

FAKULTÄT FÜR INFORMATIK



## Adaptivity in Audio and Music Retrieval

#### Adaptive Hierarchies: Constrained Clustering and Utility

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## Outline



- Day 1: Adaptation and Personalization: Concepts and Challenges
- Day 2: Adaptive Music Retrieval: An Overview
- Day 3: Adaptive Hierarchies: Constrained Clustering and Utility
- Day 4: Adaptive Similarity
- Day 5: User Interfaces and Gamification: Design and Evaluation

## Overview (Day 3)



#### Motivation

- Constrained Clustering
- A Utility based Approach

 How can we support a user in structuring a collection of objects, such that the structure reflects the classification criteria of the user?



#### Very often still...



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#### Interactive tool to explore image collections

#### Basic concepts:

- Initial overview by clustering (SOM)
- Personalization during user interaction (move images inbetween groups)
- Learning: Adaptation of similarity measure



A. Nürnberger and A. Klose, Improving Clustering and Visualization of Multimedia Data Using Interactive User Feedback, in: *Proc. of 9th Intl. Conf. on Inform. Proc. and Management of Uncertainty in Knowledge-Based Systems (IPMU 2002)*, pp. 993-999, 2002.



Extracted features and computed weights

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#### Interactive tool to explore text collections

#### Basic concepts:

- Initial overview by clustering (SOM)
- Personalization of groups by remapping of documents
- Learning: Adaptation of similarity measure
- Search (retrieval)



A. Nürnberger and M. Detyniecki, Externally growing self-organizing maps and its application to e-mail database visualization and exploration, *Applied Soft Computing*, 6:4, pp. 357-371, Elsevier Science, 2006.







#### Extracted features and computed weights

## VideoSOM (based on iCollyzer)



#### Interactive tool to navigate in videos

Basic concepts:

- Initial overview by clustering of key frames (1)
- Navigation support by time bar that visualizes (adaptable) frame similarities (2)
- Direct navigation in video (3)



T. Bärecke, E. Kijak, A. Nürnberger and M. Detyniecki, Video Navigation based on Self-Organizing Maps, in: *Proc. of Intl. Conf. on Image and Video Retrieval (CIVR 2006)*, pp. 340-349, Springer Verlag, 2006.

## AUCOMA (based on iCollyzer revision)



#### Interactive tool to navigate in music collections



S. Stober and A. Nürnberger, AUCOMA - Adaptive Nutzerzentrierte Organisation von Musikarchiven, in: *Proc. of Deutsche Jahrestagung für Akustik (DAGA 2008),* 2008.

### Adapting cluster structure

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- Problem:
  - When user moves (reassigns) objects, this has to be considered by the system
- Idea:
  - Adapt similarity measure such that reassigned objects are correctly assigned / classified
  - Approaches:
    - Heuristics
    - Quadratic optimization

A. Nürnberger and S. Stober, User Modelling for Interactive User-Adaptive Collection Structuring, in: *Proc. of Intl. Workshop on Adaptive Multimedia Retrieval (AMR 2007)*, 2007.

## Weighted similarity measure

- Feature weights  $w_i$  to "personalize" similarity:  $sim(x_j, x_k) = \sum_{l=1}^m x_{jl} \cdot w_l \cdot x_{kl}$
- Cluster assignment
  - Document *d* assigned to cluster *c<sub>s</sub>*:

$$sim(c_s, d) \leq sim(c_i, d) \quad \forall i \neq s$$

• Moving *d* to cluster  $c_t$ :

$$sim(c_t, d) \leq sim(c_i, d) \quad \forall i \neq t$$

- Problem:
  - change weights such that:

$$\sum_{l=1}^{m} \mathbf{x}_{jl} \cdot \mathbf{w}_{l} \cdot \mathbf{c}_{sl} > \sum_{l=1}^{m} \mathbf{x}_{jl} \cdot \mathbf{w}_{l} \cdot \mathbf{c}_{tl} \quad \forall s \neq t$$

## **Quadratic Optimization**

minimize change of weight vector w

$$\min_{w\in\mathfrak{R}^m}\sum_{l=1}^m (w_l-1)^2$$

weights should be non-negative

$$w_l \ge 0 \quad \forall 1 \le l \le m$$

- sum of the weights should be m (dictionary size)  $\sum_{l=1}^{m} w_{l} = m$
- keep all manually moved objects at their position

$$\sum_{l=1}^{m} x_{jl} \cdot w_{l} \cdot c_{sl} > \sum_{l=1}^{m} x_{jl} \cdot w_{l} \cdot c_{tl} \quad \forall s \neq t$$



