 http://netministries.org	
	reverend harry myers 2006-03-01 16:10:07	
	reverend harry myers 2006-03-01 16:10:30	
	national enquirer 2006-03-01 17:13:14 1 http://www.nationalenquirer.com	
	how to kill mockingbirds 2006-03-01 17:18:11	
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	texas hill country and sights around san antonio tx 2006-03-02 18:19:00 5 http://www.ans	wers.
om		

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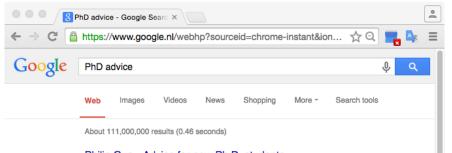
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- How good are clicks?
  - Are these two clicked pages equally "good"?
- How bad are non-clicks?
  - Not relevant
  - Not examined
  - The snippet gave the answer

## Interpreting Clicks

#### Beyond Clicks: Query Reformulation as a Predictor of Search Satisfaction

Ahmed Hassan Microsoft Research One Microsoft Way Redmond, WA 98052, USA hassanam@microsoft.com Xiaolin Shi, Nick Craswell, Bill Ramsey Microsoft Bing One Microsoft Way Redmond, WA 98052, USA xishi,nickcr,brams@microsoft.com

Ω

### • The user performed the following search on July 1<sup>st</sup>, 2012.

### 21-year-old Annandale man dies in head-on crash on Hwy. 55 in Greenfield Tuesday

Posted on January 13, 2010 by Ryan Gueningsman DHJ Managing Editor

## • Clicks do not always mean satisfaction.

bing	woman dies i	n a fatal accident in gre	enfield, minnesota
	000 RESULTS	Narrow by language -	Narrow by region -
www.c US ac	it · · · ·	illed In Greenfie	
erstarr 1-7-20	news.com/2012/07 12 · A 34-year-old	//01/otsego-woman-34-die	in an auto accident Saturday, June
www.g 26-5-2	oerie.com// <mark>man</mark> 015 · A 69-year	-dies-in- <b>greenfield</b> -townsh -old <b>man</b> was killed and hi	otorcycle accident hip-motorcycle-accident ▼ s passenger was seriously injured htorcycle accident. Staff
www.d A 21-y	lelanoheraldjourna ear-old Annandale	al.com/ <b>man</b> -dieshwy-55 e <b>man</b> died from injuries re	bead-on crash on Hwy 5-in-greenfield-tuesday ▼ ceived in a head-on accident on n MN and the surrounding area

#### Man killed in Greenfield car accident - YouTube

www.youtube.com/watch?v=3EfXpg\_ssuA <

By WWLP-22News · 35 sec · 156 views · Added 12-1-2014

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bing 🕨	weather	in amster								
	Web Ir	nages \	/ideos Ma	aps News	s Explore	9				
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	Amsterd	Amsterdam, Noord-Holland								
			C Cloud	dy						
$6^{\circ} 16^{\circ} F Wind 15 KMPH \cdot Feels like 16^{\circ} \cdot Humidity 57\%$										
	Tue 16	Wed 17	Thu 18	Fri 19	Sat 20	Sun 21	Mon 22			
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#### Amsterdam, Netherlands Weather - 10 Day Weather ... www.weather.com/weather/tenday/I/Amsterdam Netherlands NLXX0002

Rain or shine? Be prepared with the most accurate 10 day forecast for Amsterdam, Netherlands, with highs, lows, chance of precipitation and more from weather.com

#### Amsterdam, Netherlands Forecast | Weather Underground

www.wunderground.com/weather-forecast/NL/Amsterdam.html 
Weather Underground provides local & long range Weather Forecast, weather reports,
maps & tropical weather conditions for locations worldwide.

#### **BBC Weather - Amsterdam**

www.bbc.com/weather/2759794 T Detailed weather for Amsterdam with a 5 to 10 day forecast, giving a look further ahead.

## • Lack of clicks does not always mean dissatisfaction.

## Interpreting Clicks

- Clicks are biased and noisy, but useful
  - Clicks are <u>noisy</u>
    - they don't always mean what you hope
    - absence of clicks is not always negative

## Interpreting Clicks

Evaluating the Accuracy of Implicit Feedback from Clicks and Query Reformulations in Web Search

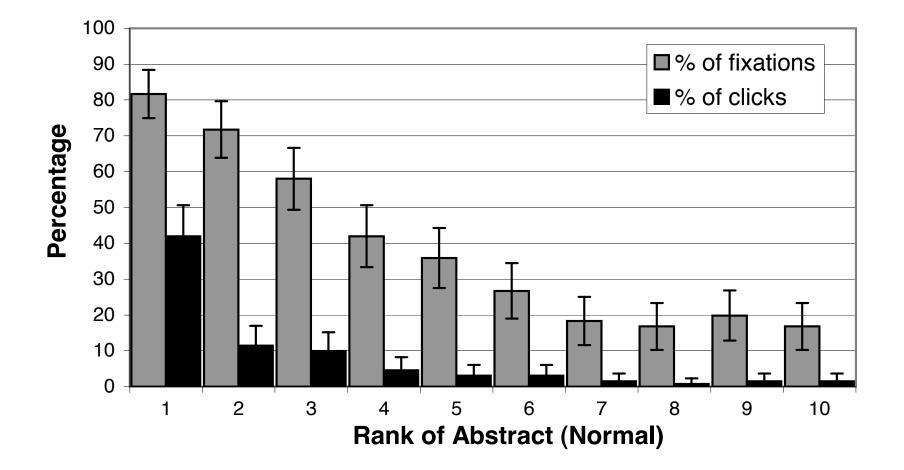
THORSTEN JOACHIMS Dept. of Computer Science, Cornell University and LAURA GRANKA Google Inc. and BING PAN School of Business and Economics, College of Charleston and HELENE HEMBROOKE Dept. of Information Science, Cornell University and FILIP RADLINSKI Dept. of Computer Science, Cornell University and GERI GAY Dept. of Information Science, Cornell University

Accurately Interpreting Clickthrough Data as Implicit Feedback

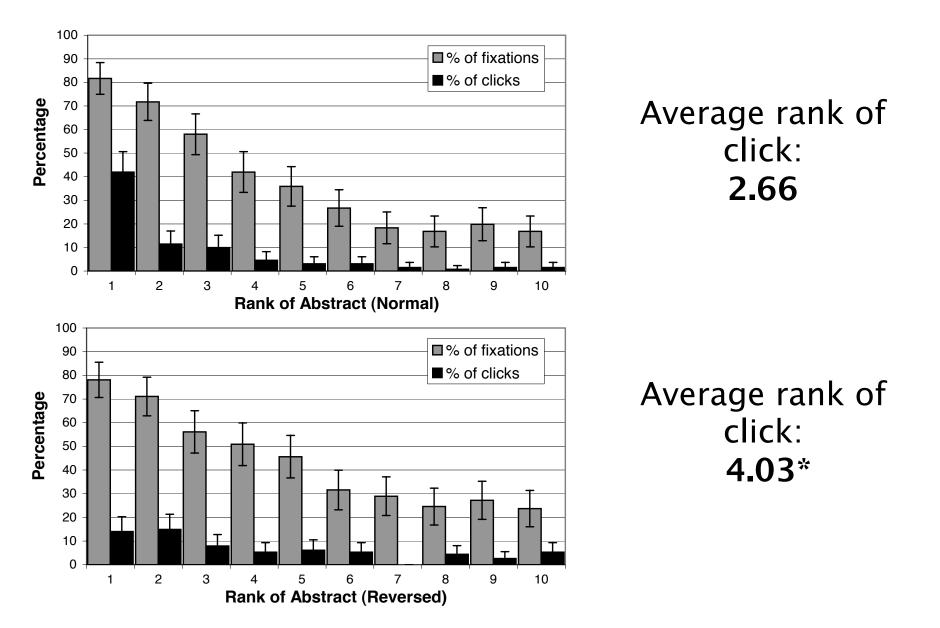
Thorsten Joachims Dept. of Computer Science Cornell University Ithaca, NY, USA tj@cs.cornell.edu Laura Granka Dept. of Communication Stanford University Palo Alto, CA, USA granka@stanford.edu Bing Pan, Helene Hembrooke & Geri Gay Information Science Cornell University Ithaca, NY, USA {bp58,hah4,gkg1}@cornell.edu

- Lab study of web search
- 16 subjects, 5 navigational and 5 informational search tasks each
- Behavior recorded using eye-tracking

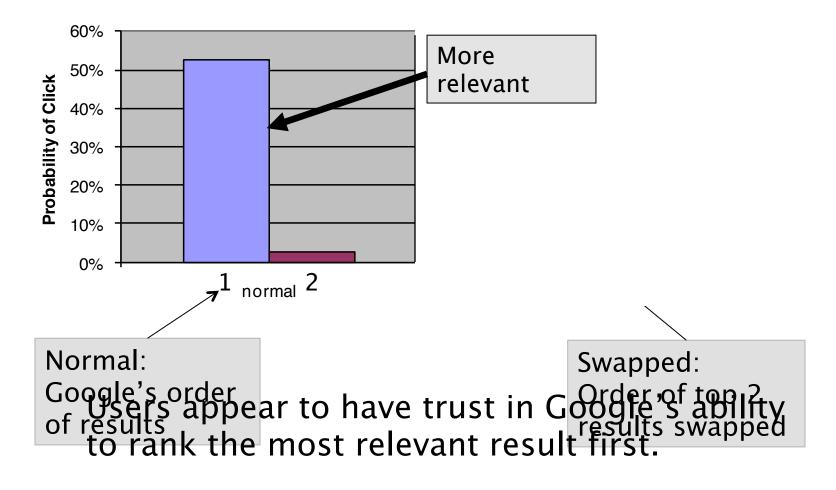
## What do Users View / Click?



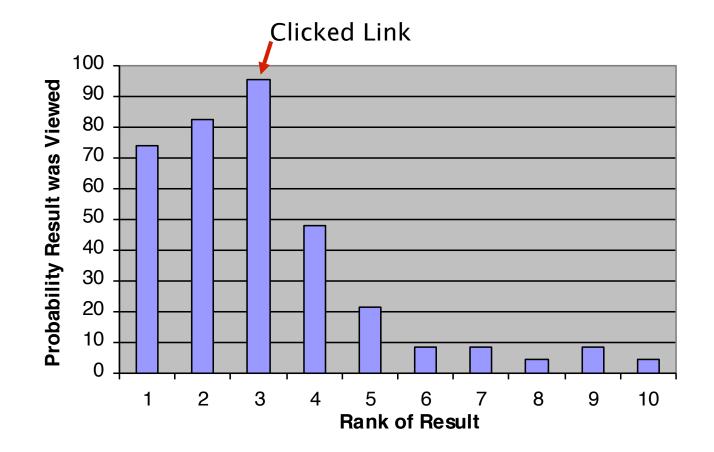
### Are Clicks Affected by Relevance?



### Position Bias <u>Hypotnesis</u>: Order of presentation influences where users look, but not where they click!



### Which Results are Viewed Before Click?



• Users typically do not look at lower results before they click (except maybe the next result)

## Is Click = Relevant?

- Can we simply interpret clicks as relevance

   This would provide relevance labels, and a
   collection-based evaluation could be run
- A variety of biases make this difficult:
  - Position Bias:

Users are more inclined to examine and click on higherranked results

- Contextual Bias:

Whether users click on a result depends on other nearby results

- Attention Bias:

Users click more on results which draw attention to themselves

## Interpreting Clicks

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    - they don't always mean what you hope
    - absence of clicks is not always negative
  - Clicks are <u>biased</u>
    - users won't click on things you didn't show them
    - user are likely to click on things that appear high in the ranking
    - presentation matters
      - documents, snippets, images, colors, font size, grouped with other documents
    - surrounding results matter

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However: In the long run, clicks do point in the right direction

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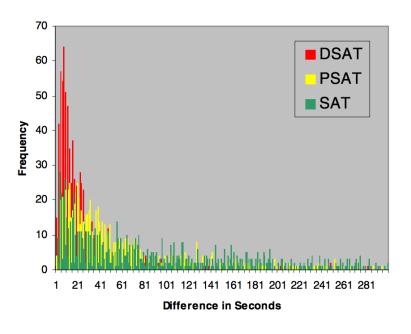
## **Beyond Clicks**

### **Evaluating Implicit Measures to Improve Web Search**

Steve Fox, Kuldeep Karnawat, Mark Mydland, Susan Dumais, and Thomas White

{stevef, kuldeepk, markmyd, sdumais, tomwh}@microsoft.com

- A large number of implicit feedback tested
- Two most important in predicting SAT clicks
  - Dwell Time
    - Time spent on a clicked page.
    - SAT click > 30 secs
  - Exit Type
    - The way in which the user exited the result - kill browser window, new query, navigate using history, favorites or URL entry or time out.



### Beyond Clicks: Dwell Time

**Display Time as Implicit Feedback: Understanding Task** Effects **Diane Kelly** Nicholas J. Belkin SILS SCILS University of North Carolina Chapel Hill, NC 27599-3360 USA **Rutgers University** New Brunswick, NJ 08901 USA kelly@ils.unc.edu nick@belkin.rutgers.edu 4.0 5.5 5.0 3.5 4.5 4.0 3.0 3.5 ╈ -T Mean Log Display Time 3.0 Mean Log Display Time Log Display Time 2.5 2.5 2.0 2.0 Mean 78 62 14 1 2 3 5 6 2 5 6 7 8 10 11 1 2 3 4 10 11 12 13 14 15 16 17 18 19 1 3 8 9 Task Number Subject 1 Task Number Subject 2 Task Number Subject 3 ф Mean Log Display Time Log Display Time 3 Log Display ф п 2 Vean Aean 44 42 10 144 10 225 97 8 169 216 23 3 13 23 20 2 N = 37 14 8 18 à. N = N = - 8 1 2 3 4 1 2 3 4 5 7 8 11 12 13 14 15 16 17 18 19 20 21 5 6 9 10 12 13 14 15 16 17 18 19 24 25 2 7 9 10 12 Task Number Subject 4 Task Number Subject 5 Task Number Subject 6

### Beyond Clicks: Dwell Time

### Modeling Dwell Time to Predict Click-level Satisfaction

Youngho Kim<sup>1</sup>\*, Ahmed Hassan<sup>2</sup>, Ryen W. White<sup>2</sup>, and Imed Zitouni<sup>2</sup> <sup>1</sup> University of Massachusetts, 140 Governors Drive, Amherst, MA 01003, USA <sup>2</sup> Microsoft, One Microsoft Way, Redmond, WA 98052, USA yhkim@cs.umass.edu, {hassanam, ryenw, izitouni}@microsoft.com

 $t \sim \Gamma(k, \theta)$ 

- Model Dwell Time by a Gamma distribution:
- Maximum Likelihood Estimation
  - given SAT and DSAT clicks
  - for each click segment
  - P(t | SAT, att) and P(t | DSAT, att)
- Query-click attributes to generate click segments
  - Query topic attributes
  - Query type attributes
  - Page topic attributes
  - Reading level attributes

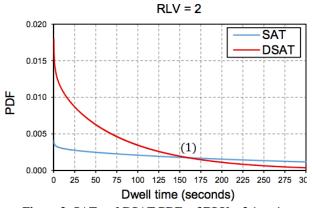


Figure 3: SAT and DSAT PDFs of RLV = 2 (easy).