- User study:
  - expensive, time consuming, not objective
- Alternative way: simulate user actions
  - 2 datasets:
    - 1914 documents from a scientific news achive represented by 800 index terms
    - 10% (1000 documents) from the Banksearch dataset represented by 800 index terms



modify objects by adding random features learn map on modified objects

repeat

select an object o to be moved

select most similar cell c for o according to user

move o to c

until o could not be moved

		cell selection		
		greedy	random	
object selection	greedy	scenario 1	scenario 3	
	random	scenario 2	scenario 4	

#### **Results for Experiment 1**



- Moving ~1% of the collection was sufficient
- Random selection did not yield worse results



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#### Weight changes



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## **Hierarchical Organization**

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- Flat structures very often not sufficient
- Many systems/methods used for information organization can be mapped to hierarchies:
  - file systems, bookmark structures, (book)shelves by tree maps, ...



### **Hierarchical Organization**

- Hierarchies can support a user in
  - Search, Exploration and Browsing
- Problems of "manual" organization
  - Categorization of objects very time consuming
  - Creation of the hierarchy
    - might even depend on user and/or context
- Machine learning approaches that can be used
  - Hierarchical classification/categorization:
    - structure known
    - automatic insertion of documents
  - Hierarchical clustering:
    - structure not or only partially known
    - automatic creation of hierarchy

![](_page_20_Picture_1.jpeg)

 How can we use information about the way a user is structuring collections in order to support him in structuring yet unknown collections?

## **Hierarchical clustering**

![](_page_21_Picture_1.jpeg)

- Problem:
  - Automatically created structure of a data collection should reflect (as good as possible) the structure that would be created by a specific user,

but

- desired structure is only partially (or not at all) known
- Approach:
  - Modeling structuring criteria of a user by constraints
  - Transfer ideas of "flat" constrained-based clustering approaches to hierarchical agglomerative clustering
  - Use constraints in order to learn feature weights

K. Bade and A. Nürnberger, Personalized Hierarchical Clustering, in: *Proc. of IEEE/WIC/ACM Intl. Conference on Web Intelligence (WI-06)*, pp. 181-187, IEEE Computer Society Press, 2006.

K. Bade und A. Nürnberger, Creating a Cluster Hierarchy under Constraints of a Partially Known Hierarchy, in: *Proc. of 2008 SIAM International Conference on Data Mining*, 2008.

## Task

![](_page_22_Picture_1.jpeg)

- Given user hierarchy is a "guideline"
- Hierarchy refinement with
  - New classes (sibling nodes to existing ones)
  - New sub-classes (refinement in depth)

![](_page_22_Figure_6.jpeg)

#### Learning Scenario

![](_page_23_Picture_1.jpeg)

- Classes  $C = C_k \bigcup C_u$
- Relations between classes (tree structure)

$$R_{Hk} \subset R_H = \{(c_1, c_2) \in C \times C/c_1 \geq_H c_2\}$$

Documents

$$D = D_k \cup D_u$$
$$T_k = \{ (d,c) \in D_k \times C_k \} \qquad T_u = \{ (d,c) \in D_u \times C_u \}$$

- Two-fold notion of semi-supervised
  - Partially labeled training data
  - Not all classes are known
- Approach: Constrained Clustering...

# Overview (Day 3)

![](_page_24_Picture_1.jpeg)

- Motivation
- Constrained Clustering
- A Utility based Approach

# **Constrained Clustering**

- Clustering aims at uncovering a structure that could be be used to organize data
- Problem: There are often several different meaningful structures
- Examples:
  - Movies structured by genre, actors, director, ...
  - Photos structured by time, people shown, places, moods, motives, ...

The same or a different cluster?
"Sleepless in Seattle" – "You've Got Mail"
Same cluster, because both films are very similar,
e.g. romantic comedies with same director and same stars
"Charlie and the Chocolate Factory" – "Nightmare on Elm Street"
Different clusters, because both films are from different genres
Same cluster, because both are directed by Johnny Depp

## **Constrained Clustering**

- Goal: Find the structure intended by the user  $\rightarrow$  "Need"-driven clustering
- "Need" might depend on
  - User's knowledge and background
  - Current context / task
- Constrained clustering integrates domain knowledge into the clustering process.

![](_page_26_Figure_6.jpeg)

![](_page_26_Picture_7.jpeg)

![](_page_26_Picture_8.jpeg)

## Available Domain Knowledge

- Some labeled training data
- Relations between objects
  - Similar and distinct items

![](_page_27_Figure_4.jpeg)

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# Available Domain Knowledge

- Cluster size/shape/number
  - Number of clusters

**Balanced clusters** 

![](_page_28_Figure_3.jpeg)

![](_page_28_Picture_4.jpeg)

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Minimum cluster variance

![](_page_28_Figure_6.jpeg)

![](_page_28_Picture_7.jpeg)

Minimum/maximum cluster size

# Available Domain Knowledge

- Negative background information
  - Find a structure that is different from a given one
  - Example for k=2

Given:

![](_page_29_Figure_5.jpeg)

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## **Pairwise Constraints**

- Pairwise specification of relations between objects
- Must-link constraints
  - Pairs of items that belong to the same cluster

$$con_{=}(x,y) \qquad (x,y) \qquad (x,y)$$

- Cannot-link constraints
  - Pairs of items that belong to different clusters

## Pairwise Constraints

- Constraints are symmetric
   ∀x,y: con(x,y) → con(y,x)
- Must-link constraints are transitive

 $\forall x, y, z: con_{=}(x, y) \land con_{=}(y, z) \rightarrow con_{=}(x, z)$ 

![](_page_31_Figure_4.jpeg)

• Cannot-link constraints are not transitive, but  $\forall x, y, z : con_{=}(x, y) \land con_{\neq}(y, z) \rightarrow con_{\neq}(x, z)$ 

![](_page_31_Figure_6.jpeg)

### Hard vs. Soft Constraints

- Hard constraints
  - Must be satisfied
  - All constraints are equally important
- Soft constraints
  - Additional weight:  $con(x,y,w), w \in [-1,1]$
  - Cannot-link constraint: w = -1
  - Must-link constraint: w = 1
  - Specify the importance of constraint satisfaction

![](_page_32_Figure_10.jpeg)

### Sources of Pairwise Constraints (1)

![](_page_33_Picture_1.jpeg)

- Partially labeled data
  - Pairs of elements of the same class form a set of must-links constraints
  - Pairs of elements from different classes form a set of cannot-link constraints

![](_page_33_Figure_5.jpeg)

## Sources of Pairwise Constraints (2)

![](_page_34_Figure_1.jpeg)

- User feedback
  - Relative assignment of objects without knowing the true class labels
  - Indication of cluster errors → critiquing a solution
  - Active learning → ask the user for difficult objects before presenting a solution
- Automatically through knowledge about the task

# Approaches

![](_page_35_Picture_1.jpeg)

- Two main categories of methods to integrate pairwise constraints in the clustering process can be distinguished:
  - Instance-based
    - Approaches enforcing constraints
    - Approaches that allow violations
  - Metric-based

#### **Instance-based Approaches**

![](_page_36_Picture_1.jpeg)

- Direct use of constraints (similar to lazy learning)
- During initialization, e.g.
  - Use all components that are connected by must-link constraints as starting points for HAC
  - Initialize cluster centers of k-means with the centroids of the connected must-link components
- Enforce constraints during clustering, e.g.
  - Do not cluster together objects that cannot link
  - Do not separate objects that must link
- Integrate constraints in objective function, e.g.
  - Compute trade-off between constraint violations

## **Metric-based Approaches**

- Idea: Generalize knowledge from constraints
- Learning a metric
  - Identification of important features reflecting the intended structure
  - Distort the similarity/distance space accordingly, e.g. by feature weighting
- Apply the metric during clustering
  - Usually metric is learned based on the constraints before clustering
- Alternatives:
  - Adapt metric during clustering
  - Advantage: integration of information about the distribution of unlabeled objects

#### Instance-based vs. Metric-based

![](_page_38_Picture_1.jpeg)

- Instance based approaches usually have rather local effects
- Strength of impact depends on the clustering algorithm and the method of integration
- Constraint enforcement might not lead to a global benefit or even decreased performance (although often performance increase is reported)
  - Non-informative constraints
  - Constraints violating the clustering objective

![](_page_38_Figure_7.jpeg)

#### Instance-based vs. Metric-based

![](_page_39_Picture_1.jpeg)

- Metric based approaches have usually a more global influence
   → Change in the underlying similarity measure
- Equal points should be handled equally