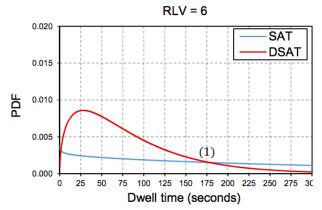
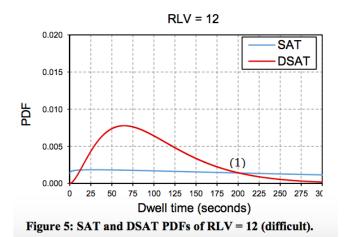


Figure 4: SAT and DSAT PDFs of RLV = 6 (moderate).



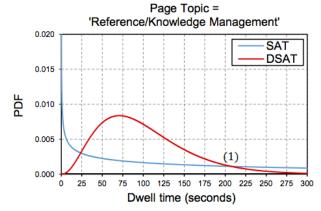


Figure 6: SAT and DSAT PDFs of a sample attribute.

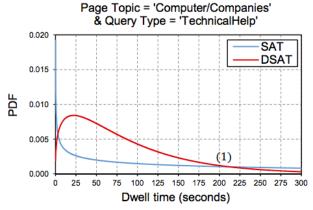


Figure 7: SAT and DSAT PDFs of a "technical" segment.

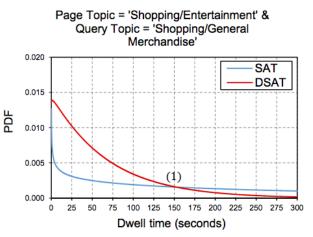


Figure 8: SAT and DSAT PDFs of a "shopping" segment.

## Different User Signal

- Clicks
- Mouse movement
- Browser action
  - bookmark, save, print
- Time
  - dwell time, time on SERP
- Explicit judgment
  - likes, favourites..
- Other page elements
  - share, ...
- Long term effects
  - sessions per user, abandonment, ...
- Reformulations

Search Engine Result Page (SERP)

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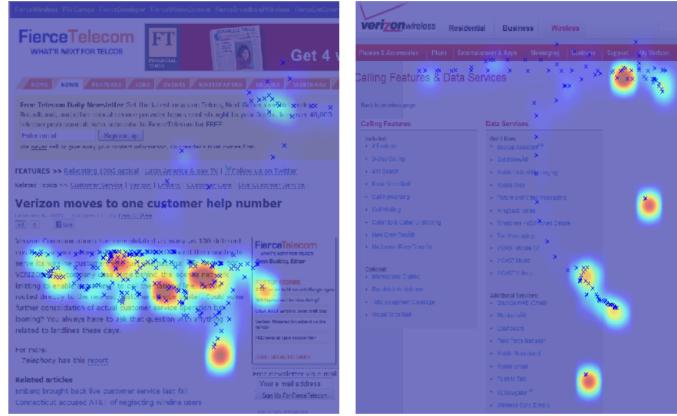
- Dwell time not sufficient
- Interactions on landing pages
  - Cursor movements and scrolling
  - Reading vs. Scanning

### Beyond Dwell Time: Estimating Document Relevance from Cursor Movements and other Post-click Searcher Behavior

Qi Guo Mathematics & Computer Science Department Emory University qguo3@emory.edu Eugene Agichtein Mathematics & Computer Science Department Emory University eugene@mathcs.emory.edu

### Example 1:

## Find the phone number of the Verizon Wireless helpline for Massachusetts



(a) relevant (dwell time: 30s)

(b) non-relevant (dwell time: 30s)

Example 2:

## How many pixels must be dead on a MacBook before Apple will replace the laptop?



(a) relevant (dwell time: 70s)

(b) non-relevant (dwell time: 80s)

- Patterns of post-click interactions:
  - 1. Periods of horizontal reading
  - 2. Focused attention
  - 3. Left-prevalence
  - 4. "Scanning" followed by "reading"
  - 5. "Reading" followed by "scanning"
  - 6. "Skipping" quick scrolling

• Features:

<u> </u>					
Dwell (1)	dwell: time of the page view in seconds	0.167**		scrlcnt: num. of vertical scrolls	-0.008
Rank (1)	rank: the rank of the document or	-0.073		scrlfreq: scrlcnt/dwell	-0.206**
	the rank of the origin (i.e., the landing		Scroll (5)	scrldist: total vertical scroll distance	-0.092*
	page) of the search trail that the docu-			scrlspeed: scrldist/dwell	-0.212**
	ment is on if its rank is not available			scrlmax: maximum scroll top	-0.026
	cursorcnt: num. of cursor movements	0.164**		<i>dwell_aoi</i> : total time the cursor spent in	0.227**
	cursorfreq: cursorcnt/dwell	-0.082*	AOI (3)	the pre-defined Area of Interest (AOI)	
	<i>dist:</i> total overall distance the cursor traveled in pixels	-0.137**		cursorcnt_aoi: cursor count in AOI	0.189**
	<i>xdist:</i> total distance the cursor traveled	0.101**		cursorfreq_aoi: cursorcnt/dwell	-0.195**
	horizontally in pixels	0.101		avg_dwell: average dwell time of pre-	0.081*
	<i>ydist:</i> total distance the cursor traveled	0.172**		ceding page views in the task	
<b>a</b> (1.1)	horizontally in pixels		Task (6)	querycnt: num. of preceding queries	-0.138**
Cursor (14)	speed: dist/dwell	-0.101**		serpcnt: num. of preceding search en-	-0.142**
	<i>xspeed:</i> xdist/dwell	-0.143**		gine result page (SERP) views	
	yspeed: ydist/dwell	-0.124**		<i>clkcnt:</i> num. of preceding clicks	-0.171**
	xmin: minimal x coordinate	0.112**		ctr: clkcnt/serpcnt	0.085*
	ymin: minimal y coordinatee	0.093*		<i>tasktime:</i> total time elapsed in seconds	-0.046
	<i>xmax:</i> maximal x coordinate	0.067		since the task started	0.010
	ymax: maximal y coordinate	0.243**		since the task surred	
	xrange: xmax-xmin	-0.006			
	<i>yrange:</i> ymax-ymin	0.172**			

- Results:
  - Correlation w/ relevance
  - Re-ranking

Single Feature Group	RR	BRT	0.85						
РСВ	0.399*+	0.411*+	0.8						
cursor	0.326*+	0.389*+	0.73		_	and a second		A State	
scroll	0.277+	0.268*+			//				
aoi	0.261*+	0.177*	<b>b</b> 0.65 0.6					≁PC	CB_User
task	0.201*	0.146*	0.55					PC	СВ
dwell	0.184*	0.136	0.5		DTR			rr	
rank	0.04	0.136	0.45						
DTR	0.211	0.231	0.4					24	
				1	6	11	16 <b>K</b>	21	26

### Beyond Clicks: Cursor movements on SERP

Cursor – gaze relationship

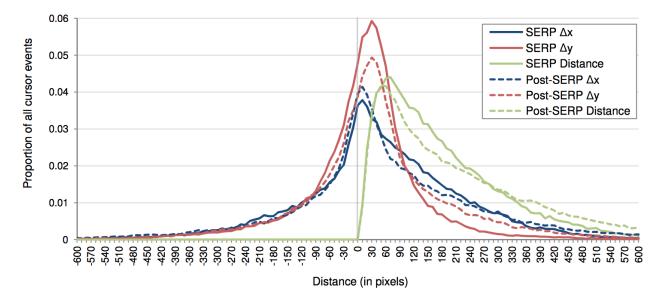


Figure 1.  $\Delta x$ ,  $\Delta y$ , and Euclidean distance plotted in a frequency distribution for SERP and post-SERP pages. Solid lines represent these distances gathered on the SERP, while dashed lines represented distances gathered on post-SERP pages (landing pages).

### No Clicks, No Problem: Using Cursor Movements to Understand and Improve Search

Jeff Huang Information School University of Washington chi@jeffhuang.com Ryen W. White Microsoft Research Redmond, WA 98052 ryenw@microsoft.com

#### Susan Dumais

Microsoft Research Redmond, WA 98052 sdumais@microsoft.com

### Beyond Clicks: Cursor movements on SERP

### Cursor movement vs. clicks

#### **Click positions**



#### **Cursor movement positions**



Figure 2. Heatmaps of all click positions (left) and recorded cursor positions (right) for the query *[lost finale explanation]*. Heavy interaction occurs in red/orange/yellow areas, moderate interaction in green areas, light interaction in blue areas.

• Average time hovering result titles

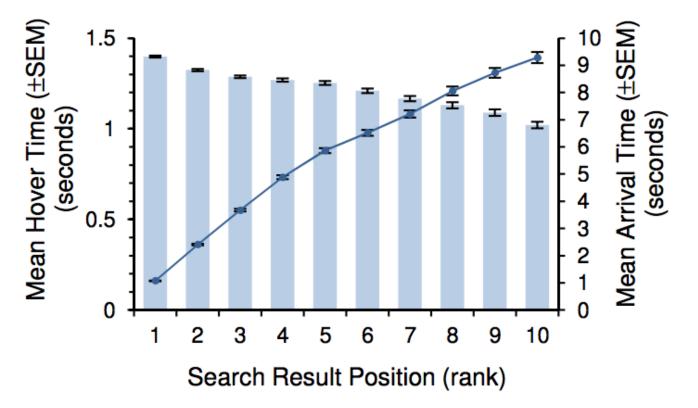


Figure 3. Mean title hover duration (bars) and mean time for cursor to arrive at each result (circles).

• Results hovered before clicked, etc.

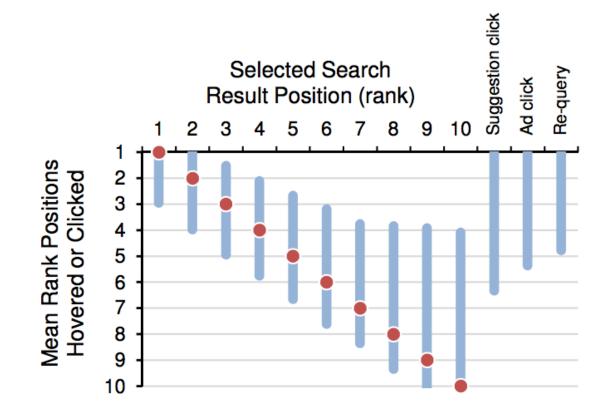


Figure 4. Mean number of search results hovered over before users clicked on a result (above and below that result). Result clicks are red circles, result hovers are blue lines.

Unclicked hover vs. clicks

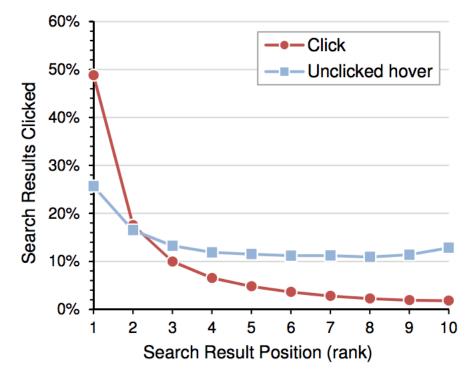


Figure 6. Proportion of search results that are eventually clicked after an unclicked hover, plotted against the click distribution from Figure 5.

#### Correlations with relevance

Table 3. Correlations between click and hover features and relevance judgments for queries with and without clicks.

Result clicks or no clicks	Feature source	Correlation with human relevance judgments
	Clickthrough rate (c)	0.42
Olista	Hover rate (h)	0.46
Clicks (N=1194)	Unclicked hovers (u)	-0.26
	Max hover time (d)	-0.15
	Combined <sup>1</sup>	0.49
	Hover rate	0.23
No clicks (N=96)	Unclicked hovers	0.06
	Max hover time	0.17
	Combined <sup>2</sup>	0.28

 $^{1}$  y = 2.25 - 0.1c + 1.38h - 0.08u - 0.12d;  $^{2}$  y = 0.36 + 0.80h + 0.22u + 0.30d

## Different User Signal

- Clicks
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  - bookmark, save, print
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  - dwell time, time on SERP
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# Beyond Clicks

#### Beyond Clicks: Query Reformulation as a Predictor of Search Satisfaction

Ahmed Hassan Microsoft Research One Microsoft Way Redmond, WA 98052, USA hassanam@microsoft.com Xiaolin Shi, Nick Craswell, Bill Ramsey Microsoft Bing One Microsoft Way Redmond, WA 98052, USA xishi,nickcr,brams@microsoft.com

#### • The user performed the following search on July 1<sup>st</sup>, 2012.

bing woman dies in a fatal accident in greenfield,	minnesota 🔎 ng	greenfield, mn accident			
		3.160.000 RESULTS Narrow by language - Narrow by region -			
21-year-old Annandale man dies in head-on		Fatal car crashes and road traffic accidents in Greenfield www.city-data.com/accidents/acc-Greenfield-Minnesota.html US accidents; Accidents in Greenfield, MN; Fatal car crashes and road traffic accidents in Greenfield, Minnesota.			
Crash on Hwy. 55 in Greenfield Tuesday Posted of Tanuary 13, 2010 by Ryan Gueningsman DHJ Managing Editor	Star News I Otsego woman, 34, dies in Greenfield crash erstarnews.com/2012/07/01/otsego-woman-34-dies-in-greenfield-crash ▼ 1-7-2012 · A 34-year-old Otsego woman was killed in an auto accident Saturday, June 30, in Greenfield, according to the Hennepin County Sheriff's Department.				
<ul> <li>Clicks do not always mean satisfaction.</li> </ul>	IVS	Man dies in Greenfield Township motorcycle accident www.goerie.com//man-dies-in-greenfield-township-motorcycle-accident 26-5-2015 · A 69-year-old man was killed and his passenger was seriously injured when their Man dies in Greenfield Township motorcycle accident. Staff			
		21-year-old Annandale man dies in head-on crash on Hwy www.delanoheraldjouri A 21-year-old Annande Woman Killed In Greenfield Crash Highway 55 in Greenf June 30, 2012 9:03 PM			
		Man killed in Greenfield car accident - YouTube			