![](_page_39_Figure_4.jpeg)

- Sufficient number of constraints is needed for a good generalization
  - $\rightarrow$  overfitting

![](_page_40_Picture_1.jpeg)

#### **Re-using constraints:**

- Metric learning
  - Can make use of an independent training set of constraints
  - Metric can be applied to any future dataset
- Instance-based approaches
  - Data points in the constraint set must always be clustered together with unlabeled data
  - Future datasets must be added to the constraint objects

## **Constrained Clustering Approaches**

![](_page_41_Picture_1.jpeg)

- Algorithms based on k-Means
  - COP-k-Means
  - PCK-Means
  - MPCK-Means

Not discussed here...

- Hierarchical constrained clustering
  - iHAC
  - mHAC

In the following...

### **Constrained Hierarchical Clustering**

![](_page_42_Picture_1.jpeg)

![](_page_42_Figure_2.jpeg)

## Constraints

![](_page_43_Picture_1.jpeg)

- Absolute constraint formulation of must-link and cannot-link constraints not appropriate
- In hierarchical clustering
  - Items are linked over different hierarchy levels
  - Constraints differ on different hierarchy levels

![](_page_43_Figure_6.jpeg)

## **Must-link-before Constraints**

- Ordering of item linkage
- Triples instead of pairs:  $(d_x, d_y, d_z)$
- *d<sub>x</sub>* and *d<sub>y</sub>* should be linked on a lower hierarchy level than *d<sub>x</sub>* and *d<sub>z</sub>*

![](_page_44_Picture_4.jpeg)

$$(d_{x} \in C_{4}, d_{y} \in C_{3}, d_{z} \in C_{1})$$

$$(d_{x} \in C_{2}, d_{y} \in C_{2}, d_{z} \in C_{3})$$

![](_page_44_Picture_7.jpeg)

## Must-link-before Constr. (Properties)

![](_page_45_Picture_1.jpeg)

Every cluster containing d<sub>x</sub> and d<sub>z</sub> also contains d<sub>y</sub>

$$\forall c : d_x \in c \land d_z \in c \rightarrow d_y \in c$$

• There is at least one cluster, which contains  $d_x$  and  $d_y$  but not  $d_z$ 

$$\exists c: d_x \in c \land d_y \in c \land d_z \notin c$$

Symmetry

$$(d_x, d_y, d_z) \rightarrow (d_y, d_x, d_z)$$

September, 2013

![](_page_46_Picture_1.jpeg)

Transitivity inside a sub-tree

$$(d_x, d_y, d_z) \land (d_y, d_w, d_z) \rightarrow (d_x, d_w, d_z)$$

Transitivity between different hierarchy levels

$$(d_x, d_y, d_z) \land (d_y, d_z, d_w) \rightarrow (d_x, d_y, d_w)$$

# **Hierarchical Agglomerative Cluster**

![](_page_47_Picture_1.jpeg)

HAC Algorithm:

- Initialize lowest dendrogram level with each item as a separate cluster
- Repeat until a single cluster is left:
  - 1. Merge closest two clusters from the current top-most partition
  - 2. Add the new partition to the dendrogram

![](_page_47_Figure_7.jpeg)

# Instance-based Constr. HAC (iHAC)

![](_page_48_Picture_1.jpeg)

- Cluster merges only in accordance to constraints
- For all (d<sub>x</sub>, d<sub>y</sub>, d<sub>z</sub>): the cluster containing d<sub>z</sub> can only be merged with a cluster containing both, d<sub>x</sub> and d<sub>y</sub>, or neither

![](_page_48_Figure_4.jpeg)

- If no merge is possible due to constraints
  - Stop early or
  - Merge clusters violating the least constraints

![](_page_49_Picture_1.jpeg)

#### $\mathbf{iHAC}(D)$

Initialize dendrogram DG by adding the lowest level  $C_0 = \{c_1, \ldots, c_n\}$  with  $\forall d_i \in D : c_i = \{d_i\}$ 

for l = 1 to n do

Choose two clusters  $c_1, c_2 \in C_{l-1}$  and merge them:  $c_m = c_1 \cup c_2$ , whereby the merge of  $c_1$  and  $c_2$  violates the fewest constraints and from all cluster pairs satisfying this condition  $c_1$  and  $c_2$  are closest

$$C_{l} = (C_{l-1} \setminus \{c_{1}, c_{2}\}) \cup \{c_{m}\}$$

Add  $C_l$  to DG

end for

return DG

![](_page_50_Picture_0.jpeg)

#### Metric-based Constr. HAC (mHAC)

Parameterized cosine similarity

 $sim(d_i, d_j, W) = \frac{\vec{d}_i^T W \vec{d}_j}{\left| \vec{d}_i \right|_{W} \left| \vec{d}_j \right|_{W}}$ 

Here: diagonal matrix

$$W = \vec{w}^T \cdot I \cdot \vec{w} = \left(\sqrt{w_1} \dots \sqrt{w_n}\right) \cdot I \cdot \begin{bmatrix} v & 1 \\ \vdots \\ \sqrt{w_n} \end{bmatrix}$$

Required properties:

$$\forall w_i : w_i \ge 0 \qquad \sum_i w_i = n$$

All weights 1 is standard similarity

$$\left|\vec{d}\right|_{W} = \sqrt{\vec{d}^{T}W\vec{d}}$$

![](_page_50_Picture_10.jpeg)

![](_page_50_Picture_11.jpeg)

## Metric-based Constr. HAC (mHAC)

![](_page_51_Picture_1.jpeg)

Constraints interpretation

$$(d_x, d_y, d_z) \Rightarrow sim(d_x, d_y) > sim(d_x, d_z)$$

- Weight learning through gradient descent approach
  - Initialize weights with 1 (standard similarity)
  - Repeat until convergence:

For each violated constraint:

$$w_i \leftarrow w_i + \eta \frac{\partial (\operatorname{sim}(d_x, d_y) - \operatorname{sim}(d_x, d_z))}{\partial w_i}$$

i.e. make  $d_x$  and  $d_y$  more similar and  $d_x$  and  $d_z$  more dissimilar

### Metric-based Constr. HAC (mHAC)

![](_page_52_Figure_1.jpeg)

- Metric is learned before clustering
- Similarity matrix for HAC is initialized with new metric
- HAC clustering can be replaced by iHAC
   → Combination of both approaches

# **References**

![](_page_53_Picture_1.jpeg)

#### Motivational Examples:

 R. Yan, J. Zhang, J. Yang, A. Hauptmann, A Discriminative Learning Framework with Pairwise Constraints for Video Object Classification, 2004.

#### • Hierarchical Approaches:

- Korinna Bade und Andreas Nürnberger, Creating a Cluster Hierarchy under Constraints of a Partially Known Hierarchy, In: *Proceedings of the* 2008 SIAM International Conference on Data Mining (SDM'08), 2008.
- Korinna Bade und Andreas Nürnberger, Constraint Based Hierarchical Clustering for Text Documents, In: *Proceedings of the LWA 2007 Workshop*, 2007.
- Korinna Bade, Marcel Hermkes und Andreas Nürnberger, User Oriented Hierarchical Information Organization and Retrieval, In: *Proceedings of the 2007 European Conference on Machine Learning (ECML'07)*, 2007.
- Sebastian Stober, Andreas Nürnberger. AUCOMA Adaptive Nutzerzentrierte Organisation von Musikarchiven. In Ute Jekosch & Rüdiger Hoffmann (eds.): Fortschritte der Akustik: Plenarvorträge und Fachbeiträge der 34. Deutschen Jahrestagung für Akustik DAGA 2008, Pages 547-548, German Acoustical Society (DEGA), 2008. (in German)

## Overview (Day 3)

![](_page_54_Picture_1.jpeg)

- Motivation
- Constrained Clustering
- A Utility based Approach

![](_page_55_Picture_1.jpeg)

- Idea:
  - Improving performance of hierarchical classifier depending on the way a user is accessing the hierarchy, i.e.
  - objects are inserted/classified such that a user is still able to retrieve them even if the classification is highly uncertain
- Realization:
  - Representation of user behavior (its way to access the structure) by a *utility-function*
  - Classification as decision problem

K. Bade, E. Hüllermeier and A. Nürnberger, Hierarchical Classification by Expected Utility Maximization, in: *Proc. of IEEE International Conference on Data Mining (ICDM 2006)*, pp. 43-52, IEEE Computer Society Press, 2006.