Slippery conditions on a Greenfield street likely contributed to the death of 31 year old

By WWLP-22News · 35 sec · 156 views · Added 12-1-2014

- Given a query Q1, SERP, and Q2, predict SERP level satisfaction
- Ground truth model
   CTR and CTR-30
- Experimental model
  - Query similarity
    - Q1 and Q2 overlap if one term in common
  - Time between queries
    - Quick (less than or equal to 5 minutes ) vs. Nonquick reformulation

• Reformulation vs. CTR

Table 1. Relative CTR for different subsets of pairs, using word overlap and a 5 minute time threshold

	overall	non-overlap	overlap
overall	0%	11%	-21%
non-quick	25%	24%	29%
quick	-29%	-17%	-39%

#### Table 2. Relative CTR-30 for different subsets of pairs, using word overlap and a 5 minute time threshold

	overall	non-overlap	overlap
overall	0%	6%	-12%
non-quick	6%	-1%	40%
quick	-7%	20%	-30%

- Classification:
  - Clicks
  - SAT Clicks
  - Reformulation (several features)
    - Similarity & Time
  - Reformulation + Clicks
    - If reformulation then DSAT
    - If not reformulation then use clicks
  - Reformulation + Clicks (classifier)

		Accuracy	SAT Precision	SAT Recall	DSAT Precision	DSAT Recall	SAT F1	DSAT F1
1	Clicks Only	38.86%	35.88%	64.21%	46.77%	21.51%	46.04%	29.47%
2	Sat Clicks Only (T =10s)	51.20%	42.19%	54.34%	61.10%	49.05%	47.50%	54.42%
3	Sat Click Only (T =30s)	56.07%	46.22%	49.87%	63.75%	60.31%	47.97%	61.98%
4	Sat Click Only ( $\tau$ =50s)	60.61%	51.80%	43.55%	65.18%	72.28%	47.32%	68.54%
5	<b>Reformulation Only</b>	79.17%	64.79%	97.16%	97.58%	68.41%	77.74%	80.43%
6	Reformulation + Clicks (2 stages)	73.17%	68.70%	62.37%	75.78%	80.56%	65.38%	78.10%
7	Reformulation + SAT Click ( $\tau = 10s$ ) (2 stages)	73.22%	73.85%	52.76%	72.97%	87.22%	61.55%	79.46%
8	Reformulation + SAT Click (τ =30s) (2 stages)	73.01%	76.51%	48.42%	71.80%	89.83%	59.31%	79.81%
9	Reformulation + SAT Click (τ =50s) (2 stages)	71.99%	78.92%	42.37%	70.06%	92.26%	55.14%	79.64%
10	Reformulation + Clicks (Classifier)	84.23%	77.74%	81.19%	88.53%	86.04%	79.43%	87.27%

#### **Table 5. Query Success Prediction Performance**

# No Clicks

🕨 bing	weather i	n amster	dam				
	Web In	ages V	/ideos Ma	ps News	s Explor	e	
	6.140.000 RE	SULTS	Narrow by lar	nguage 👻	Narrow	oy region 👻	
		am, Noor	d-Holland				
	16 juni, 16:58		O Claure	h.,			
	ත	16	C Cloud F Wind 18	I <b>y</b> 5 KMPH ∙ Fee	els like 16º · ŀ	lumidity 57%	
	Tue 16	Wed 17	Thu 18	Fri 19	Sat 20	Sun 21	Mon 22
	ත	Č	Č	Â	Č	$\mathfrak{A}$	Â
	17° 8°	22° 14°	17° 13°	16° 11°	18° 14°	<b>18°</b> 11°	21° 14°
						21°	21°
	16°	13°	9°	9° 11	° 17°		
	19:00	22:00	1:00 4:0	00 7:00	0 10:00	13:00	16:00
	Detailed foreca	st · Data from	WDT				

#### Amsterdam, Netherlands Weather - 10 Day Weather ...

www.weather.com/weather/tenday/I/Amsterdam Netherlands NLXX0002 Rain or shine? Be prepared with the most accurate 10 day forecast for Amsterdam, Netherlands, with highs, lows, chance of precipitation and more from weather.com

#### Amsterdam, Netherlands Forecast | Weather Underground

www.wunderground.com/weather-forecast/NL/Amsterdam.html 
Weather Underground provides local & long range Weather Forecast, weather reports,
maps & tropical weather conditions for locations worldwide.

#### **BBC Weather - Amsterdam**

www.bbc.com/weather/2759794 Total a 5 to 10 day forecast, giving a look further ahead.

#### Amsterdam, Netherlands Weather Forecast and Conditions ...

www.weather.com/weather/today/i/Amsterdam Netherlands NLXX0002

#### Leaving So Soon? Understanding and Predicting Web Search Abandonment Rationales

Abdigani Diriye<sup>1</sup>, Ryen W. White<sup>2</sup>, Georg Buscher<sup>2</sup>, and Susan T. Dumais<sup>2</sup> <sup>1</sup>University College London Interaction Centre, University College London, UK, WC1E 6BT <sup>2</sup>Microsoft Corporation, One Microsoft Way, Redmond, WA, USA 98052 a.diriye@ucl.ac.uk, {ryenw, georgbu, sdumais}@microsoft.com

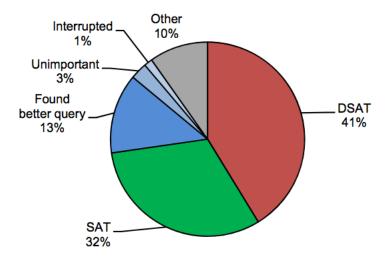


Figure 1. Reasons for SERP abandonment.

# No Clicks

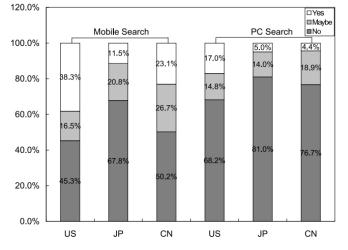
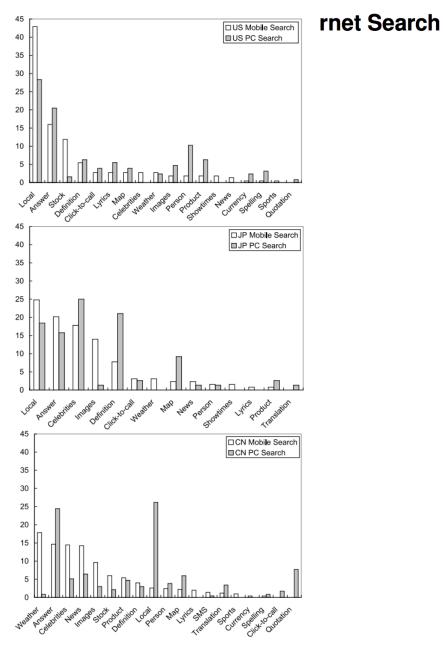


Figure 1: Percentages of queries classified as "Yes", "Maybe", "No" with respect to the *potential good abandonment* definition in six abandoned query samples.



**Good Aban** 

Figure 3: Category distribution (in percentage) of potential good abandonment queries in mobile and PC searches in three countries. The categories are sorted by their prevalence in mobile search for each locale.

#### Cursor Movement: Good vs Bad Abandonment

#### No Clicks, No Problem: Using Cursor Movements to Understand and Improve Search

Jeff Huang Information School University of Washington chi@jeffhuang.com Ryen W. White Microsoft Research Redmond, WA 98052 ryenw@microsoft.com Susan Dumais Microsoft Research Redmond, WA 98052 sdumais@microsoft.com

- Cursor trail length
  - Total distance traveled by the cursor on the SERP

Table 4. Features of cursor trails for queriesassociated with likely good and bad abandonment.

	Abandonment Type					
Feature	Go	ood	Bad			
	M	<u>SEM</u>	M	<u>SEM</u>		
Cursor trail length (px)	1084	98	1521	71		
Movement time (secs)	10.3	0.9	12.8	0.6		
Cursor speed (px/sec)	104	9	125	5		
Number of queries	184		184 675		75	

- Movement time
  - Total time of movement on the SERP
- Cursor speed

### Mouse movement subsequences

#### Discovering Common Motifs in Cursor Movement Data for Improving Web Search

Dmitry Lagun Emory University dlagun@mathcs.emory.edu Mikhail Ageev \* Moscow State University mageev@yandex.ru Qi Guo \* Microsoft qiguo@microsoft.com

Eugene Agichtein Emory University eugene@mathcs.emory.edu

#### Different Users, Different Opinions: Predicting Search Satisfaction with Mouse Movement Information

Yiqun Liu<sup>†</sup>, Ye Chen<sup>†</sup>, Jinhui Tang<sup>‡</sup>, Jiashen Sun<sup>\*</sup>, Min Zhang<sup>†</sup>, Shaoping Ma<sup>†</sup>, Xuan Zhu<sup>\*</sup> <sup>†</sup>Tsinghua National Laboratory for Information Science and Technology, Department of Computer Science & Technology, Tsinghua University, Beijing, China <sup>‡</sup>School of Computer Science & Engineering, Nanjing University of Science and Technology <sup>\*</sup>Samsung R&D Institute China - Beijing yiqunliu@tsinghua.edu.cn

- Instead of engineering complex features, discover common subsequencies (motifs)
- Motif is a frequently occurring sequence of cursor movements

# Cursor Data: Challenges

- Different users examine web pages with different speed
  - Flexible distance metric: Dynamic Time Warping

- Similar movements can appear in different parts o a web page
  - Location invariance: normalize subsequence position

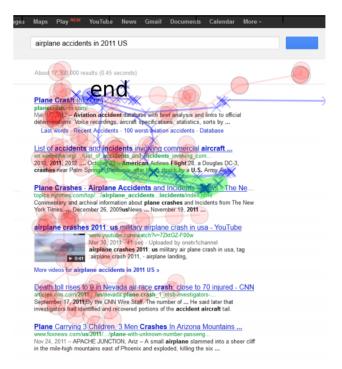
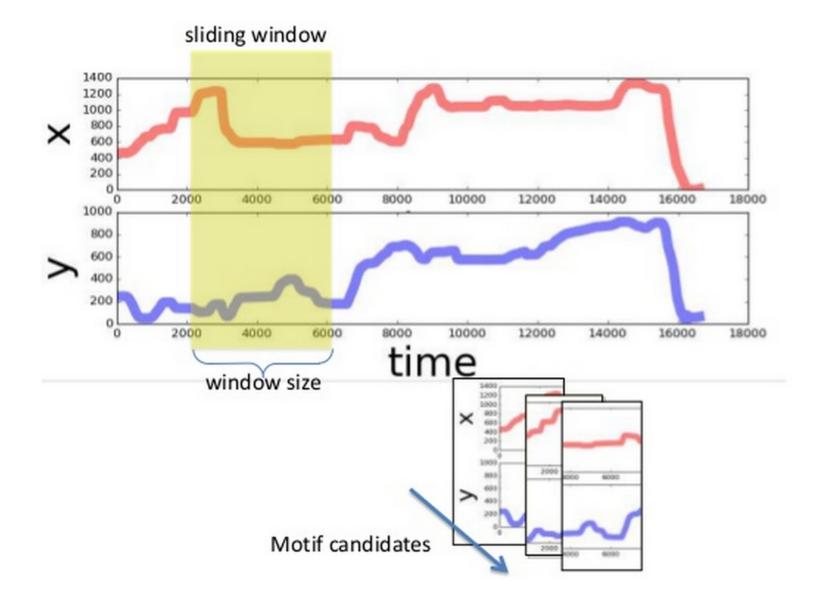


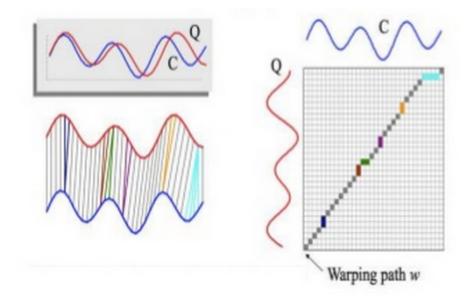
Figure 1: An example automatically discovered motif from mouse cursor data (shaded in green), corresponding to the common "follow" searcher behavior, where gaze (red circles) briefly follows the mouse cursor (blue crosses). The "end" label indicates the result click.

# Motifs: Candidate Generation



# Motifs: Distance Measure

- Which time series are similar?
- Popular choices:
  - Euclidian Distance
  - Dynamic Time Warping



## Common motifs on SERP

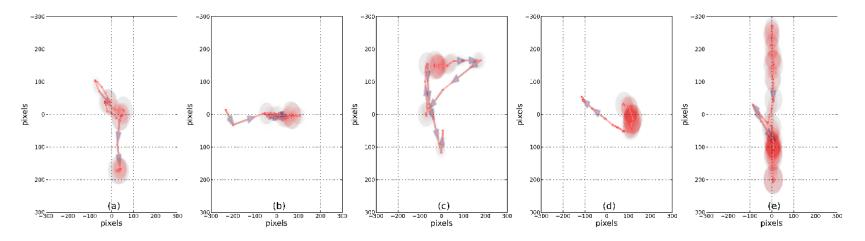


Figure 5: Top frequent motifs discovered from mouse traces recorded on search result pages (SERPs).

### Common motifs on non-SERP

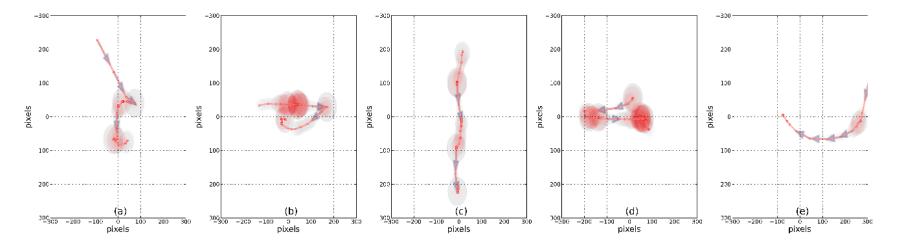


Figure 6: Top frequent motifs discovered from mouse traces recorded on landing pages (non-SERPs).

## Mouse movement trails

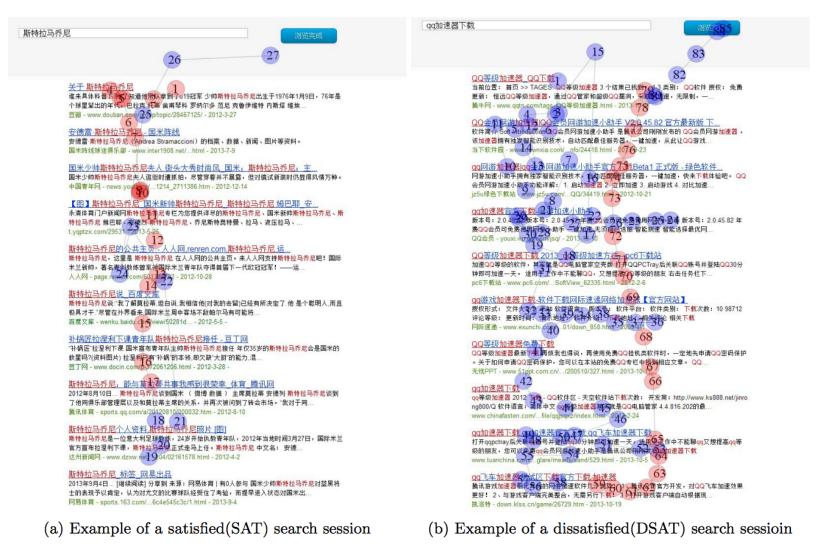


Figure 1: Examples of Users' Mouse Movement Trails on SERPs

## Predictive motifs

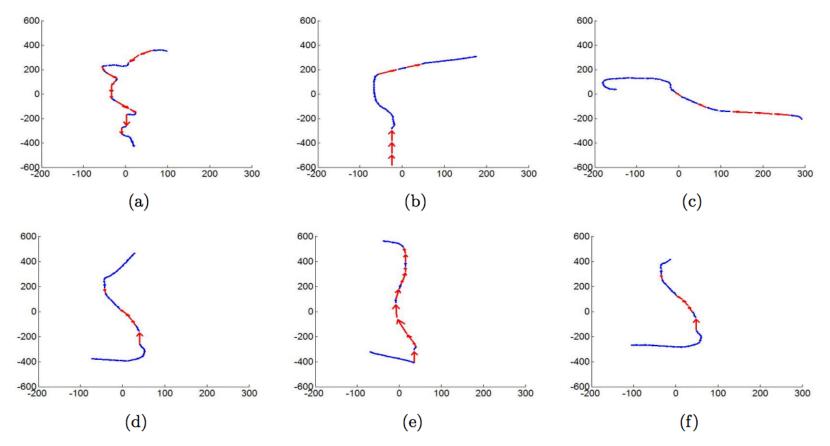
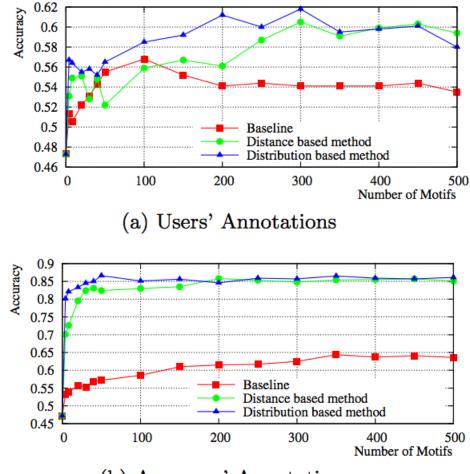


Figure 5: Predictive motifs discovered from SAT\_DATA (a-c) and DSAT\_DATA (d-f)

# Motifs: Predicting Satisfaction



(b) Assessors' Annotations

Figure 6: Prediction Performance with Different Motif Selection Strategies

Beyond SERP: Satisfaction & Engagement

- Common measures
  - Avg unique queries per session [S]
  - Avg session length per user [S]
  - Avg query success rate per user [S]
  - Avg query CTR [S]
  - Average query interval per user [S]
  - Avg daily sessions per user [E]
  - Absense Time [E]

# User Engagement Analysis

#### Evaluating and Predicting User Engagement Change with Degraded Search Relevance

Yang Song Microsoft Research One Microsoft Way Redmond, WA yangsong@microsoft.com

Xiaolin Shi Microsoft Bing One Microsoft Way Redmond, WA xishi@microsoft.com Xin Fu<sup>\*</sup> LinkedIn Corporation 2029 Stierlin Court Mountain View, CA xin.fu.2007@gmail.com

#### **On Correlation of Absence Time and Search Effectiveness**

Sunandan Chakraborty New York University New York, USA sunandan@cs.nyu.edu Filip Radlinski Milad Shokouhi Paul Baecke Microsoft Microsoft Microsoft Cambridge, UK Cambridge, UK London, UK {filiprad, milads, pbaecke}@microsoft.com

## **Different User Signal**

#### • Clicks

- Mouse movement
- Browser action
  - bookmark, save, print
- Time
  - dwell time, time on SERP
- Explicit judgment
  - likes, favourites..
- Other page elements
  - share, ...
- Long term effects
  - sessions per user, abandonment, ...
- Reformulations

Search Engine Result Page (SERP)

Google PhD advice

Web Images Videos News Shopping More - Search tools

About 111,000,000 results (0.46 seconds)

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# **Online Evaluation Designs**

#### 1. Document Level or Ranking Level?

Document Level	Ranking Level	
I want to know about the <i>documents</i>	I am mostly interested in the <i>rankings</i>	
Similar to the collection-based approach, I'd like to find out the quality of each document.	I'm trying to evaluate retrieval functions. I don't need to be able to drill down to individual documents.	

#### 2. Absolute or Relative?

Absolute Judgments	Relative Judgments	
I want a score on an absolute scale	I am mostly interested in a comparison	
Similar to the Cranfield approach, I'd like a number that I can compare to many methods, over time.	It's enough if I know which document, or which ranking, is better. Its not necessary to know the absolute value.	

# Interpreting Clicks

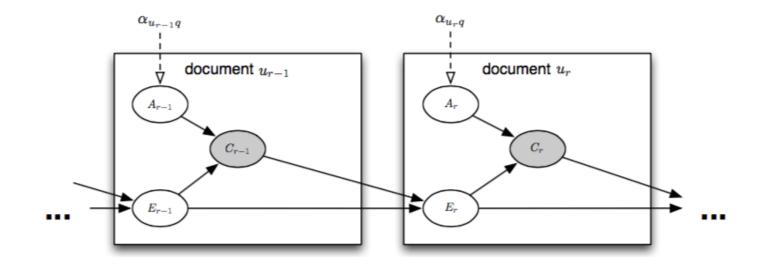
	Absolute	Relative
ltem level	Click rate 	Click-Skip 
SERP level	Abandonment 	A/B testing, Interleaving

# Interpreting Clicks

	Absolute	Relative
ltem level	Click rate 	Click-Skip 
SERP level	Abandonment 	A/B testing, Interleaving

# Modeling user behavior

- Straightforward interpretation of clicks
  - Use click-through rate
  - May be biased
- Can absolute document relevance be recovered



# Interpreting Clicks

	Absolute	Relative
ltem level	Click rate 	Click-Skip 
SERP level	Abandonment 	A/B testing, interleaving

# Document Level Preferences

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### Click > Skip Heuristics - Evaluation

# Evaluation against explicit manual preference judgments:

Method	Accuracy
Click > Skip Above	<b>78.2</b> ± 5.6
Last Click > Skip Above	<b>80.9</b> ± 5.1
Click > Earlier Click	<b>64.3</b> ± 15.4
Click > Skip Previous	<b>80.7</b> ± 9.6
Click > No Click Next	<b>67.4</b> ± 8.2
Inter-Judge Agreement	86.4

- High accuracy (up to 80%)
- May suffer from position bias

### Interpreting Clicks

	Absolute	Relative
ltem level	Click rate 	Click-Skip 
SERP level	Abandonment 	A/B testing, interleaving

## Absolute SERP Quality

- Document-level feedback requires converting judgments to evaluation metric (of a ranking)
- Ranking-level judgments directly define such a metric

Some Absolute Metrics					
Abandonment Rate	<b>Reformulation Rate</b>				
Queries per Session	Clicks per Query				
Click rate on first result	Max Reciprocal Rank				
Time to first click	Time to last click				
% of viewed documents skipped (pSkip)					

## Compare against historical data



### Interpreting Clicks

	Absolute	Relative
ltem level	Click rate	Click–Skip 
SERP level	Abandonment 	A/B testing, interleaving

### In-situ evaluation in one slide

- See how normal users interact with your live search engine when just using it
- Observe implicit behavior
  - Clicks, skips, saves, forwards, bookmarks, "likes", etc.
- Try to infer differences in behavior from different flavors of the live system
  - A/B testing
    - Have x% of query traffic use system A and y% of query traffic use system B
  - Interleaving
    - Expose a combination of system versions to users

### 4. A/B Testing

## A/B Testing

### Baseline (control)

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### **Experimental** (treatment)

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www.spain.info/en/que-quieres/ciudades-pueblos/.../valencia.html -Spain.info in english. Information on Valencia in Spain. Holidayss in Valencia. Sights in Valencia in Spain, accommodation in Valencia, events in Valencia and ...



### Valencia City in Spain

Valencia, or València, is the capital of the autonomous community of Valencia and the third largest city in Spain after Madrid and Barcelona, with around 809,000 inhabitants in the administrative centre. Wikipedia

Area: 51.99 sq miles (134.6 km<sup>2</sup>) Weather: 26°C. Wind N at 6 km/h. 72% Humidity

Local time: Sunday 12:48 PM

Province: Province of Valencia

Population: 797,028 (2012) Instituto Nacional de Estadística

Colleges and Universities: Universitat de València, More

Points of interest

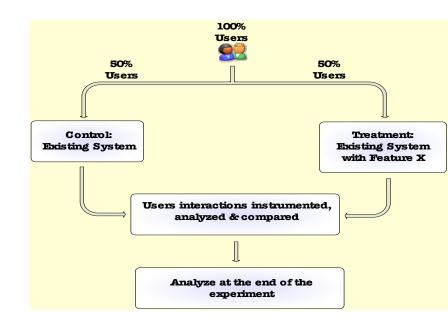


Feedback / More info



# A/B Testing

- Concept is trivial
  - Randomly split traffic between two (or more) versions
    - A (Control)
    - B (Treatment)
  - Collect metrics of interest
  - Analyze



- Sample of real users
  - Not WEIRD (Western, Educated, Industrialized, Rich, and Democratic) like many academic research samples
- A/B test is the simplest controlled experiment
- Must run statistical tests to confirm differences are not due to chance
- Best scientific way to prove causality, i.e., the changes in metrics are caused by changes introduced in the treatment(s)

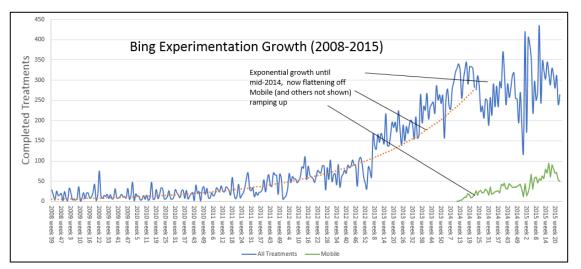
## **Experimental Setup**

- Evaluate one factor with two levels
  - A/B test
  - Any percentage; but 50–50 gives maximum power
  - Fixed percentage throughout experiment to avoid Simpson's paradox

• E\ (a)	$\frac{\text{Combined}}{\text{drug } (C)}$ no-drug $(\neg C)$	E 20 16 36	$ \begin{array}{c} \neg E \\ 20 \\ 24 \\ 44 \end{array} $	40 40 80	Recovery Rate 50% 40%	th	multiple levels Understanding Simpson's Paradox
(b) • Е\	$\frac{\text{Males}}{\text{drug } (C)}$ no-drug $(\neg C)$	<i>E</i> 18 7 25	$\neg E$ 12 3 15	30 10 40	Recovery Rate 60% 70%	or	Judea Pearl Computer Science Department University of California, Los Angeles Los Angeles, CA, 90095-1596
— (c)	Females       drug $(C)$ no-drug $(\neg C)$	E 2 9 11	$\neg E$ 8 21 29	10 30 40	Recovery Rate 20% 30%		

### Experimentation at Scale

- At **Bing** they run ~300 experiment treatments every week
- Each variant is exposed to between 100K and millions of users, sometimes tens of millions
- 90% of eligible users are in experiments
  - 10% are a global holdout changed once a year
- There is no single Bing
  - Each user is exposed to 15 concurrent experiments, they get one of  $5^{15} = 30$  billion variants



## **Overlapping Experiments**

### Designing and Deploying Online Field Experiments

Dean Eckles

Facebook

Menlo Park, CA

Eytan Bakshy Facebook Menlo Park, CA evtan@fb.com

Michael S. Bernstein Stanford University Palo Alto, CA deaneckles@fb.com msb@cs.stanford.edu

### Online Controlled Experiments at Large Scale

Ron Kohavi, Alex Deng, Brian Frasca, Toby Walker, Ya Xu, Nils Pohlmann Microsoft, One Microsoft Way, Redmond, WA 98052 {ronnyk, alexdeng, brianfra, towalker, yaxu, nilsp}@microsoft.com

### **Overlapping Experiment Infrastructure:** More, Better, Faster Experimentation

Diane Tang, Ashish Agarwal, Deirdre O'Brien, Mike Meyer Google, Inc. Mountain View, CA [diane,agarwal,deirdre,mmm]@google.com

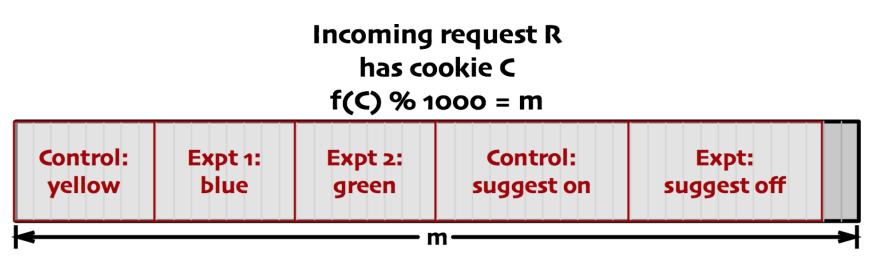
- Single layer
  - Each randomization unit in a single experiment
  - Easy-to-use, flexible, but insufficiently scalable
- Multi–factorial
  - Full factorial design (independent factors)
  - N factors, k values each  $=> N^k$  experiments
  - Each randomization unit in N experiments (for N factors)
- Reality: Not all parameters are independent
  - Partition parameters into subsets (layers) of dependent parameters
  - Each randomization unit in M experiments (for M layers)

## **Traffic Diversion**

- Random traffic
  - user-visible changes
  - Inconsistent user experience
- Cookie as the basis of diversion
  - Used to track unique users
  - <u>Reality</u>: machine/browser specific and easily cleared
  - Allows consistent user experience over successive queries
- Randomize traffic over cookie mods
  - Easier to specify
    - E.g. cookie mod 1000: Exp1 uses mods 1 and 2, Exp2 uses mods 3 and 4, etc.