# Modeling of utility-function (1)

![](_page_56_Picture_1.jpeg)

- Utility function defines utility of assigning an object to a specific class (even a wrong class!)
- Here: In a hierarchy a "wrong" classification on the users search/retrieval path might still have a high utility!
- Definition for hierarchy H and classes c<sub>i</sub>:
  - Retrieval path:

$$rp_{c} = \left\{c_{i} \in H \middle| c_{i} \geq_{H} c\right\}$$

 Hierarchical distance dist<sub>H</sub>(c<sub>i</sub>, c<sub>j</sub>): Number of nodes from c<sub>i</sub> to c<sub>j</sub>

![](_page_56_Figure_8.jpeg)

## Modeling of utility-function (2)

- Different utilities of classes (depending on user):
  - Correct class: Maximal utility util = 1
  - Class in retrieval path: Usage decreases on the way to the root node: *util* ∈ [0;1]
  - Class not in the retrieval path: util = 0
- Hierarchical utility:

$$util(\hat{c} | c) = \begin{cases} \exp(-\gamma \cdot dist_{H}(\hat{c}, c)) & \text{if } \hat{c} \geq_{H} c, \\ L & \text{otherwise.} \end{cases}$$

- γ defines "laziness" of a user
  - $\gamma \rightarrow \infty$  : only the correct class has a utility *util* > 0
  - γ = 0 : all nodes have similar (maximal) utility (Remark: in this case it would be best to predict always the root node)

- Given:
  - Hierarchy H
  - Training data D
    - Remark: inner nodes can be empty!
  - Utility function *util* as defined above
- Still required:
  - Probability estimates  $\rho_j = P(c_j | d)$   $\rightarrow$  train probabilistic classifier C
  - Here: Naïve Bayes and SVM

![](_page_58_Picture_10.jpeg)

## Decision problem (2)

![](_page_59_Picture_1.jpeg)

$\setminus j$	$ ho_{1}$	$ ho_{2}$		$ ho_n$
i	<b>C</b> 1	<i>C</i> <sub>2</sub>		<b>C</b> <sub>n</sub>
<i>C</i> <sub>1</sub>	<i>U</i> <sub>11</sub>	<i>u</i> <sub>12</sub>		<b>U</b> <sub>1 n</sub>
<i>C</i> <sub>2</sub>	<i>U</i> <sub>21</sub>	<i>U</i> <sub>22</sub>		<b>U</b> <sub>2</sub> <i>n</i>
:	:	:	•.	÷
<b>C</b> <sub>m</sub>	<i>U<sub>m1</sub></i>	<i>U<sub>m2</sub></i>		U <sub>mn</sub>

 Prediction of class c<sub>best</sub> for a document d if the expected utility EU is maximal:

$$c_{best} = \underset{c_i \in H}{\operatorname{argmax}} EU(c_i \mid d) = \underset{c_i \in H}{\operatorname{argmax}} \sum_{c_i \in H} P(c_i \mid d) \cdot util(c_i \mid c_j)$$

How to obtain parameter γ and L?

Idea:

- Use of a second utility function!
- First utility function models User
  - used to train and evaluate the model
  - fixed parameters
- Parameters of second utility function are learned:
  - utility function adapts to data
  - possibility to adapt to poor classifiers

![](_page_61_Picture_1.jpeg)

```
HUClass(d, classifier, \gamma, L)
    For each c_i \in H:
        Compute probability estimate P(c_i|d) by
        classifier
    c_{best} = \text{null}
    For each c_i \in H:
        Compute expected utility EU(c_i|d)
        If EU(c_i|d) > EU(c_{best}|d)
            c_{best} = c_i
    Return c<sub>best</sub>
```

## **Evaluation (1)**

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- Data: Banksearch Data
  - 11,000 classified web pages
  - 11 classes, 2 level hierarchy
- Training:
  - 300 documents from each class

![](_page_62_Figure_7.jpeg)

## Evaluation (1)

![](_page_63_Picture_1.jpeg)

- Evaluation measures:
  - Hierarchical Accuracy, Precision, Recall und F-Measure
  - Number of documents classified correctly
  - Number of documents in and aside of retrieval path
  - In case of NB classification a user would have almost 700 (searched!) documents more in retrieval path