## **Overlapping Experiments**

- Extreme 1: Single Layer
  - Every request in at most one experiment
    - Straightforward, but insufficiently scalable

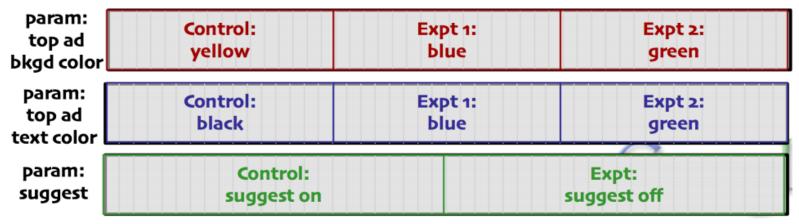


### Overlapping Experiment Infrastructure: More, Better, Faster Experimentation

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### Overlapping Experiments: Extreme 2

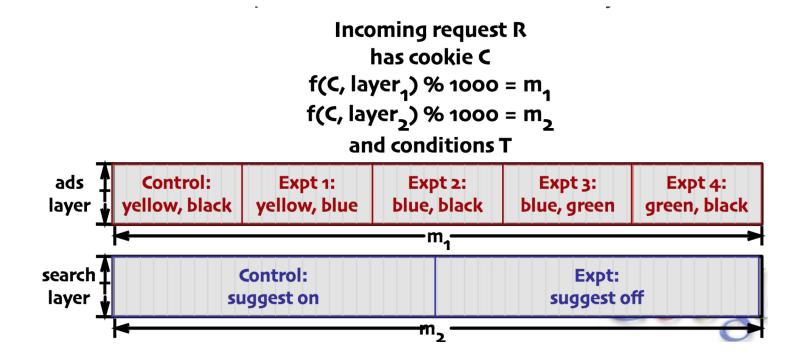
- Extreme 2: Multi-factorial
  - Vary each parameter independently
    - Issues: Must serve valid pages only e.g., blue text on blue background



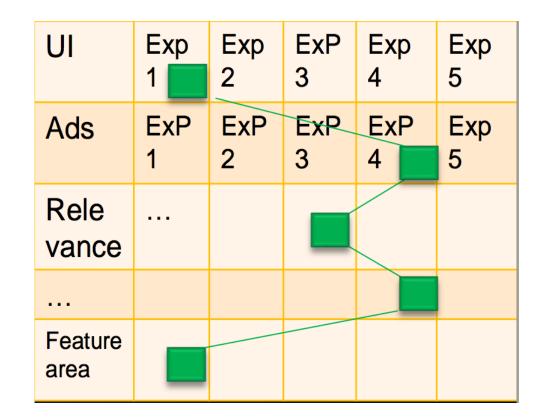
### Incoming request R

### Overlapping experiments

- Partition parameters into layers
  - Each layer independent of every other layer
  - Controls and experiments must be in same layer



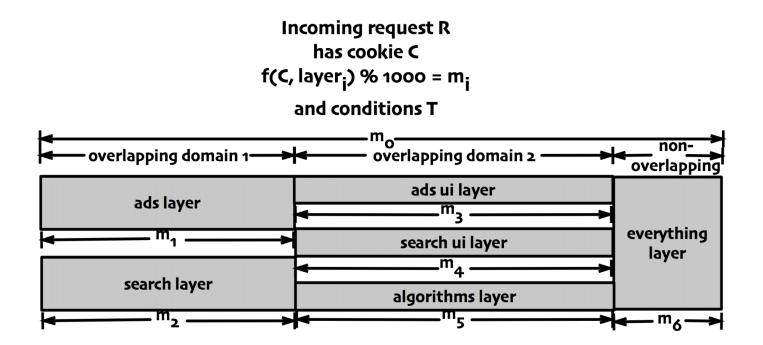
### Overlapping experiments



**Online Controlled Experiments at Large Scale** 

Ron Kohavi, Alex Deng, Brian Frasca, Toby Walker, Ya Xu, Nils Pohlmann Microsoft, One Microsoft Way, Redmond, WA 98052 {ronnyk, alexdeng, brianfra, towalker, yaxu, nilsp}@microsoft.com

### Overlapping experiments



### Overlapping Experiment Infrastructure: More, Better, Faster Experimentation

Diane Tang, Ashish Agarwal, Deirdre O'Brien, Mike Meyer Google, Inc. Mountain View, CA [diane,agarwal,deirdre,mmm]@google.com

# A/B Testing

### • Running an A/B Test

- Planning
- Validation
- Diagnostics
- Analysis
- Improving Sensitivity
- Predicting the outcome of an experiment

- Control extraneous factors
  - Test vs. non-test factors
  - Fixing: impact external validity
    - e.g. weekend days are different from week days => run only week days
  - Randomize
  - Blocking: stratification over non-testing factors => improves statistical power

- Randomization unit
  - Typically: the user
    - Consistent experience
    - Evaluate metrics at user level: sessions or clicks per user
  - In reality: cookie (or login)
  - Affects the power
    - For page-level metrics, more power if randomization at page level

- Estimate adequate sample size
  - Sample size
    - Percent of users admitted into the experiment variants (control and treatments)
    - Length of the experiment
  - Sample size => statistical power
  - Statistical power
    - Probability of correctly rejecting the null hypothesis when it is false

### **Statistical Power**

- Statistical significance testing:
  - 1. sample size
  - 2. effect size = diff of means / st. dev.
  - 3. significance level = P(Type I error) = probability of finding an effect that is not there
  - 4. power = 1 P(Type II error) = probability of finding an effect that is there
- Given any three, we can determine the fourth
  - Easier under normality assumption

### Variance estimation

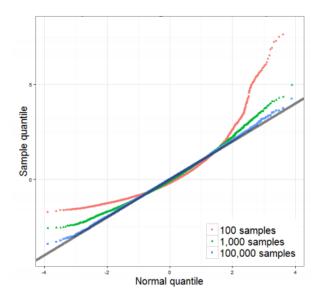
- Run an A/A test
  - Collect data to assess variability
  - High vs. low variance measures

- Issues with variance estimates
  - Novelty effects
    - Small differences at first
    - Reduces power => longer experiments
  - Skewed measures
    - Not normally distributed

### Variance estimation

- Often metrics are skewed
  - Metric transformation
  - Bootstrap estimation

Metric	skewness squared	Min Sample Size	Sensitivity: % change detectible at 80% power
Revenue/User	322.4	114k	4.4%
Revenue/User(Truncated)	27.4	9.7k	10.5%
Sessions/User	13.2	4.70k	5.4%
TimeToSuccess	4.4	1.55k	12.3%
TimeToSuccess (Truncated)	0.15	0.05k	27.9%



QQ-norm plot for averages of different sample sizes showing convergence to Normal when skewness is about 18

### Diluted Treatment Effect Estimation for Trigger Analysis in Online Controlled Experiments

Alex Deng Microsoft One Microsoft Way Redmond, WA 98052 alexdeng@microsoft.com Victor Hu Microsoft One Microsoft Way Redmond, WA 98052 vihu@microsoft.com

- Triggering
  - Track the right users
  - Analyze only the subset of population that was potentially impacted

### Dilution

- Translates measurements from triggered to overall population
- Reduces variance

## **Choose Measures**

- On query-SERP
  - Click Through Rate
  - Time to click
  - Reciprocal rank of first click
- On overall activity
  - Number of sessions per user
  - Absence time
- Easy-to-improve measures vs. all-up organizational measures
  - E.g. click to a feature vs. session/ user or time-to-success
- Different measures, different variance





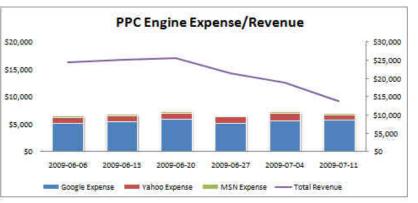
### **Overall Evaluation Criterion**



### All Engines Weekly Dashboard

Performance Summary Report for Google, Yahoo, and MSN

All Engines	Prior	This Period	% Change
Impressions	2,300,469	2,088,221	-9.23%
Clicks	18,476	17,245	-6.66%
CTR	0.80%	0.83%	2.82%
CPC	50.39	\$0.40	2.44%
Keyword Cost	\$7,258	\$6,939	-4.38%
Revenue	518,805	\$13,704	-27.13%
Gross Profit	\$11,547	\$6,764	-41.42%
Orders	361	256	-29.09%
CPO	520	\$27	34.83%
AOV	552	\$54	2.76%
ROAS	259%	197.48%	-23.78%
Conversion %	1.95%	1.48%	-24.02%



GOOGLE	Prior	This Period	% Change	YAHOO!	Prior	This Period	% Change	MSN	Prior	This Period	% Change
Impressions	1,227,187	1,382,126	12.63%	Impressions	1,029,098	676,080	-34.30%	Impressions	44,184	30,015	-32.07%
Clicks	13,077	13,425	2.66%	Clicks	4,412	3,135	-28.94%	Clicks	987	685	-30.60%
CTR	1.07%	0.97%	-9.35%	CTR	0.4336	0.46%	6.98%	CTR	2.23%	2.28%	2.24%
CPC	50.43	\$0.43	-0.09%	CPC	50.29	\$0.29	-0.3196	CPC	\$0.29	\$0.29	-0.90%
Keyword Cost	\$5,684	\$5,831	2.58%	Keyword Cost	\$1,288	\$912	-29.17%	Keyword Cost	\$286	\$196	-31.21%
Revenue	\$14,225	\$10,681	-24.91%	Revenue	\$3,334	\$2,442	-26.75%	Revenue	\$1,245	\$580	-53.43%
Gross Profit	\$8,541	\$4,851	-43.21%	Gross Profit	\$2,045	\$1,530	-25.22%	Gross Profit	\$960	\$384	-60.04%
Orders	268	195	-27.24%	Orders	69	47	-31.88%	Orders	24	14	-41.67%
CPO	\$21.21	\$29.90	40.98%	CPO	\$19	\$19	3.98%	CPO	\$12	\$14	17.93%
AOV	\$53.08	\$54.78	3,20%	AOV	\$48	\$52	7.54%	AOV	\$52	\$41	-20.16%
ROAS	250%	183%	-26.80%	ROAS	259%	268%	3.43%	ROAS	436%	295%	-32.30%
Conversion %	2.05%	1.45%	-29.27%	Conversion %	1.56%	1.50%	-3.85%	Conversion %	2.43%	2.04%	-16.05%
% Expense:	84.0%	% Rev	: 77.9%	% Expense:	17.7%	% Rev:	17.7%	% Expense:	3.996	96 Rev:	6.6%

D			ngine
R C 1	/eni	VPC	ivine

Gross Profit / ROAS

## Validation

- A/A test (or Null test)
  - Test the experimentation system
    - The null hypothesis should be rejected ~5% of the time if 95% confidence levels are used

# Diagnostics

Luo Lu Twitter Inc. 1355 Market Street, Suite 900 San Francisco, California, USA Ilu@twitter.com Chuang Liu Twitter Inc. 1355 Market Street, Suite 900 San Francisco, California, USA chuang@twitter.com

### • Carry over effect

- Experiments running in the past may affect users' behavior in the new experiments
- A special case: iterative experimentation with the population in the case buckets dropping off the experiment
  - test for bucket size abnormality
  - if abnormality occurs, shuffle users
- Novelty impact
  - short term user behavior may not be a good indicator of long term user behavior
  - bias can be due to
    - Curiosity
    - learning curve
    - User type structure
  - test stability of ratio of control/case metric throughout time

## Analysis

- Treatment effect and percent change with 95% confidence intervals
  - Law of large numbers: Normality assumption
  - Fieller theorem for percent change

$$\operatorname{Var}\left(\frac{a}{b}\right) = \left(\frac{a}{b}\right)^2 \left(\frac{\operatorname{Var}(a)}{a^2} + \frac{\operatorname{Var}(b)}{b^2}\right)$$

## Analysis

### Hypothesis Testing

- Statistical distribution of the treatment different from that of the control
- Simplification: means are different

- Normal distribution o.w.
  - Transformation of the data
  - Non-parametric tests

## Analysis

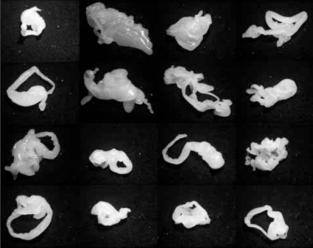
False positives: 5% expected from Statistics

- Under: one dataset, one outcome, one analysis
- All assumptions are violated
  - Multiple testing
  - Multiple treatments
  - Multiple metrics
  - Slicing and dicing analysis

### Sequential testing



ЕВГЕНІЙ ОНФГИНЪ ВЪ ПРЕДСТАВЛЕНИИ ПУШКИНА.





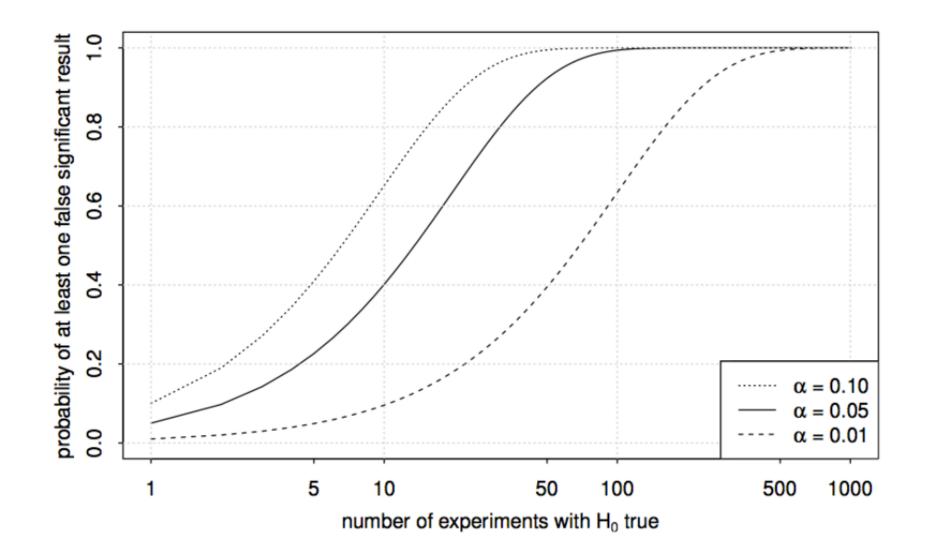
### Sequential testing

- Suppose (hypothetically) that the null hypothesis is actually true
- The probability of concluding it is false after one test is  $\alpha$  (normally 0.05)
  - The probability of concluding it is false after two tests is .05 + .95\*.05 = .0975
  - After three tests, .05 + .95\*.05 + .95\*.95\*.05 = .143
  - After 14 tests, ~0.5
  - After 27 tests, ~0.75
  - After 90 tests, ~0.99

## Multiple testing

- Suppose three different people have the same null hypothesis
  - If each of them does one experiment, probability that there will be one false positive is 0.143
  - If each of them does three experiments, probability goes to ~0.4
- Result: very high probability that any given published result is false!
  - "Why Most Published Research Findings Are False", Ioannidis, PLoS Medicine, 2005

## Multiple testing



# Correcting for multiple testing

 We should adjust our p-values up for the fact that we have made multiple comparisons

- Many different approaches:
  - Bonferroni correction
  - Tukey's Honest Significant Differences
  - Multivariate t test

# A/B Testing

#### • Running an A/B Test

- Planning
- Validation
- Diagnostics
- Analysis
- Improving Sensitivity
- Predicting the outcome of an experiment

## **Statistical Power**

- The following four quantities have an intimate relationship:
  - 1. sample size = # of units \* length of exp.
  - 2. effect size = diff of means / st. dev.
  - 3. significance level = P(Type I error) =
     probability of finding an effect that is
     not there
  - 4. power = 1 P(Type II error) = probability of finding an effect that is there
- Given any three, we can determine the fourth

# Variance reduction

#### Improving the Sensitivity of Online Controlled Experiments by Utilizing Pre-Experiment Data

Alex Deng<sup>•</sup> Microsoft One Microsoft Way Redmond, WA 98052 alexdeng@microsoft.com Ya Xu\* Microsoft 1020 Enterprise Way Sunnyvale, CA 94089 yaxu@microsoft.com Ron Kohavi Microsoft One Microsoft Way Redmond, WA 98052 ronnyk@microsoft.com

Toby Walker Microsoft One Microsoft Way Redmond, WA 98052 towalker@microsoft.com

#### • Stratification

- 1. Divide the sampling region into strata
- 2. Sample within each stratum separately
- 3. Combine results from individual strata
- Still obtain an unbiased estimator
- Reduce variance
  - Variance
    - Variance within strata
    - Variance between strata
- How can we stratify?
  - Use pre-experiment variables to construct strata

# Variance reduction

#### Improving the Sensitivity of Online Controlled Experiments by Utilizing Pre-Experiment Data

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Toby Walker Microsoft One Microsoft Way Redmond, WA 98052 towalker@microsoft.com

- Control variates
  - 1. Choose a random variable Y, with known E[Y]
  - 2. Estimate difference in control/exp. X as  $\hat{X} = \bar{X} \theta \bar{Y} + \theta \mathbf{E}[Y]$
- Still obtain an unbiased estimator
- Reduce variance
  - By a factor of  $\rho^2$ , with  $\rho = cor(X, Y)$
- How can we find a control variate with known expectation and high correlation?
  - Use pre-experiment variables to construct strata

### Variance reduction

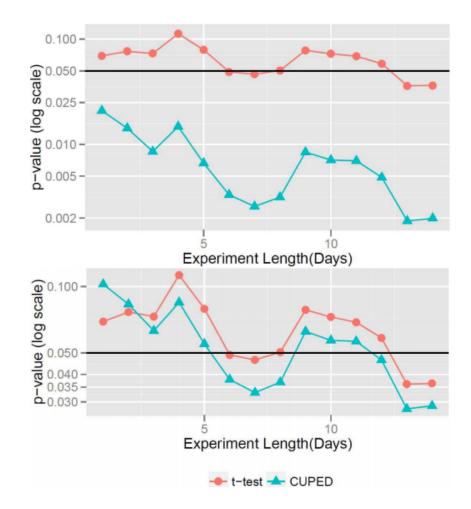


Figure 2: Slowdown experiment. Top: p-value. Bottom: p-value when using only half the users for CUPED.

#### Increase sample size

#### Future User Engagement Prediction and Its Application to Improve the Sensitivity of Online Experiments

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- Pseudo-sample size
  - 1. Consider a number of user engagement measures
  - 2. Run the experiment and record these measures as time series
  - 3. Generate a number of features based on time series signals
    - Statistics, totals, derivatives, periodicity, entropy, etc.
  - 4. Predict the future
  - 5. Use observed and predicted data for testing

#### Increase sample size

• Pseudo-sample size

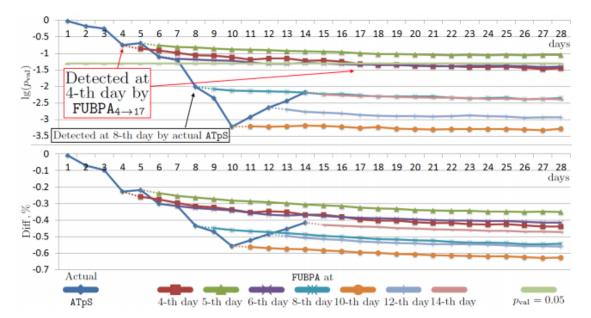


Figure 4: The Diff and  $p_{val}$  of ATpS observed during an example A/B test and of the estimations of ATpS by the FUBPA<sub>X→Y</sub> with different values of X and Y.

# Early Stopping

#### Sequential Testing for Early Stopping of Online Experiments

Eugene Kharitonov<sup>1,2</sup>, Aleksandr Vorobev<sup>1</sup>, Craig Macdonald<sup>2</sup>, Pavel Serdyukov<sup>1</sup>, Iadh Ounis<sup>2</sup> <sup>1</sup>Yandex, Russia <sup>2</sup>University of Glasgow, UK

- Reduce the duration of an experiment
   Stop early
- Sequential testing
  - Repeated significance tests
    - Pocock
    - O'Brien & Fleming

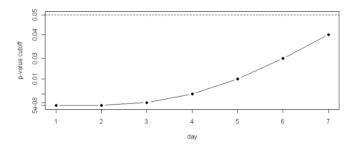


Figure 4: O'Brien-Fleming p-value thresholds as the experiment progresses, with 7 check points

- Sequential Probability Ratio Test (SPRT)
  - Likelihood ratio:
    - likelihood of observed data under  ${\rm H_1}$  divided by likelihood of observed data under  ${\rm H_0}$
  - The likelihood under H<sub>1</sub> is unknown
    - replace it with the maximum likelihood estimate before the i-th step.

# A/B Testing

#### • Running an A/B Test

- Planning
- Validation
- Diagnostics
- Analysis
- Improving Sensitivity
- Predicting the outcome of an experiment

#### Predicting Experimental Results

- Predict the outcome of online experiments using offline log data
  - We want the best from both worlds

## Predicting online metrics

• Accurate user model [Artem et al, SIGIR 2015]

Bayesian Ranker Comparison Based on Historical User Interactions

Artem Grotov a.grotov@uva.nl Shimon Whiteson s.a.whiteson@uva.nl

Maarten de Rijke derijke@uva.nl

University of Amsterdam, Amsterdam, The Netherlands

• Randomization [Li et al, WSDM 2015]

#### Toward Predicting the Outcome of an A/B Experiment for Search Relevance

Lihong Li \* Microsoft Corp One Microsoft Way Redmond, WA 98052 Iihongli@microsoft.com Jin Young Kim † Microsoft Corp One Microsoft Way Redmond, WA 98052 jink@microsoft.com Imed Zitouni Microsoft Corp One Microsoft Way Redmond, WA 98052 izitouni@microsoft.com

### Running an experiment

- A search engine 1. observes a query q from Q (iid)  $q \sim \pi$ 2. takes an action a from A<sub>q</sub> = {SERP<sub>q</sub>} (iid)  $a \sim \pi(\cdot|q)$ 
  - 3. receives a reward r in [0, R]
    - any evaluation measure

• If we run the experiment

$$\{\langle q_i, a_i, r_i \rangle\}_{1 \le i \le m}$$

$$\mathbf{E}[r] = \frac{1}{m} \sum_{i} r_i$$

$$\mathbf{E}[r] = \mathbf{E}_{q \sim \pi} [\mathbf{E}[r|q]]$$
$$= \mathbf{E}_{q \sim \pi} \mathbf{E}_{a \sim \pi(\cdot|q)} [\mathbf{E}[r|a,q]]$$

• Instead, consider the query log

 $\{\langle q_i, a_i, r_i \rangle\}_{1 \le i \le n}$ 

• Assumption 1: n(q, a) is not 0 in the log

$$\mathbf{E}[r|a,q] = \frac{1}{n(q,a)} \sum_{1 \le i \le n} \mathbf{I}(q_i = q, a_i = a) r_i = \hat{r}(q,a)$$

• Instead, consider the query log

 $\{\langle q_i, a_i, r_i \rangle\}_{1 \le i \le n}$ 

Assumption 2: the distribution of rewards is stationary

$$\mathbf{E}_{q \sim \pi} [\mathbf{E}_{a \sim \pi(\cdot|q)} [\hat{r}(q, a)]]$$
  
= 
$$\mathbf{E}_{q \sim \pi} [\sum_{a \in A_q} \pi(a|q) \hat{r}(q, a)]$$
  
= 
$$\sum_{q \in Q} \pi(q) \sum_{a \in A_q} \pi(a|q) \hat{r}(q, a)$$

• Instead, consider the query log

 $\{\langle q_i, a_i, r_i \rangle\}_{1 \le i \le n}$ 

• Reality: actions are deterministic

$$= \sum_{q \in Q} \pi(q) \sum_{a \in A_q} \pi(a|q) \widehat{r}(q,a)$$

$$=\sum_{q\in Q}\pi(q)\widehat{r}(q,a)$$

• Instead, consider the query log

$$\{\langle q_i, a_i, r_i \rangle\}_{1 \le i \le n}$$

• If distribution of queries remains the same then,

$$\pi(q) = \frac{n(q)}{n}$$

• Otherwise, use live statistics

### Variance of reward

• If a variable is bounded,  $r \in [m, M]$ 

$$\mathbf{Var}[r] \le \frac{(M-m)^2}{4}$$

• Based on this, we can compute:

$$\operatorname{Var}[\hat{r}] \le \frac{R^2}{4} \sum_{q \in Q} \frac{n^2(q)}{n^2} \frac{1}{n(q, a_q)}$$

## Fuzzy matching

- Limitations
  - 1. Variance grows linearly to the cardinality of  $A_{\rm q}$
  - 2. Very likely that n(q, a) = 0
- Fuzzy matching

$$a \sim a'$$
 if  $a_{[1..j]} = a'_{[1..j]}$ 

#### Results: Predicting absolute values

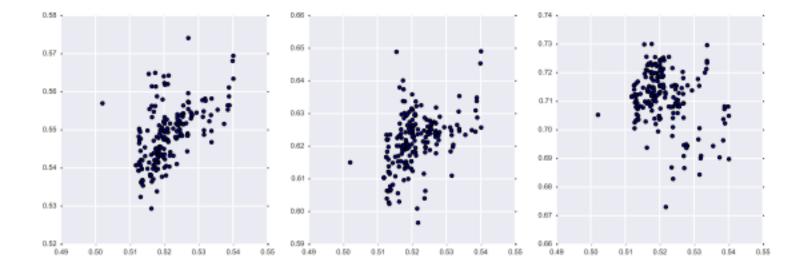


Figure 1: Scatterplot for actual (X) vs. predicted (Y) click ratio based on the 1st estimator  $(\hat{v}_1)$ , where the action is defined by Top 3, 5 and 8 web results, respectively.

TopK	$Cor(\hat{v}_1)$	$\operatorname{Cor}(\widehat{v}_2)$	#(Query,Action)
3	0.549	0.596	645,749,791
5	0.431	0.438	244,046,777
8	-0.271	-0.254	63,646,334

Table 1: Results for predicting absolute metric values.

#### **Results: Predicting Deltas**

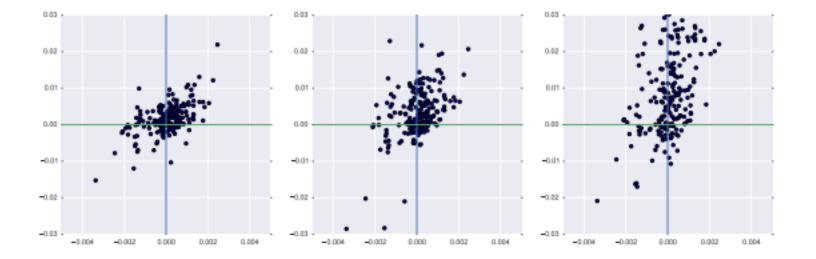


Figure 3: Scatterplot for actual (X) vs. predicted (Y) delta in click ratio between two rankers in the same experiment where the action is defined by Top 3, 5 and 8 web results, respectively.

#### **Results: Predicting Decisions**

Table 3: WIN/TIE/LOSS confusion table between
actual outcomes (columns) and predicted outcomes
(rows) for different K values of topK fuzzy matching.
Accuracy/recall are also included for each outcome.

K=3	LOSS	TIE	WIN	Accuracy	Recall
WIN	3.4%	19.0%	13.7%	37.8%	65.1%
TIE	8.8%	38.0%	6.8%	70.9%	63.4%
LOSS	6.8%	2.9%	0.5%	66.7%	35.9%
K=5	LOSS	TIE	WIN	Accuracy	Recall
WIN	3.9%	11.7%	5.9%	27.3%	27.9%
TIE	12.2%	47.3%	15.1%	63.4%	78.9%
LOSS	2.9%	1.0%	0.0%	75.0%	15.4%
K=8	LOSS	TIE	WIN	Accuracy	Recall
WIN	4.4%	18.5%	7.3%	24.2%	34.9%
TIE	12.7%	41.5%	13.7%	61.2%	69.1%
LOSS	2.0%	0.0%	0.0%	100%	10.3%

Table 2: Results for predicting the delta in metric values between two rankers.