	Naïve Bayes	HUClass(NB, 3.4, -350)	SVM	HUClass(SVM, 0.6, 0)
$acc_h$	$0.8278 \pm 0.0055$	$0.8460 \pm 0.0031$	$0.9301 \pm 0.0014$	$0.9323 \pm 0.0014$
$prec_h$	$0.8469 \pm 0.0057$	$0.9135 \pm 0.0049$	$0.9305 \pm 0.0013$	$0.9435 \pm 0.0019$
$rec_h$	$0.8277 \pm 0.0056$	$0.8462 \pm 0.0032$	$0.9300 \pm 0.0014$	$0.9323 \pm 0.0014$
$f_h$	$0.8372 \pm 0.0051$	$0.8786 \pm 0.0039$	$0.9303 \pm 0.0013$	$0.9379 \pm 0.0016$
$\#n_c$	$6281.4 \pm 41.46$	$5839.2 \pm 34.64$	$7079.6 \pm 10.05$	$6994.8 \pm 11.65$
$\overline{\#n_c} \ (\overline{ml(n_c)})$	$\begin{array}{c} 42.8 \pm 3.43 \\ (1.0 \pm 0.0) \end{array}$	$\begin{array}{c} 1198.2 \pm 103.16 \\ (1.42 \pm 0.04) \end{array}$	$\begin{array}{c} 12.6 \pm 3.38 \\ (1.0 \pm 0.0) \end{array}$	$\begin{array}{c} 209.6 \pm 13.75 \\ (1.36 \pm 0.04) \end{array}$
$\underline{\#n_c}(\underline{ml(n_c)})$	$\begin{array}{c} 1295.4 \pm 41.75 \\ (1.40 \pm 0.03) \end{array}$	$582.2 \pm 72.10 \\ (1.31 \pm 0.37)$	$527.4 \pm 9.50 (1.40 \pm 0.02)$	$\begin{array}{c} 415.2 \pm 12.17 \\ (1.39 \pm 0.02) \end{array}$

## **Evaluation (2)**

![](_page_64_Picture_1.jpeg)

- Open Directory Dump
  - 8123 web pages
  - Up to 4 levels in hierarchy, 2-17 leave nodes
- Results:
  - Bigger performance improvements than for banksearch ds
  - Reasons: more complex structure and noisy classes

	Naïve Bayes	HUClass(NB, 0.6, -615 000)	SVM	HUClass(SVM, 1.0, 0)
$acc_h$	$0.4556 \pm 0.0096$	$0.5078 \pm 0.0096$	$0.6632 \pm 0.0047$	$0.6786 \pm 0.0082$
$prec_h$	$0.5753 \pm 0.0243$	$0.6986 \pm 0.0170$	$0.7146 \pm 0.0148$	$0.7820 \pm 0.0075$
$rec_h$	$0.3119 \pm 0.0089$	$0.4007 \pm 0.0096$	$0.5225 \pm 0.0061$	$0.5508 \pm 0.0038$
$f_h$	$0.4044 \pm 0.0120$	$0.5092 \pm 0.0106$	$0.6035 \pm 0.0069$	$0.6463 \pm 0.0050$
$\#n_c$	$1118.2 \pm 25.69$	$898.6\pm8.89$	$1725.0 \pm 17.15$	$1524.4 \pm 34.49$
$\overline{\#n_c} \ (\overline{ml(n_c)})$	$\begin{array}{c} 195.0 \pm 23.57 \\ (1.25 \pm 0.04) \end{array}$	$\begin{array}{c} 1196.4 \pm 76.06 \\ (2.11 \pm 0.08) \end{array}$	$\begin{array}{c} 104.2 \pm 10.61 \\ (1.21 \pm 0.05) \end{array}$	$543.0 \pm 25.98 \\ (1.23 \pm 0.02)$
$\underline{\#n_c}(\underline{ml(n_c)})$	$\begin{array}{c} 1376.4 \pm 32.04 \\ (1.95 \pm 0.03) \end{array}$	$594.6 \pm 78.72 (1.87 \pm 0.18)$	$\begin{array}{c} 859.6 \pm 10.21 \\ (1.73 \pm 0.01) \end{array}$	$\begin{array}{c} 621.4 \pm 12.71 \\ (1.78 \pm 0.02) \end{array}$

## Personalized hierarchical classification

![](_page_65_Picture_1.jpeg)

- Open questions:
  - What are possible user (access) models?
  - What user models really make sense?
  - How to obtain parameters of user model?
    - Parameter currently strongly dependent on underlying data (above: optimized for data!)
    - Is it possible to learn parameters during user interaction?
    - Is it possible to detect (automatically) typical user classes?
  - What visualization methods are appropriate?

![](_page_66_Picture_0.jpeg)

#### The End

# **THANKS A LOT FOR LISTENING!**

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