TopK	Accuracy	Correlation
3	58.5%	0.450
5	56.1%	0.396
8	50.7%	0.370

#### Analysis: Optimistic Bias vs. Coverage

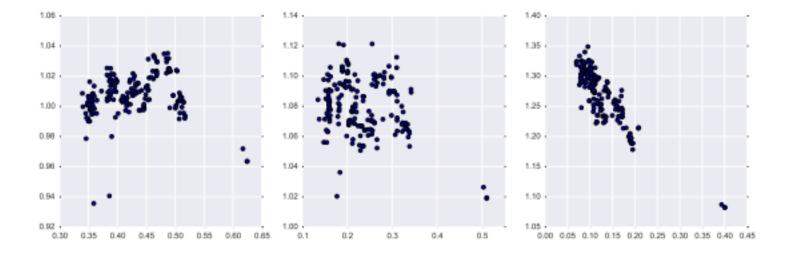


Figure 4: Scatterplot for the ratio of predicted metric value against actual metric value, plotted against % of matching records, where the action is defined by Top 3, 5 and 8 web results, respectively.

#### Analysis: Assumptions

- 1. No confounding effects
- 2. Sufficient amount of data for each query
- 3. Enough observations for (query, SERP) pair
- 4. Consistent users behavior (i.e. stable rewards distribution)

## Analysis: Query Segments

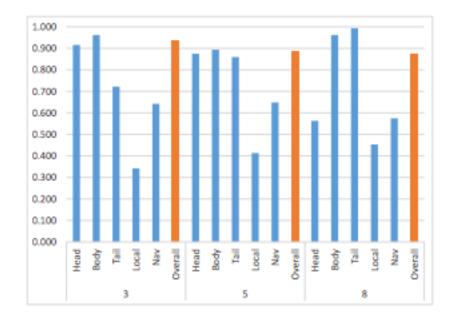


Figure 5: Correlation between predicted and actual metric values for different segments with varying K values in fuzzy matching.

Table 5: Correlation between predicted and actual metric values for different segments with different K values in fuzzy matching.

	TopK	TopK   Segment		Count
	3		Cor	
	3	Head	0.916	364,757
		Body	0.962	553,892,081
		Tail	0.722	90,867,404
		Local	0.342	60,448,865
		Navigational	0.642	120,343,701
_		Overall	0.934	645,124,406
	5	Head	0.875	345,640
		Body	0.894	229,862,589
		Tail	0.860	13,636,733
		Local	0.413	24,049,649
	Navigational		0.648	58,680,950
		Overall	0.885	243,845,041
	8	Head	0.563	304,142
		Body	0.962	61,433,473
		Tail	0.993	1,809,956
		Local	0.453	6,369,383
		Navigational	0.575	23,107,195
		Overall	0.872	63,547,643

### **Prediction for Learning**

#### Counterfactual Estimation and Optimization of Click Metrics in Search Engines: A Case Study

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Counterfactual Risk Minimization: Learning from Logged Bandit Feedback

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TJ@CS.CORNELL.EDU

# A/B Test Types

- Experiment
  - To validate a new idea (algorithm, feature, interface, etc.)
- Calibration test
  - Degrade production system deliberately with a known quantity (i.e., remove top document), to calibrate metrics
- A/A test
  - No differences should be measured (95% of the time)
- Reverse test
  - Test a previous experiment again by reversely applying changes
- Random bucket
  - To collect data

# Summary of A/B testing

- When the variants run concurrently, only two things could explain a change in metrics:
  - Actual difference in the quality of the algorithms
  - Random chance
- Everything else happening affects both the variants
- For random chance, conduct statistical tests for significance

# Challenges in A/B Testing

- One metric to rule them all
  - Overall evaluation criterion (OEC)
    - Many metrics; typically improve one but hurt the others
    - Higher level metric to incorporate tradeoffs among metrics
  - Measurable over short duration (e.g. two weeks)
  - Predictive of long term-goals

# Challenges in A/B Testing

- OEC: Market share, aka number of queries
  - Making the search engine worse will lead to more queries short term
  - But push users to alternatives in longterm
- Better: sessions per user; repeated visits

# Challenges in A/B Testing

- Long turn-around time
  - => improve sensitivity
  - reduce variance by stratification
  - pseudo-increase data points by predicting future user behaviour
  - => use interleaving
- Non-guaranteed quality of the experimental system
  - => off-line evaluation
  - collection-based evaluation
  - side-by-side experiments
- Many experiments competing for traffic => prioritize

# 6. Interleaving

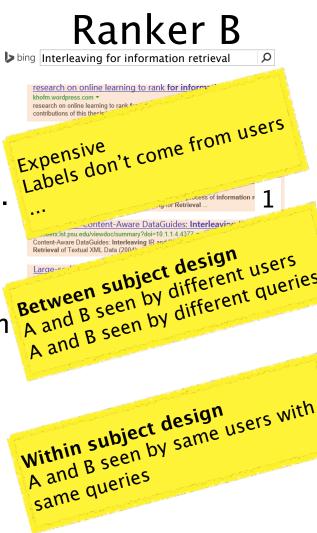
## Interleaving



Which ranker is better?

Several ways to find out:

- Ask assessors which documents are relevant.
- Split user population, observe user interactions (clicks) with ranker A and B.
- Interleave ranker A and ranker B



#### Interleaving

	Ranker A
bing 🎝	Interleaving for information retrieval
	Information Retrieval - University of Glasgow :: School of www.gla.ac.uk//research/researchgroups/informationretrieval - The group has a long and strong research history in the process of information r as a information in. retrieval Interleaving for Retrieval
	Optimized Interleaving for Online Retrieval Evaluation research.microsoft.com/pubs/179433/Radlinski_Optimized_WSDM2013 PDF Optimized Interleaving for Online Retrieval Evaluation Filip Radlinski Microsoft Cambridge, UK filiprad@microsoft.com Nick Craswell Microsoft Bellevue, WA, U
	Optimized Interleaving for Online Retrieval Evaluation research.microsoft.com/apps/pubs/default.aspx?id=179433 Abstract. Interleaving is an online evaluation technique for comparing the relativ of information retrieval functions by combining their result lists and
	<u>CiteSeerX — Content-Aware DataGuides: Interleaving IR</u> citeseerx ist.psu.edu/viewdoc/summary?doi=10.1.1.4.4377 ~ Content-Aware DataGuides: Interleaving IR and DB Indexing Techniques for Eff Retrieval of Textual XML Data (2004)
	Large-scale validation and analysis of interleaved search dl acm org/citation.dm?id=2094078 ▼ Interleaving is an increasingly popular technique for evaluating information retr systems based on implicit user feedback. While a number of isolated studies 5

bing Interleaving for information retrieval





### Why do interleaving?

Within subject design
 ... as opposed to between subject of A/B testing

- Reduces variance (same users/queries for both A and B)
- Need 1 to 2 orders of magnitude less data
- ~100K queries for interleaving in a mature web search engine (>>1M for A/B testing)

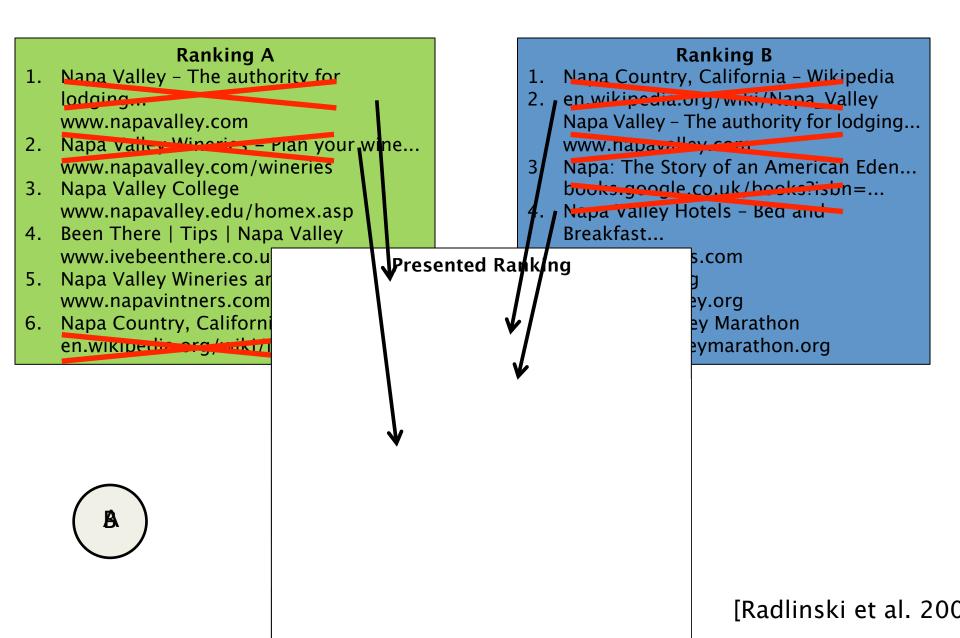
### Interleaving

- Running an Interleaving Test
  - Method
  - Analysis
- Improving Sensitivity
- Predicting the outcome of an experiment

### Interleaving Methods

- Balanced interleave (Joachims et al 2006, Radlinski et al 2008)
- Team Draft interleave (Radlinski et al 2008)
- Document constraints interleave (He et al 2009)
- Probabilistic interleave (Hofmann et al 2011)
- Optimized interleave (Radlinski and Craswell 2013)
- Vertical aware team draft interleave *(Chuklin et al 2013)*
- Team draft multileave (Schuth et al 2014)
- Optimized multileave (Schuth et al 2014)
- Probabilistic multileave (Schuth et al 2015)

### Team Draft Interleaving



### Team Draft Interleaving

Ranking A1. Napa Valley – The authority for lodging www.napavalley.com2. Napa Valley Wineries – Plan your wine www.napavalley.com/wineries3. Napa Valley College www.napavalley.edu/homex.asp4. Been There   Tips   Napa Valley			1. 2. 3. 4.	en.wikipedia.org/wiki/Napa_Valley Napa Valley - The authority for lodging www.napavalley.com Napa: The Story of an American Eden books.google.co.uk/books?isbn=
5. 6.	www.ivebeenthere.co.u Napa Valley Wineries a www.napavintners.com Napa Country, Californ	Prese Napa Valley - lodging	ented Ranki The authori	
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		www.napavall	(c.com College ley.edu/hom rg	

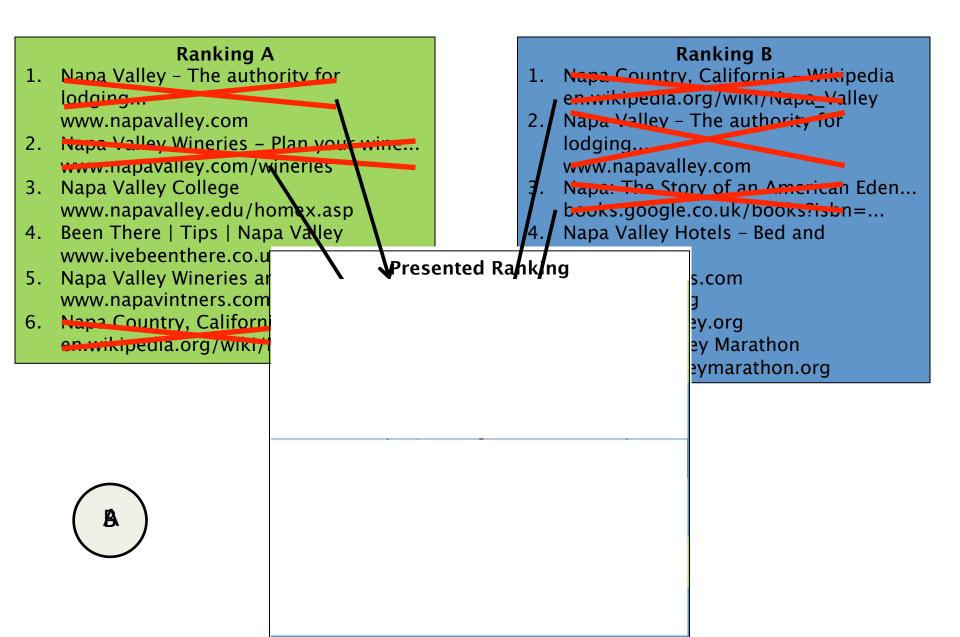
### Probabilistic Interleaving

- An alternative interleaving algorithm
- Similar to Team Draft, but
  - Toss a coin every pick
  - Don't always pick the top result
  - Assign credit based on all possible assignments

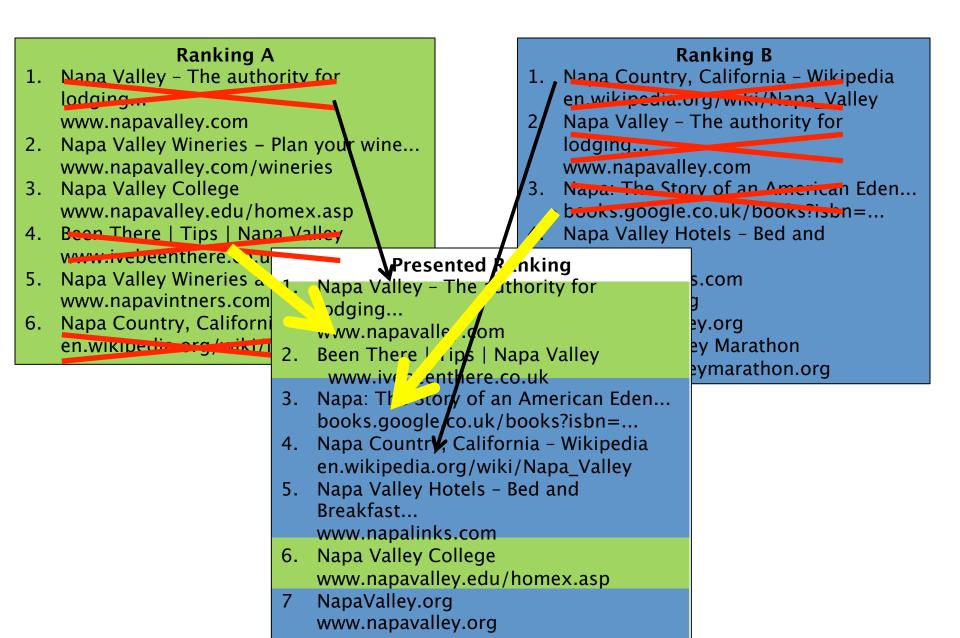
#### A Probabilistic Method for Inferring Preferences from Clicks

Katja Hofmann, Shimon Whiteson and Maarten de Rijke ISLA, University of Amsterdam {K.Hofmann, S.A.Whiteson, deRijke}@uva.nl

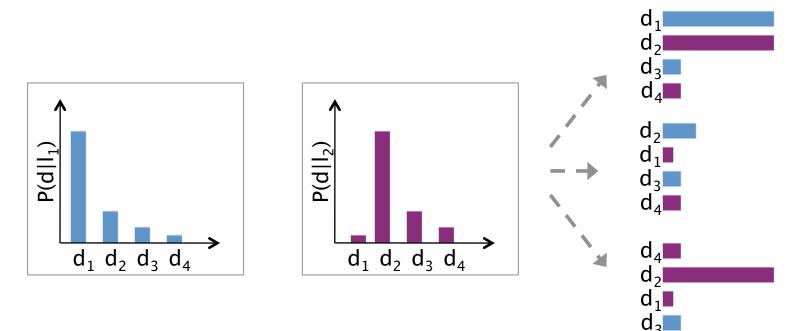
### Probabilistic Interleaving (1)



### Probabilistic Interleaving (2)



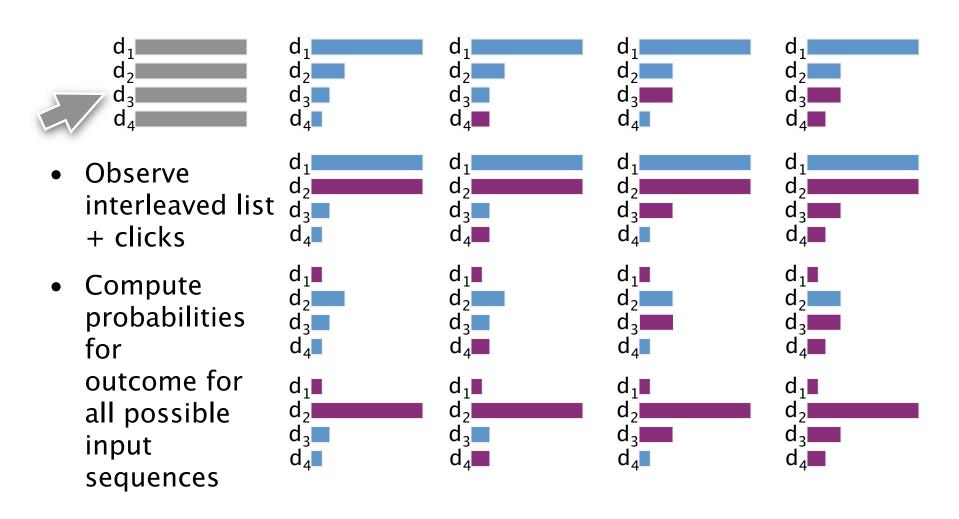
### Probabilistic Interleaving (2)



- Define probability distributions over documents, based on the lists to be compared
- During interleaving draw documents randomly

Any permutation of documents is possible

### Probabilistic Interleaving (3)



### Interleaving

- Running an Interleaving Test
  - Method
  - Analysis
- Improving Sensitivity
- Predicting the outcome of an experiment

### Predicting Experimental Results

- Probabilistic interleaving
  - Applied on historical data
  - For two rankers, some permutation of the interleaved list might be in the logs
- Importance sampling
  - Correct for bias

#### Estimating Interleaved Comparison Outcomes from Historical Click Data

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ISLA, University of Amsterdam

### Interleaving

- Running an Interleaving Test
  - Method
  - Analysis
- Improving Sensitivity
- Predicting the outcome of an experiment

### Improving Sensitivity

- Optimized interleaving
  - Set constraints and desirable properties
    - Among them, high sensitivity
  - Obtain an interleaving algorithm as a solution to an optimization problem

#### **Optimized Interleaving for Online Retrieval Evaluation**

Filip Radlinski Microsoft Cambridge, UK filiprad@microsoft.com Nick Craswell Microsoft Bellevue, WA, USA nickcr@microsoft.com

#### Beyond Click Count

#### Learning More Powerful Test Statistics for Click-Based Retrieval Evaluation

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Thorsten Joachims Cornell University Ithaca, NY, USA tj@cs.cornell.edu

- Not every click in the interleaved ranking is equally informative
- Instead of  $\delta(q,C,C') = |C| |C'| \operatorname{use}$

$$\delta(q, C, C') = \sum_{c \in C} \operatorname{score}(q, c) - \sum_{c' \in C'} \operatorname{score}(q, c')$$

- Score = linear combination of features
  - learned from training pairs of known retrieval quality

#### 7. Comparative Studies

### Quantitative Analysis

- Can we quantify how well Interleaving performs?
  - 1. Compared to offline judgments
  - 2. Compared to absolute ranking-level Metrics
- How reliable is it?
  - Does Interleaving correctly identify the better retrieval function?
- How sensitive is it?
  - How much data is required to achieve a target confidence level (p-value)?

#### Quantitative Analysis

#### How Does Clickthrough Data Reflect Retrieval Quality?

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#### **Comparing the Sensitivity of Information Retrieval Metrics**

Filip Radlinski Microsoft Cambridge, UK filiprad@microsoft.com Nick Craswell Microsoft Redmond, WA, USA nickcr@microsoft.com

#### Large-Scale Validation and Analysis of Interleaved Search Evaluation

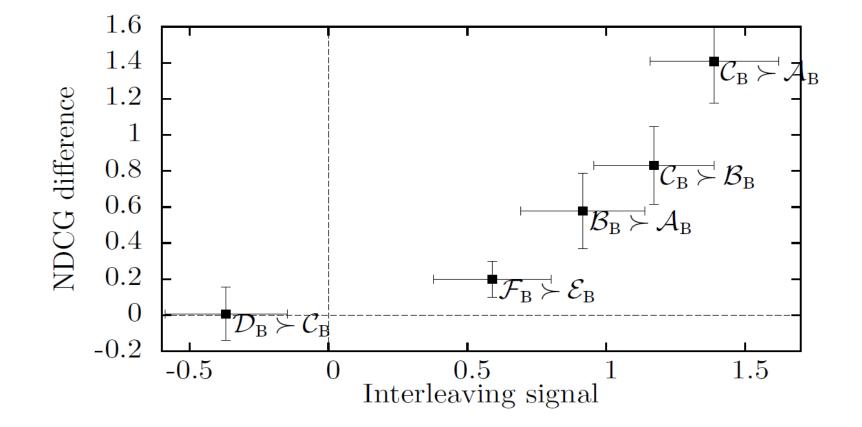
OLIVIER CHAPELLE, Yahoo! Research THORSTEN JOACHIMS, Cornell University FILIP RADLINSKI, Microsoft YISONG YUE, Carnegie Mellon University

#### Interleaving against Collection-based Evaluation

### **Experimental Setup**

- Selected 4-6 pairs of ranking functions to compare in different settings
  - Known retrieval quality, by construction or by judged evaluation
- Observed user behavior in two experimental conditions
  - Randomly used one of the two individual ranking functions
  - Presented an interleaving of the two ranking functions
- Evaluation performed on three different search platforms
  - arXiv.org (academic paper repository)
  - Bing Web search
  - Yahoo! Web search

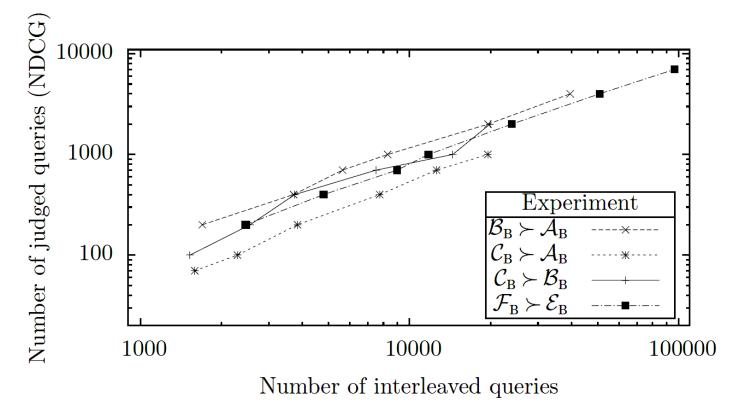
#### **Comparison with Offline Judgments**



- Experiments on Bing (large scale experiment)
- Plotted interleaving preference vs NDCG difference
- Good calibration between expert judgments and interleaving

[Radlinski & Craswell 2010; Chapelle et al. 2012]

#### **Comparison with Offline Judgments**



- Experiments on Bing (large-scale experiment)
- Plotted queries required vs expert judgments required (for different p-values)
- Linear relationship between queries and expert judgments required
- One expert judged query is worth ~10 queries with clicks

#### Interleaving against A/B Testing

#### Monotonicity Assumption

- Consider two sets of results: A & B
  - A is high quality
  - B is medium quality
- Which will get more clicks from users, A or B?
  - A has more good results: Users may be more likely to click when presented results from A.
  - B has fewer good results: Users may need to click on more results from ranking B to be satisfied.
- Need to test with real data
  - If either direction happens consistently, with a reasonable amount of data, we can use this to evaluate online

#### How Does Clickthrough Data Reflect Retrieval Quality?

#### Testing Monotonicity

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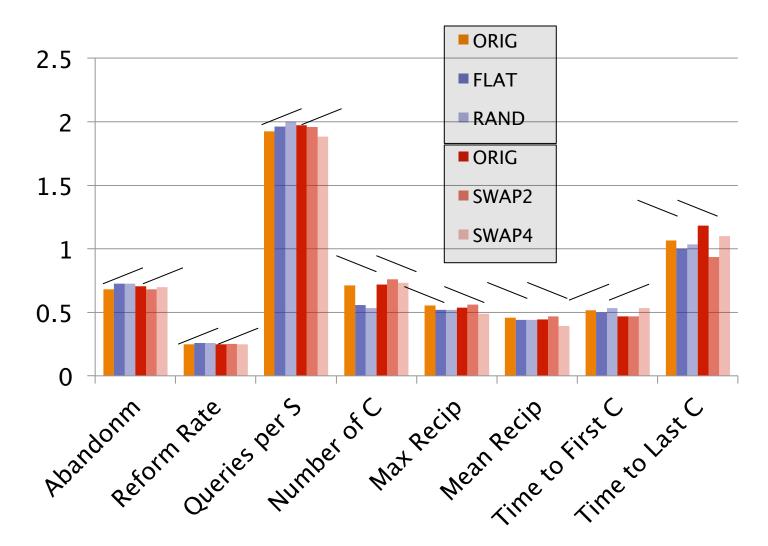
- Contacted on ArXiv.org, an academic search engine.
- Real users looking for real documents.
- Relevance direction known by construction
   ORIG > SWAP2 > SWAP4
  - ORIG: Hand-tuned ranking function
  - SWAP2: ORIG with 2 pairs swapped
  - SWAP4: ORIG with 4 pairs swapped
  - ORIG > FLAT > RAND
    - ORIG: Hand-tuned ranking function, over many fields
    - FLAT: No field weights
    - RAND : Top 10 of FLAT randomly reordered shuffled
- Evaluation on 3500 x 6 queries

### **Absolute Metrics**

	Quality Falls	
of queries with no click	Increase	
of queries that are ollowed by reformulation	Increase	
ession = no interruption f more than 30 minutes	Increase	
lumber of clicks	Decrease	
licks on top results	Decrease	
robability of skipping	Increase	
/rank for highest click	Decrease	
lean of 1/rank for all licks	Decrease	
econds before first click	Increase	
econds before final click	Decrease	
s o f l i r r l i	of queries that are llowed by reformulation ssion = no interruption more than 30 minutes umber of clicks icks on top results obability of skipping rank for highest click ean of 1/rank for all icks	

(\*) only queries with at least one click count

## Evaluation of Absolute Metrics on ArXiv.org



#### **Comparative Summary**

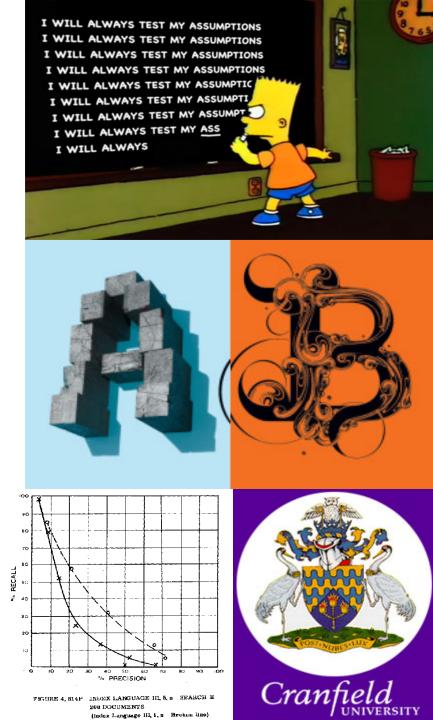
Method	Consistent (weak)	Inconsistent (weak)	Consistent (strong)	Inconsistent (strong)
Abandonment Rate	4	2	2	0
Clicks per Query	4	2	2	0
Clicks @ 1	4	2	4	0
pSkip	5	1	2	0
Max Reciprocal Rank	5	1	3	0
Mean Reciprocal Rank	5	1	2	0
Time to First Click	4	1	0	0
Time to Last Click	3	3	1	0
Interleaving	6	0	6	0

- Comparison on arXiv.org experiments
- Results on Yahoo! qualitatively similar

# Different approaches to evaluation

- User-studies
- Collection-based evaluation
- In-situ evaluation

   A/B Testing
   Interleaving



#### Takeaways

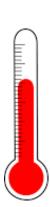
• Don't trust the HiPPO





Trust the data; hence experiment often

- If you torture the data enough they will confess to anything
- The measure defines the problem



### Acknowledgements

- For the material on offline evaluation:
  - Emine Yilmaz (University College London)
  - Ben Carterette (University of Delaware)
- For the material on online evaluation:
  - Anne Schuth (University of Amsterdam)
  - Katja Hofmann (Microsoft Research)
  - Filip Radlinski (Microsoft Bing)

#### Thank You!

I will use Google before asking dumb questions. www.mrburns.nl before asking dumb questions. I will use Google before asking dumb qu I will use Google before asking dumb questions. I will use Google before asking dumb questions. I will use Google before asking dumb qu I will use Google before asking dumb questions. I will use Google asking dumb questions. I will use Google before asking dumb a asking dumb questions. I will use Google before asking dumb a sking dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a asking dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb questions. I will use Google before asking dumb a sting dumb q

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